

# Some News on the Proof Complexity of Deep Inference

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This talk is available at <http://cs.bath.ac.uk/ag/t/dipc.pdf>

# Outline

Aims of the talk:

- ▶ Put some of the current deep-inference research in the wider context of proof complexity.
- ▶ State a surprising result on cut elimination being at most quasipolynomial in deep inference (instead of exponential).
- ▶ Provide an introduction for the following talk by Tom, who will get into some details of quasipolynomial cut elimination.

Contents:

Overview of Complexity Classes

Proof Systems

Compressing Proofs

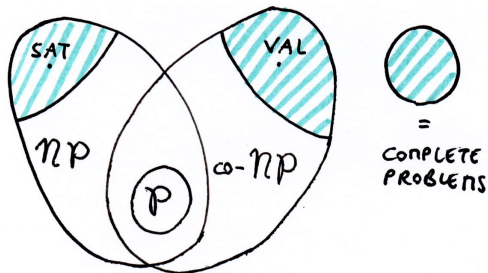
Deep Inference

Atomic Flows

Cut Elimination

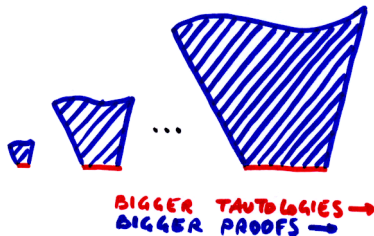
Concluding Remarks

# Overview of (Some!) Complexity Classes



- ▶  $\mathcal{NP}$  = class of problems that are verifiable in polynomial time.
- ▶ SAT = 'Is a propositional formula satisfiable?' (Yes: here is a satisfying assignment.)
- ▶  $\text{co-}\mathcal{NP}$  = class of problems that are disqualifiable in polynomial time.
- ▶ VAL = 'Is a propositional formula valid?' (No: here is a falsifying assignment.)
- ▶  $\mathcal{P}$  = class of problems that can be solved in polynomial time.
- ▶  $\mathcal{NP} \neq \text{co-}\mathcal{NP}$  implies  $\mathcal{P} \neq \mathcal{NP}$ .

# Proof Systems



- ▶ Proof complexity = proof size.
- ▶ Proof system = algorithm that verifies proofs in polynomial time on their size.
- ▶ Important question: **What is the relation between size of tautologies and size of minimal proofs?**

# Example of Proof System: Frege

$$A \supset (B \supset A),$$

$$\text{Axioms: } (A \supset (B \supset C)) \supset ((A \supset B) \supset (A \supset C)),$$

$$(\neg B \supset \neg A) \supset ((\neg B \supset A) \supset B),$$

$$\text{Modus ponens, or cut, rule: } \frac{A \quad A \supset B}{B}.$$

Example:

$$\frac{\frac{a \supset (a \supset a)}{a \supset (a \supset a)} \quad \frac{a \supset ((a \supset a) \supset a) \quad (a \supset ((a \supset a) \supset a)) \supset ((a \supset (a \supset a)) \supset (a \supset a))}{(a \supset ((a \supset a) \supset a)) \supset ((a \supset (a \supset a)) \supset (a \supset a))}}{(a \supset ((a \supset a) \supset a)) \supset ((a \supset (a \supset a)) \supset (a \supset a))} \quad \frac{a \supset (a \supset a) \quad (a \supset ((a \supset a) \supset a)) \supset ((a \supset (a \supset a)) \supset (a \supset a))}{a \supset a}$$

Robustness: all Frege systems are polynomially equivalent.

## Example of Proof System: Gentzen Sequent Calculus

One axiom, many rules.

Example:

$$\begin{array}{c}
 \text{V}_{\text{RL}} \frac{a \vdash a}{a \vdash a \vee (a \supset \perp)} \quad a, \perp \vdash \perp \\
 \supset_{\text{L}} \frac{\quad}{a, (a \vee (a \supset \perp)) \supset \perp \vdash \perp} \\
 \text{V}_{\text{L}} \frac{\quad}{a \vee (a \supset \perp), (a \vee (a \supset \perp)) \supset \perp \vdash \perp} \\
 \supset_{\text{R}} \frac{\quad}{a \vee (a \supset \perp) \vdash ((a \vee (a \supset \perp)) \supset \perp) \supset \perp}
 \end{array}
 \qquad
 \begin{array}{c}
 \supset_{\text{L}} \frac{a \vdash a \quad \perp, a \vdash \perp}{a \supset \perp, a \vdash \perp} \\
 \supset_{\text{R}} \frac{\quad}{a \supset \perp \vdash a \supset \perp} \\
 \text{V}_{\text{RR}} \frac{\quad}{a \supset \perp \vdash a \vee (a \supset \perp)} \quad a \supset \perp, \perp \vdash \perp \\
 \supset_{\text{L}} \frac{\quad}{a \supset \perp, (a \vee (a \supset \perp)) \supset \perp \vdash \perp}
 \end{array}$$

This is a special case of Frege, important because it admits complete and **analytic** proof systems (*i.e.*, cut-free proof systems, by which consistency proofs can be obtained).

Frege and Gentzen systems are polynomially equivalent.

## Example of Proof System: Deep Inference

Proofs can be composed by the same operators as formulae.

Example:

$$= \frac{\left( \frac{\frac{a \wedge \frac{\bar{a} \vee \bar{a}}{f}}{a \wedge \frac{\bar{a}}{f}}}{s} \vee \frac{a \wedge a}{a \wedge a} \right) \wedge \bar{a}}{a \wedge \frac{a \wedge \bar{a}}{f}}$$

This is a generalisation of Frege, which admits complete and **local** proof systems (*i.e.*, where steps can be verified in constant time).

Frege and deep-inference systems are polynomially equivalent.

The **calculus of structures** (CoS) is now a completely developed deep inference formalism.

# Proof Complexity and the $\mathcal{NP}$ Vs. $\text{co-}\mathcal{NP}$ Problem

- ▶ Theorem [Cook & Reckhow(1974)]:

*There exists an efficient proof system*  
*iff*  
 $\mathcal{NP} = \text{co-}\mathcal{NP}$

where 'efficient' = admitting proofs that are verifiable in polynomial time over the size of the proved formula.

- ▶ **Is there an always efficient proof system?** Probably not, and this is, obviously, **hard**.
- ▶ **Is there an optimal proof system?** (in the sense that it polynomially simulates all others.) We don't know, and this is **perhaps feasible**.



# Compressing Proofs 1

Thus, an important question is:

How can we make proofs smaller?

These are known mechanisms:

1. Use **higher orders** (for example, second order propositional, for propositional formulae).
2. Add **substitution**:  $\text{sub} \frac{A}{A\sigma}$ .
3. Add Tseitin **extension**:  $p \leftrightarrow A$  (where  $p$  is a fresh atom).
4. Use the same sub-proof many times, via the **cut rule**.
5. Use the same sub-proof many times, in dag-ness, or **cocontraction**.

Only 5 is allowed in analytic proof systems.

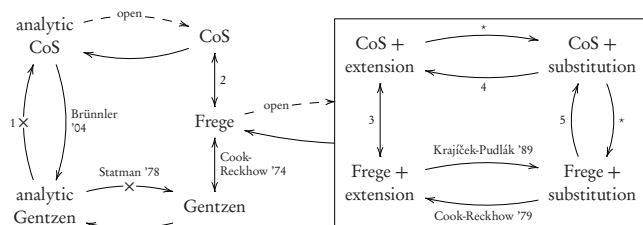
4 is the most studied form of compression, and the main topic of this talk, together with 5.

## Compressing Proofs 2

Some facts:

- ▶ Substitution and extension are equivalent when added to Frege and to deep inference (not a trivial result).
- ▶ Any of these systems is usually called EF (for Extended Frege) and is considered the most interesting candidate as optimal proof system.
- ▶ Deep inference has the best representation for EF (the equivalence between extension and substitution becomes almost trivial).
- ▶ The EF compression in deep inference leads to a bureaucracy-free formalism (but this is a topic for another talk).

# Proof Complexity and Deep Inference



Deep inference has as small proofs as the best systems (2,3,4,5,\*)  
and  
it has a normalisation theory  
and  
its analytic proof systems are more powerful than Gentzen ones (1)  
and  
cut elimination is  $n^{O(\log n)}$ , i.e., **quasipolynomial** (instead of exponential).

(See [Jeřábek(2009), Bruscoli & Guglielmi(2009), Bruscoli et al.(2009)Bruscoli, Guglielmi, Gundersen, & Parigot]).

# (Proof) System SKS

[Brünnler & Tiu(2001)]

- ▶ **Atomic** rules:

$\text{ai}\downarrow \frac{t}{a \vee \bar{a}}$	$\text{aw}\downarrow \frac{f}{a}$	$\text{ac}\downarrow \frac{a \vee a}{a}$
<i>identity</i>	<i>weakening</i>	<i>contraction</i>
$\text{ai}\uparrow \frac{a \wedge \bar{a}}{f}$	$\text{aw}\uparrow \frac{a}{t}$	$\text{ac}\uparrow \frac{a}{a \wedge a}$
<i>cut</i>	<i>coweakening</i>	<i>cocontraction</i>

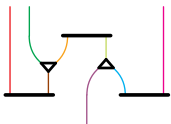
- ▶ **Linear** rules:

$\text{s} \frac{\alpha \wedge [\beta \vee \gamma]}{(\alpha \wedge \beta) \vee \gamma}$	$\text{m} \frac{(\alpha \wedge \beta) \vee (\gamma \wedge \delta)}{[\alpha \vee \gamma] \wedge [\beta \vee \delta]}$
<i>switch</i>	<i>medial</i>

- ▶ Plus an '=' linear rule (associativity, commutativity, units).
- ▶ Rules are applied anywhere inside formulae.
- ▶ Negation on atoms only.
- ▶ Cut is atomic.
- ▶ SKS is **complete** and implicationaly complete for propositional logic.

# (Atomic) Flows

$$\begin{array}{c}
 \frac{t}{a \vee \bar{a}} \\
 \frac{m}{[a \vee t] \wedge [t \vee \bar{a}]} \\
 \frac{s}{\left[ \frac{[a \vee t] \wedge \bar{a}}{\frac{a \wedge \bar{a}}{f} \vee t} \right]}
 \end{array}
 =
 \left(
 \begin{array}{c}
 a \wedge \left[ \frac{\bar{a} \vee \frac{t}{\bar{a} \vee a}}{\bar{a} \vee \bar{a}} \right] \\
 \frac{s}{\frac{a \wedge \bar{a}}{\bar{a}} \vee \frac{a}{a \wedge a}} \wedge \bar{a} \\
 \frac{f}{a \wedge \frac{a \wedge \bar{a}}{f}}
 \end{array}
 \right)
 \frac{m}{\frac{a}{a \wedge a} \vee \frac{b}{b \wedge b}} \wedge \frac{a}{a \wedge a}$$



- ▶ Below derivations, their (atomic) flows are shown.
- ▶ Only **structural** information is retained in flows.
- ▶ Logical information is **lost**.
- ▶ Flow size is **polynomially related** to derivation size.

# Flow Reductions: (Co)Weakening (1)

Consider these flow reductions:

$$\text{aw}\downarrow\text{-ac}\downarrow: \begin{array}{c} \nabla \\ \swarrow \quad \searrow \\ \quad \nabla \\ \quad | \\ \quad 1,2 \end{array} \rightarrow \begin{array}{c} | \\ 1,2 \end{array}$$

$$\text{ac}\uparrow\text{-aw}\uparrow: \begin{array}{c} | \\ \quad \nabla \\ \swarrow \quad \searrow \\ \nabla \quad \nabla \end{array} \rightarrow \begin{array}{c} | \\ 1,2 \end{array}$$

$$\text{aw}\downarrow\text{-ai}\uparrow: \begin{array}{c} \nabla \\ | \\ \hline \quad | \\ \quad 1 \end{array} \rightarrow \begin{array}{c} \nabla \\ | \\ 1 \end{array}$$

$$\text{ai}\downarrow\text{-aw}\uparrow: \begin{array}{c} \hline \quad | \\ \nabla \quad \nabla \end{array} \rightarrow \begin{array}{c} \nabla \\ | \\ 1 \end{array}$$

$$\text{aw}\downarrow\text{-aw}\uparrow: \begin{array}{c} \nabla \\ | \\ \nabla \end{array} \rightarrow$$

$$\text{aw}\downarrow\text{-ac}\uparrow: \begin{array}{c} \nabla \\ \swarrow \quad \searrow \\ \nabla \quad \nabla \\ | \quad | \\ 1 \quad 2 \end{array} \rightarrow \begin{array}{c} \nabla \\ | \\ 1 \end{array} \quad \begin{array}{c} \nabla \\ | \\ 2 \end{array}$$

$$\text{ac}\downarrow\text{-aw}\uparrow: \begin{array}{c} \nabla \\ \swarrow \quad \searrow \\ \quad \nabla \\ \quad | \\ \quad 1,2 \end{array} \rightarrow \begin{array}{c} | \\ \nabla \\ 1 \end{array} \quad \begin{array}{c} | \\ \nabla \\ 2 \end{array}$$

Each of them corresponds to a correct derivation reduction.

# Flow Reductions: (Co)Weakening (2)

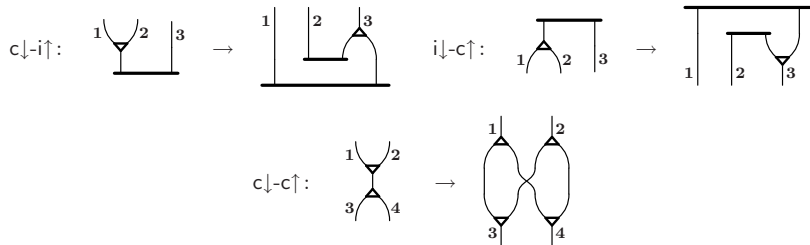
For example,  $\text{ai}\downarrow\text{-aw}\uparrow$ :   $\rightarrow$   specifies that

$$\begin{array}{c}
 \Pi'' \parallel \\
 \xi \left\{ \frac{t}{a^\epsilon \vee \bar{a}} \right\} \\
 \Phi \parallel \\
 \zeta \left\{ \frac{a^\epsilon}{t} \right\} \\
 \Psi \parallel \\
 \alpha
 \end{array}
 \quad \text{becomes} \quad
 \begin{array}{c}
 \Pi'' \parallel \\
 \xi \left[ t \vee \frac{f}{\bar{a}} \right] \\
 \Phi_{\{a^\epsilon/t\}} \parallel \\
 \zeta \{t\} \\
 \Psi \parallel \\
 \alpha
 \end{array}$$

We can operate on flow reductions instead than on derivations: it is **much easier** and we get **natural, syntax-independent induction measures**.

# Flow Reductions: (Co)Contraction

Consider these flow reductions:



- ▶ They conserve the **number and length of paths**.
- ▶ Note that they can blow up a derivation **exponentially**.
- ▶ It's a good thing: cocontraction is a **new** compression mechanism (sharing?).
- ▶ Open problem: **does cocontraction provide exponential compression?** Conjecture: yes.



# Normalisation Overview

SYMMETRIC GENERALISATION

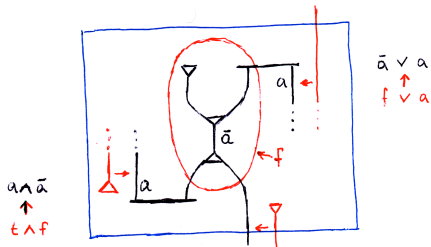
	CUT ELIMINATION	STRETLINING
EXPONENTIAL	- <b>SIMPLE EXPERIMENTS</b>	- 'OPTIMISABLE' PROCEDURE ① - BY THE 'NORMALISER' ②
QUASI POLYNOMIAL	- BY 'THRESHOLD FUNCTIONS' ③	- BY 'THRESHOLD FUNCTIONS' ④

- ▶ None of these methods existed before atomic flows, none of them requires permutations or other syntactic devices.
- ▶ **Quasipolynomial** procedures are **surprising**.
- ▶ Conjecture: **polynomial** normalisation is possible.

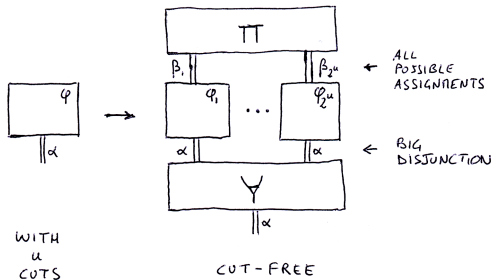
(1) [Guglielmi & Gundersen(2008)]; (2,4) forthcoming; (3) [Bruscoli et al.(2009)Bruscoli, Guglielmi, Gundersen, & Parigot].

# Cut Elimination (on Proofs) by 'Experiments'

Experiment:

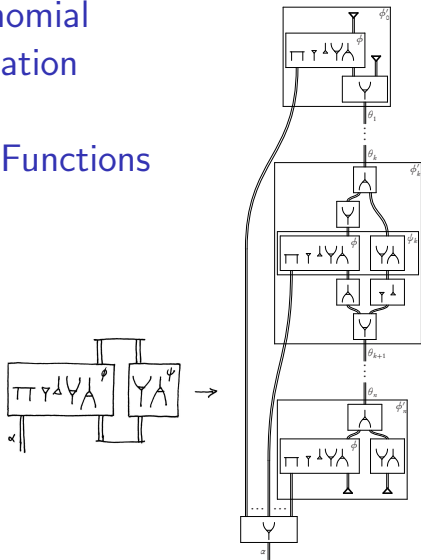


We do:



Simple, exponential cut elimination; proof generates  $2^n$  experiments. (No use of contraction!)

# Quasipolynomial Cut Elimination by Threshold Functions



Only  $n + 1$  copies of the proof are stitched together. It's complicated, Tom will explain, but note **local cocontraction** (= better sharing, not available in Gentzen).

## Some Comments

(that don't all follow from what precedes)

- ▶ (Exponential) normalisation **does not depend on logical rules**.
- ▶ It only depends on structural information, *i.e.*, **geometry**.
- ▶ Normalisation is **extremely robust**.
- ▶ Deep inference's **locality** is key.
- ▶ Complexity-wise, deep inference is **as powerful** as the best formalisms,
- ▶ and **more powerful** if analyticity is requested.
- ▶ Deep inference is the continuation of Girard politics with **other means**.

In my opinion, much of the future of structural proof theory is in geometric methods: we have to free ourselves from the **tyranny of syntax** (so, war to bureaucracy!).



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*ACM Transactions on Computational Logic*, 10(2), 1–34.

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Cook, S., & Reckhow, R. (1974).

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Guglielmi, A., & Gundersen, T. (2008).

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