

Deadlock

Avoidance

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An unsafe request will not be granted by the OS

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The Banker's Algorithm

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1. Feasibility test. To see if a request is possible

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The name comes from the concept of a bank lending money and then having it repaid

It makes two tests

1. Feasibility test. To see if a request is possible
2. Safety test. To see if a request is safe (cannot lead to deadlock)

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Only requests that are both feasible and safe are granted

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Note that a request that is feasible but not safe implies a resource is lying idle

But we are erring on the side of safety at the cost of efficiency

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A request is *feasible* if, after granting the request, the total of allocated resource does not exceed the actual resource

That is, if we can actually satisfy the request. Don't allocate 10GB of memory if you only have 2GB

Sometimes it can be all-or-nothing: allocate access to the sound card, or not

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A state is *safe* if there is *at least one* possible future sequence of resource allocations and releases by which all processes can complete their computation (never deadlock)

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More than one route is better, but make sure there is at least one

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More than one route is better, but make sure there is at least one

A request is *safe* if, after granting the request, this leads to a safe state

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Dijkstra's Banking Algorithm:

Grant an allocation request only if this leads to a safe state

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Dijkstra's Banking Algorithm:

Grant an allocation request only if this leads to a safe state

This will *ensure* we are always deadlock-free, but can sometimes deny an allocation that might have been OK: it might have caused a deadlock, but by chance didn't happen to do so on some particular occasion

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In the implementation of this algorithm, for each process we need to know

- The current allocation to that process
- The maximum allocation that process might ever want

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Example. There are 12GB of memory and three processes sharing it

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The Banker's Algorithm

Example. There are 12GB of memory and three processes sharing it

	Current allocation	Maximum need
Process 1	1	4
Process 2	4	6
Process 3	5	8
Available	2	

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The Banker's Algorithm

	Current allocation	Maximum need
Process 1	1	4
Process 2	4	6
Process 3	5	8
Available	2	

This is a safe state because all three processes can finish: we can demonstrate a path to completion for all processes

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	Current allocation	Maximum need
Process 1	1	4
Process 2	4	6
Process 3	5	8
Available	2	

Process 2 currently has 4GB, but might eventually need 6

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The Banker's Algorithm

	Current allocation	Maximum need
Process 1	1	4
Process 2	6	6
Process 3	5	8
Available	0	

If the 2GB available are given to Process 2

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	Current allocation	Maximum need
Process 1	1	4
Process 2	0	6
Process 3	5	8
Available	6	

Process 2 can finish releasing 6GB

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	Current allocation	Maximum need
Process 1	4	4
Process 3	5	8
Available	3	

Then 3GB can be given to Process 1

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The Banker's Algorithm

	Current allocation	Maximum need
Process 3	5	8
Available	7	

which can then finish, releasing 4GB

Deadlock

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The Banker's Algorithm

	Current allocation	Maximum need
Process 3	8	8
Available	4	

And then 3GB can be given to Process 3

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	Current allocation	Maximum need
Available	12	

Which can now finish

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Thus there exists a path to completion for all processes where every process gets all the resources it might need: this is what the Banker's algorithm requires

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This path may or may not be the actual one taken, e.g., Process 3 *might* exit without requiring that extra 3GB; this, of course, leads to another safe state

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Thus there exists a path to completion for all processes where every process gets all the resources it might need: this is what the Banker's algorithm requires

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But we still need to be careful with allocations, as it is possible to move from a safe state to an unsafe one

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	Current allocation	Maximum need
Process 1	1	4
Process 2	4	6
Process 3	5	8
Available	2	

If Process 3 requests 1GB and this is granted

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	Current allocation	Maximum need
Process 1	1	4
Process 2	4	6
Process 3	6	8
Available	1	

This is an unsafe state

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	Current allocation	Maximum need
Process 1	1	4
Process 2	4	6
Process 3	6	8
Available	1	

Not necessarily deadlocked, but now we can't guarantee completion

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	Current allocation	Maximum need
Process 1	1	4
Process 2	4	6
Process 3	6	8
Available	1	

No process can be guaranteed to get enough resources to complete

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	Current allocation	Maximum need
Process 1	1	4
Process 2	4	6
Process 3	6	8
Available	1	

If we are lucky, a process might be able to finish without its maximum possible need

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	Current allocation	Maximum need
Process 1	1	4
Process 2	4	6
Process 3	6	8
Available	1	

But an OS can't rely on luck

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There are several problems with this algorithm

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- The population of processes must remain fixed: not in a general-purpose OS

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- The population of processes must remain fixed: not in a general-purpose OS
- Processes must know their maximum needs in advance: very unlikely

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- Safety detection is quite expensive to compute, particularly with multiple resources

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- There must be a fixed number of resources to allocate: a fair assumption, but not always true
- The population of processes must remain fixed: not in a general-purpose OS
- Processes must know their maximum needs in advance: very unlikely
- Safety detection is quite expensive to compute, particularly with multiple resources
- It can sometimes refuse a request that could have turned out to be OK (by luck, perhaps): this leads to idle resources