

# Fewnomials and tame topology

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# Ideology of the fewnomial theory

Geometric objects defined in  $\mathbb{R}^n$  by “simple” formulae should have a “simple” topology.

Example

The theory of fewnomials is a far-reaching generalization of this example.

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- Polynomial equation  $f \equiv a_d X^d + \dots + a_1 X + a_0 = 0$  has at most  $d$  real solutions.

$d$  is small  $\Rightarrow$  number of the connected components of the set defined by the equation is small.

- Let the polynomial  $f$  have  $m$  monomials (terms with  $\neq 0$  coefficients).

Descartes' rule  $\Rightarrow$  The number of positive solutions of  $f = 0$  is less than  $m \Rightarrow$  the number of all solutions is  $\leq 2m + 1$ .

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# Complexity of a polynomial

$n$ -variate polynomial

$$f \equiv \sum_{(i_1, \dots, i_n)} a_{i_1, \dots, i_n} X_1^{i_1} \cdots X_n^{i_n}$$

with every  $a_{i_1, \dots, i_n} \in \mathbb{R}$ .

What is natural to call its complexity?

$n$  will always be a part of the complexity measure  $(n, \cdot)$ , what else?

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- Degree  $d = \max_{(i_1, \dots, i_n)} (i_1 + \dots + i_n)$ .

But  $X^{100} - 1$ .

- Number of monomials  $m$ . Fewnomials.

But  $(X - 1)^{100}$ .

- Additive complexity: a number  $a$  such that an expression representing  $f$  can be constructed using at most  $a$  additions and subtractions, and an unlimited number of multiplications (version: multiplications and divisions).

$(X^{101} - 1)/(X - 1) = X^{100} + \dots + 1$

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# Bezout theorem

Polynomials  $f_1, \dots, f_n \in \mathbb{C}[X_1, \dots, X_n]$  of degrees  $d_1, \dots, d_n$  respectively.

A solution  $\mathbf{x}$  of  $f_1 = \dots = f_n = 0$  is **non-singular** if

$$\det \left( \frac{\partial f_i}{\partial X_j} \right) \Big|_{\mathbf{x}} \neq 0$$

## Theorem

*The number of non-singular solutions in  $\mathbb{C}^n$  of  $f_1 = \dots = f_n = 0$  is at most  $d_1 \cdot \dots \cdot d_n$ .*

## Corollary

*Same for  $f_1, \dots, f_n \in \mathbb{R}[X_1, \dots, X_n]$  and solutions in  $\mathbb{R}^n$ .*

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“Bezout theorem” for fewnomials.

Polynomials  $f_1, \dots, f_n \in \mathbb{R}[X_1, \dots, X_n]$ .

Let  $m$  be the number of different monomials in all polynomials.

Theorem

*The number of non-singular solutions of  $f_1 = \dots = f_n = 0$  in the positive octant of  $\mathbb{R}^n$  is at most  $2^{m(m-1)}(n+1)^m$ .*

Better bounds by Bihan and Sottile.

Exercise

*Deduce an upper bound for the number of non-singular solutions in terms of the additive complexity.*

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# Pfaffian functions

Khovanskii actually proved a bound for much more general functions  $f_i$  than polynomials, **Pfaffian functions**.

Pfaffian functions are real analytic functions satisfying triangular systems of first order partial differential equations with polynomial coefficients.

Include polynomials, algebraic, elementary transcendental functions and their compositions (in appropriate **domains**).

## Example

Exponential polynomial

$$e^{a_1(x_1, \dots, x_n)} + e^{a_2(x_1, \dots, x_n)} - e^{a_3(x_1, \dots, x_n)} + f_4(x_1, \dots, x_n),$$

with polynomials  $f_i$ , is a Pfaffian function in  $\mathbb{R}^n$ .

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# Khovanskii's theorem for Pfaffian functions

Natural complexity measure for systems of differential equations induces the complexity on Pfaffian functions.

Khovanskii's theorem is true for systems of equations  $f_1 = \dots = f_n = 0$ , where  $f_j$  are Pfaffian functions having common domain.

## Example

How to prove the bound for fewnomials.

Coordinate change:  $X_j \rightarrow e^{Y_j}$ .

In the polynomial each monomial  $X_1^{h_1} \dots X_n^{h_n}$  will be replaced by  $e^{h_1 Y_1 + \dots + h_n Y_n}$ .

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# Khovanskii's theorem for Pfaffian functions

Natural complexity measure for systems of differential equations induces the complexity on Pfaffian functions.

Khovanskii's theorem is true for systems of equations  $f_1 = \dots = f_n = 0$ , where  $f_i$  are Pfaffian functions having common domain.

## Example

How to prove the bound for fewnomials.

Coordinate change:  $X_j \rightarrow e^{Y_j}$ .

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# First order formulae

Sets defined in  $\mathbb{R}^n$  by systems of polynomial equations (i.e., intersections of sets of the kind  $\{f = 0\}$  with  $f \in \mathbb{R}[X_1, \dots, X_n]$ ) are called **real algebraic**.

Sets in  $\mathbb{R}^n$  defined by **Boolean combinations** of equations and inequalities (i.e., arbitrary unions, intersections and complements of sets of the kind  $\{f = 0\}$ ,  $\{f > 0\}$ ) are called **semialgebraic**.

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Hence, for projections of semi-Pfaffian sets we have to use a new term, *sub-Pfaffian sets*.

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# Tameness of definable sets

The topology of **definable sets** in  $\mathbb{R}^n$  (semialgebraic, semi-Pfaffian, sub-Pfaffian) is “**tame**”.

- No “pathological” objects, like

$$\{Y = \sin(1/X)\} \cap \{X > 0\} \subset \mathbb{R}^2.$$

Closure in  $\mathbb{R}^n$  of definable is definable.

Connected is path-connected.

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A natural measure for the **complexity of a topological space**  $S \subset \mathbb{R}^n$  is the sequence of ranks of its homology groups

$$b_j(S) = \text{rank } H_j(S, \mathbb{Q}) \text{ (Betti numbers),}$$

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**Aim:** obtain tight (enough) upper bound on the complexity of definable sets as an explicit function of the complexity of the defining formulae.

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Let  $S = \{f_1 = \cdots = f_k = 0\} \subset \mathbb{R}^n$  be an algebraic set,  $f_i \in \mathbb{R}[X_1, \dots, X_n]$ ,  $\deg f_i \leq d$ , the number of different monomials in all  $f_i$  is  $m$  (ignoring differences in  $\neq 0$  coefficients!).

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# Compact nonsingular hypersurface

$$S = \{f_1 = \dots = f_k = 0\} \subset \mathbb{R}^n$$

If  $k = n$  and all points in  $S$  are nonsingular, then Theorem immediately follows from Bezout and Khovanskii.

Let  $S$  be a compact nonsingular hypersurface:  $S = \{f = 0\}$  with  $(\partial f / \partial X_1, \dots, \partial f / \partial X_n)(\mathbf{x}) \neq 0$  for every  $\mathbf{x} \in S$ .

## Morse Theory

Consider  $\pi : S \rightarrow \mathbb{R}$ , the projection of  $S$  on the coordinate  $X_n$ .

**Critical points** of  $\pi$ : tangent points  $\mathbf{x}$  on  $S$  of the sweeping hyperplane  $X_n = \text{const}$  (i.e.,  $(\partial f / \partial X_1, \dots, \partial f / \partial X_{n-1})(\mathbf{x}) = 0$ .)

A critical point  $\mathbf{x}$  is **non-degenerate** if Gaussian curvature of  $S$  at  $\mathbf{x}$  is  $\neq 0$  (i.e., the Hessian  $\neq 0$ ).

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A critical point  $\mathbf{x}$  is **non-degenerate** if Gaussian curvature of  $S$  at  $\mathbf{x}$  is  $\neq 0$  (i.e., the Hessian  $\neq 0$ ).

# Compact nonsingular hypersurface

$$S = \{f_1 = \dots = f_k = 0\} \subset \mathbb{R}^n$$

If  $k = n$  and all points in  $S$  are nonsingular, then Theorem immediately follows from Bezout and Khovanskii.

Let  $S$  be a compact nonsingular hypersurface:  $S = \{f = 0\}$  with  $(\partial f / \partial X_1, \dots, \partial f / \partial X_n)(\mathbf{x}) \neq 0$  for every  $\mathbf{x} \in S$ .

## Morse Theory

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# Compact nonsingular hypersurface

Rotating  $S$  if needed, we can assume that all critical points of  $\pi$  are non-degenerate and all critical values are distinct.

According to Morse Theory,  $b_*(S) \leq$  number of all critical points, provided all are non-degenerate.

Set of all critical points is  $\{f = \partial f / \partial X_1 = \dots = \partial f / \partial X_{n-1} = 0\}$ .

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Let  $S = \{f_1 = \dots = f_k = 0\}$ .

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$$f \equiv f_1^2 + \dots + f_k^2 + \varepsilon(X_1^2 + \dots + X_n^2 - R)$$

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*For all sufficiently small  $\varepsilon > 0$  the sets  $S \cap \{X_1^2 + \dots + X_n^2 \leq R\}$  and  $\{f \leq 0\}$  are homotopy equivalent.*

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# General definable sets

Sets  $S$  definable by systems of **inequalities** or unions of sets defined by systems of inequalities, or **projections** of such sets.

In general, sets in  $\mathbb{R}^n$  satisfying formulae of the kind

$$\forall X^{(1)} \exists X^{(2)} \forall X^{(3)} \dots \exists X^{(s)} F(X, X^{(1)}, \dots, X^{(s)}),$$

where  $X^{(i)} = (X_{i1}, \dots, X_{is_i})$ ,  $X = (X_1, \dots, X_n)$ , and  $F$  is a Boolean combination of equations and inequalities.

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