

INFINITE ENERGY CAVITATING SOLUTIONS: A VARIATIONAL APPROACH*

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Abstract. We study the phenomenon of cavitation for the displacement boundary value problem of radial, isotropic compressible elasticity for a class of stored energy functions of the form $W(F) + h(\det F)$, where W grows like $\|F\|^n$ and n is the space dimension. In this case it follows (from a result of Vodop'yanov, Gol'dshtein, and Reshetnyak) that discontinuous deformations must have infinite energy. After characterizing the rate at which this energy blows up, we introduce a modified energy functional which differs from the original by a null Lagrangian and for which cavitating energy minimizers with finite energy exist. In particular, the Euler–Lagrange equations for the modified energy functional are identical to those for the original problem except for the boundary condition at the inner cavity. This new boundary condition states that a certain modified radial Cauchy stress function has to vanish at the inner cavity. This condition corresponds to the radial Cauchy stress for the original functional diverging to $-\infty$ at the cavity surface. Many previously known variational results for finite energy cavitating solutions now follow for the modified functional, such as the existence of radial energy minimizers, satisfaction of the Euler–Lagrange equations for such minimizers, and the existence of a critical boundary displacement for cavitation. We also discuss a numerical scheme for computing these singular cavitating solutions using regular solutions for punctured balls. We show the convergence of this numerical scheme and give some numerical examples including one for the incompressible limit case. Our approach is motivated in part by the use of the “renormalized energy” for Ginzburg–Landau vortices.

Key words. nonlinear elasticity, cavitation, infinite energy solutions

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1. Introduction. Cavitation (i.e., the formation of holes) is a commonly observed phenomenon in the fracture of polymers and metals (see [5]). In his seminal paper [1], Ball formulated a variational problem, in the setting of nonlinear elasticity, for which the energy minimizing radial deformations of (an initially solid) ball formed a cavity at the center of the deformed ball when the imposed boundary loads or displacements were sufficiently large. Following this paper, there have been numerous studies of aspects of the problem of radial cavitation: some on analytical properties (see, e.g., [23], [18], [13]) and others relating to specific stored energies (a helpful overview is contained in [11]). Subsequent studies, e.g., of [14], [19], [12], [8], have addressed general analytic questions of existence of cavitating energy minimizers in the nonsymmetric case. In all of these works, the Dirichlet part of the stored energy function grows like $\|\nabla \mathbf{u}\|^p$ with $n - 1 < p < n$, where \mathbf{u} is a deformation and n is the space dimension. The case $p = n - 1$ for noncavitating deformations and for a three-dimensional compressible neo-Hookean material ([17]) has been studied in [10] for axisymmetric deformations.

In this paper we study radial solutions of the equations of elasticity for a spherically symmetric, isotropic, hyperelastic, compressible body, for the critical exponent

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$p = n$. It follows in this case that cavitating solutions for the corresponding Euler–Lagrange equations have infinite energy. Using a variational approach, we show that for a general class of stored energy functions, the radial equilibrium equations do have cavitating solutions with infinite Cauchy stress at the origin and satisfying the outer displacement boundary condition. Moreover these solutions are characterized as finite energy minimizers of a modified energy functional (cf. (34)) with the same equilibrium equations as the original functional. Our approach has connections with the work of Henao and Serfaty [9] and Cañulef-Aguilar and Henao [3] for incompressible materials and with the use of the “renormalized” energy in the Ginzburg–Landau vortices problem [2].

The case $n = 2$ of this problem, which corresponds to a two-dimensional compressible neo-Hookean material, was studied by Ball [1, pages 606–607] where he proved, for a particular stored energy function having logarithmic growth for small determinants, the existence of cavitating solutions of the equilibrium equations having infinite Cauchy stress at the origin. His approach is based on an application of Schauder’s fixed point theorem, and although he did not solve the full boundary value problem (there was no attempt to match the outer boundary condition), the cavity size appears as a parameter in his argument which in principle could be adjusted to match the outer boundary condition. The class of stored energy functions studied in this paper (cf. (20)) includes compressible neo-Hookean stored energies widely used in applications. The results of this paper, in the case $n = 2$, thus allow for a variational treatment of cavitation of a disc in two dimensions, which has not been previously possible for such neo-Hookean stored energy functions. The approach should also extend to treat axisymmetric cavitation of a cylinder in three dimensions (the work in [10] on axisymmetric deformations may be relevant here).

Consider a body which in its reference configuration occupies the region

$$(1) \quad \mathcal{B} = \{\mathbf{x} \in \mathbb{R}^n : \|\mathbf{x}\| < 1\},$$

where $n = 2, 3$ and $\|\cdot\|$ denotes the Euclidean norm. Let $\mathbf{u} : \mathcal{B} \rightarrow \mathbb{R}^n$ denote a deformation of the body, and let its *deformation gradient* be

$$(2) \quad \nabla \mathbf{u}(\mathbf{x}) = \frac{\partial \mathbf{u}}{\partial \mathbf{x}}(\mathbf{x}).$$

For smooth deformations, the requirement that $\mathbf{u}(\mathbf{x})$ is locally *invertible and preserves orientation* takes the form

$$(3) \quad \det \nabla \mathbf{u}(\mathbf{x}) > 0, \quad \mathbf{x} \in \mathcal{B}.$$

Let $W : M_+^{n \times n} \rightarrow \mathbb{R}$ be the *stored energy function* of the material of the body, where $M_+^{n \times n} = \{\mathbf{F} \in M^{n \times n} : \det \mathbf{F} > 0\}$ and $M^{n \times n}$ denotes the space of real $n \times n$ matrices. We assume that the stored energy function W satisfies $W \rightarrow \infty$ as either $\det \mathbf{F} \rightarrow 0^+$ or $\|\mathbf{F}\| \rightarrow \infty$. The total energy stored in the body due to the deformation \mathbf{u} is given by

$$(4) \quad E(\mathbf{u}) = \int_{\mathcal{B}} W(\nabla \mathbf{u}(\mathbf{x})) \, d\mathbf{x}.$$

We consider the problem of determining a configuration of the body that satisfies (3) almost everywhere and minimizes (4) among all functions satisfying the boundary condition:

$$(5) \quad \mathbf{u}(\mathbf{x}) = \lambda \mathbf{x}, \quad \mathbf{x} \in \partial \mathcal{B},$$

where $\lambda > 0$ is given. Formally, a sufficiently smooth minimizer satisfies the equilibrium equations

$$(6) \quad \text{Div} \left[\frac{\partial W}{\partial \mathbf{F}}(\nabla \mathbf{u}(\mathbf{x})) \right] = \mathbf{0}.$$

Note that if the stored energy W satisfies a growth condition of the form

$$(7) \quad c_1 \|\mathbf{F}\|^n + c_2 \leq W(\mathbf{F}) \quad \forall \mathbf{F} \text{ with } \det \mathbf{F} > 0,$$

then (cf. [25]) any discontinuous deformation \mathbf{u} of \mathcal{B} with $\det \nabla \mathbf{u} > 0$ a.e. must have infinite energy.

For later reference we mention that if \mathbf{u} is a smooth solution of (6), then (see [7])

$$(8) \quad \text{div} \left[W(\nabla \mathbf{u})\mathbf{x} + \left[\frac{\partial W}{\partial \mathbf{F}}(\nabla \mathbf{u}) \right]^T (\mathbf{u} - (\nabla \mathbf{u})\mathbf{x}) \right] = nW(\nabla \mathbf{u}).$$

If \mathbf{u} is smooth except at the origin, where it opens up a cavity, and \mathcal{B}_ε is a ball of radius $\varepsilon > 0$ around the origin, then integrating this equation over the punctured ball $\mathcal{B} \setminus \mathcal{B}_\varepsilon$, we get that

$$(9) \quad n \int_{\mathcal{B} \setminus \mathcal{B}_\varepsilon} W(\nabla \mathbf{u}(\mathbf{x})) \, d\mathbf{x} = \int_{\partial \mathcal{B}} \left[W(\nabla \mathbf{u})\mathbf{x} + \left[\frac{\partial W}{\partial \mathbf{F}}(\nabla \mathbf{u}) \right]^T (\mathbf{u} - (\nabla \mathbf{u})\mathbf{x}) \right] \cdot \mathbf{N} \, ds(\mathbf{x}) - \int_{\partial \mathcal{B}_\varepsilon} \left[W(\nabla \mathbf{u})\mathbf{x} + \left[\frac{\partial W}{\partial \mathbf{F}}(\nabla \mathbf{u}) \right]^T (\mathbf{u} - (\nabla \mathbf{u})\mathbf{x}) \right] \cdot \mathbf{N} \, ds(\mathbf{x}),$$

where \mathbf{N} is the outer normal to each boundary. Thus the blow-up in the energy as ε becomes small comes from the integral over the boundary $\partial \mathcal{B}_\varepsilon$. Note that this integral is the sum of two terms:

$$(10) \quad \int_{\partial \mathcal{B}_\varepsilon} \left[W(\nabla \mathbf{u})\mathbf{I} - \left[\frac{\partial W}{\partial \mathbf{F}}(\nabla \mathbf{u}) \right]^T (\nabla \mathbf{u}) \right] \mathbf{x} \cdot \mathbf{N} \, ds(\mathbf{x}), \quad \int_{\partial \mathcal{B}_\varepsilon} \left[\frac{\partial W}{\partial \mathbf{F}}(\nabla \mathbf{u}) \right]^T \mathbf{u} \cdot \mathbf{N} \, ds(\mathbf{x}),$$

the second one representing, as $\varepsilon \rightarrow 0$, the work done in opening the cavity. The tensor in brackets in the first boundary integral above is the Eshelby energy-momentum tensor (cf. [4], [6]). It is interesting to note that if the stored energy function grows like $\|\nabla \mathbf{u}\|^p$, then for $p < n$ both terms in (10) tend to zero as $\varepsilon \rightarrow 0$ (cf. [20]), while both tend to infinity if $p > n$. In the case $p = n$ and in the radial case, we will show that the first term has a finite limit while the second one is unbounded as $\varepsilon \rightarrow 0$.

If the material is homogeneous and W is isotropic and frame indifferent, then it follows that

$$(11) \quad W(\mathbf{F}) = \Phi(v_1, \dots, v_n), \quad \mathbf{F} \in M_+^{n \times n},$$

for some function $\Phi : \mathbb{R}_+^n \rightarrow \mathbb{R}$, symmetric in its arguments, where v_1, \dots, v_n are the eigenvalues of $(\mathbf{F}^t \mathbf{F})^{1/2}$ known as the *principal stretches*.

We now restrict attention to the special case in which the deformation $\mathbf{u}(\cdot)$ is *radially symmetric*, so that

$$(12) \quad \mathbf{u}(\mathbf{x}) = r(R) \frac{\mathbf{x}}{R}, \quad \mathbf{x} \in \mathcal{B},$$

for some scalar function $r(\cdot)$, where $R = \|\mathbf{x}\|$. In this case one can easily check that

$$(13) \quad v_1 = r'(R), \quad v_2 = \cdots = v_n = \frac{r(R)}{R}.$$

Thus (4) reduces to

$$(14) \quad E(\mathbf{u}) = \omega_n I(r) = \omega_n \int_0^1 R^{n-1} \Phi \left(r'(R), \frac{r(R)}{R}, \dots, \frac{r(R)}{R} \right) dR,$$

where $\omega_n = 2\pi$ or $\omega_n = 4\pi$ if $n = 2$ or 3 , respectively. (In general ω_n is the area of the unit sphere in \mathbb{R}^n .)

In accord with (3), we have the inequalities

$$(15) \quad r'(R), \frac{r(R)}{R} > 0, \quad 0 < R < 1,$$

and (5) reduces to

$$(16) \quad r(1) = \lambda.$$

Our problem now is to minimize the functional $I(\cdot)$ over the set

$$(17) \quad \mathcal{A}_\lambda = \left\{ r \in W^{1,1}(0,1) : r(1) = \lambda, r'(R) > 0 \text{ for a.e. } R \in (0,1), r(0) \geq 0 \right\}.$$

Formally, the Euler–Lagrange equation for $I(\cdot)$ is given by

$$(18) \quad \frac{d}{dR} [R^{n-1} \Phi_{,1}(r(R))] = (n-1)R^{n-2} \Phi_{,2}(r(R)), \quad 0 < R < 1,$$

subject to (16) and $r(0) \geq 0$, where

$$(19) \quad \Phi_{,i}(r(R)) = \Phi_{,i} \left(r'(R), \frac{r(R)}{R}, \dots, \frac{r(R)}{R} \right), \quad i = 1, \dots, n.$$

If $c = r(0) > 0$, then the deformed ball contains a spherical cavity of radius c . In the case $n = 2$, Ball [1, pages 606–607] gives an example of a stored energy function satisfying (7) and proves existence of corresponding radial cavitating equilibrium solutions of (18) which (necessarily) have infinite energy. His approach is based on an application of Schauder’s fixed point theorem, and although he does not solve the full boundary value problem (there was no attempt to match the outer boundary condition), the cavity size appears as a parameter in his argument which, in principle, could be adjusted to match the outer boundary condition. In this paper we give a characterization of cavitating equilibria with *infinite energy* as minimizers of a *modified* energy functional, which is related to the growth of the radial component of the Cauchy stress of an equilibrium solution near a point of cavitation.

To highlight some of the general structure of the underlying problem, we will state certain of our results for stored energy functions of the form¹

$$(20) \quad \Phi(v_1, \dots, v_n) = \frac{\kappa}{n} \sum_{i=1}^n v_i^n + h(v_1 v_2 \cdots v_n),$$

¹Our results can be readily extended to more general stored energies, e.g., of the form $\frac{\kappa}{n} \sum_{i=1}^n v_i^n + \psi(v_1, \dots, v_n) + h(v_1 v_2 \cdots v_n)$, under suitable assumptions on ψ .

where $\kappa > 0$ and $h : (0, \infty) \rightarrow [0, \infty)$ is a C^1 function that satisfies

$$(21a) \quad h''(d) > 0 \quad \forall d > 0,$$

$$(21b) \quad \lim_{d \rightarrow 0^+} h(d) = \infty, \quad \lim_{d \rightarrow \infty} \frac{h(d)}{d} = \infty,$$

$$(21c) \quad \lim_{d \rightarrow 0^+} h'(d) = -\infty, \quad \lim_{d \rightarrow \infty} h'(d) = \infty.$$

In this case, the energy functional $I(r)$ in (14) takes the form

$$(22) \quad I(r) = \int_0^1 R^{n-1} \left[\frac{\kappa}{n} \left((r'(R))^n + (n-1) \left(\frac{r(R)}{R} \right)^n \right) + h(\delta(R)) \right] dR,$$

where

$$\delta(R) = r'(R) \left(\frac{r(R)}{R} \right)^{n-1}.$$

It is clear that (discontinuous) radial deformations with $r(0) > 0$ must have infinite energy as a result of the term involving r^n in the integrand. In section 2, in the spirit of the “renormalized energy approach” for Ginzburg–Landau vortices (see, e.g., [2]), we characterize the order of the singularity in the energy and in the radial component of Cauchy stress for a cavitating solution as logarithmic in all dimensions. To motivate the form of the regularization, we use the specialization of (8) to the radial case satisfied by smooth solutions of the radial equilibrium equation (18):

$$(23) \quad R^{n-1} \Phi(r(R)) = \frac{d}{dR} \left[\frac{R^n}{n} (\Phi(r(R)) - r' \Phi_{,1}(r(R))) + \frac{r^n}{n} T(r(R)) \right]$$

with the notation in (19) and where

$$(24) \quad T(r(R)) = \left[\frac{R}{r(R)} \right]^{n-1} \Phi_{,1}(r(R))$$

is the radial component of the Cauchy stress. Integrating the above identity from $R = \epsilon$ to $R = 1$ for a cavitating solution and using the form of the stored energy function (20), we show that all boundary terms have a finite limit as $\epsilon \rightarrow 0$ apart from the term

$$(25) \quad - \lim_{\epsilon \rightarrow 0} \frac{r(\epsilon)^n}{n} T(r(\epsilon)),$$

which corresponds to the second term in (10). Thus, the infinite energy of a radial solution of the equilibrium equation with $r(0) > 0$ corresponds to a singularity in the radial Cauchy stress. Thus, the term (25) can be formally interpreted as the (infinite) work required to open the cavity. (If $r(0) = 0$, then this term is zero.) Thus, for a cavitating solution,

$$\lim_{\epsilon \rightarrow 0} \left[\int_{\epsilon}^1 R^{n-1} \Phi \left(r'(R), \frac{r(R)}{R}, \dots, \frac{r(R)}{R} \right) dR + \frac{r(\epsilon)^n}{n} T(r(\epsilon)) \right]$$

is finite. Using the characterization of the asymptotic behavior of the Cauchy stress given in Proposition 2.2, we introduce a modified energy functional, given by

$$(26) \quad \hat{I}(r) = \int_0^1 R^{n-1} \Phi \left(r'(R), \frac{r(R)}{R}, \dots, \frac{r(R)}{R} \right) dR - \frac{\kappa(n-1)}{n} \lim_{R \rightarrow 0} r^n(R) \ln \left(\frac{r(R)}{R} \right),$$

where the last term accounts for the singular behavior in (25). This functional can also be expressed as

$$\hat{I}(r) = \int_0^1 R^{n-1} \left[\frac{\kappa}{n} (r')^n + h(\delta(R)) + \kappa(n-1)\delta(R) \left(\frac{1}{n} + \ln \left(\frac{r}{R} \right) \right) \right] dR - \frac{\kappa(n-1)}{n} \lambda^n \ln \lambda.$$

It is easy to now show that there are $r \in \mathcal{A}_\lambda$, with $r(0) > 0$ for which $\hat{I}(r)$ is finite. Moreover, the Euler–Lagrange equation for this modified functional coincides with that for the original functional (22) since, by construction, they differ by a null Lagrangian term (see Theorem 3.3). Moreover, for many deformations with $r(0) = 0$, in particular for the homogeneous deformation $r(R) \equiv \lambda R$, the two energies coincide. However, we will show that for λ sufficiently large, energy minimizers for the modified functional must satisfy $r(0) > 0$.

Many known results for finite energy cavitating solutions (see, e.g., [1], [23], [18]) now follow by similar methods for the modified functional (26). In particular, in section 3 we show that minimizers of the modified functional exist and that they satisfy the corresponding Euler–Lagrange equations for such minimizers. Moreover, in Proposition 3.6 we show the existence of a critical boundary displacement λ_c for cavitation for the modified functional, for which the minimizers of this functional with $\lambda < \lambda_c$ must be homogeneous.

In section 4 we discuss a numerical scheme for computing the cavitating solutions of the modified functional via solutions on punctured balls. In the usual cavitation problem, the convergence of the solutions on these punctured balls to a solution on the full ball follows from the corresponding properties of solutions of the Euler–Lagrange equations and by a phase plane analysis (cf. [18]). Since the Euler–Lagrange equations for our modified functional are equal (except for the boundary condition at the inner cavity) to those of the original functional, the proof of convergence of the punctured ball solutions in the case of the modified functional is essentially the same as that for a functional in which we have $\frac{\kappa}{p} \sum_{i=1}^n v_i^p + h(v_1 v_2 \cdots v_n)$ with $p < n$, instead of (20). In this section we also discuss some aspects of the convergence of the corresponding strains of the punctured ball solutions depending on the size of the boundary displacement. Finally we close with some numerical examples in section 5 which includes one for the incompressible limit case.

2. The modified energy functional. We call any solution of (18) for which $r(0) > 0$ a *cavitating solution*. In this section we introduce a modified functional $\hat{I}(\cdot)$ defined over \mathcal{A}_λ , having the same Euler–Lagrange equation as $I(\cdot)$, for which cavitating solutions have finite modified energy and for which the corresponding modified radial Cauchy stress function is increasing on cavitating solutions. To achieve this, we first *assume* the existence of a cavitating solution and obtain corresponding estimates that help us to better understand the rate at which the energy of a cavitating solution and the corresponding radial Cauchy stress blow-up at the origin. We then use these estimates to construct a modified variational problem, using which we are able to prove a posteriori that such solutions exist.

Some of the results in this section are stated for general stored energy functions satisfying the following conditions:

$$(H1): \Phi_{,11}(q, v, \dots, v) > 0 \quad \forall q, v > 0;$$

$$(H2): \frac{\Phi_{,1}(q, v, \dots, v) - \Phi_{,2}(q, v, \dots, v)}{q - v} + \Phi_{,12}(q, v, \dots, v) \geq 0 \quad \forall q, v > 0, \quad q \neq v;$$

$$(H3): R(q, v) \equiv \frac{q\Phi_{,1}(q, v, \dots, v) - v\Phi_{,2}(q, v, \dots, v)}{q - v} > 0 \quad \forall q \neq v;$$

$$(H4): \frac{\partial R(q, v)}{\partial q} \geq 0 \text{ for } 0 < q \leq v.$$

It is easy to check that the stored energy functions (20) satisfy these conditions.

We shall make use of the following well-known properties of solutions of (18) (cf. [1], [23]).

PROPOSITION 2.1. *Let $r \in C^2((0, 1]) \cap C([0, 1])$ be a solution of (18) on $[0, 1]$ satisfying $r(0) > 0$ and such that $\delta(R) := r'(R)\left(\frac{r(R)}{R}\right)^{n-1}$ is bounded on $[0, 1]$. Then*

1. $r'(R) < \frac{r(R)}{R}$ on $(0, 1]$,
2. $r'(R) \rightarrow 0$ and $\frac{r(R)}{R} \rightarrow \infty$ as $R \rightarrow 0$,
3. if Φ satisfies (H1) and (H2), then any cavitation solution of (18) satisfies $r''(R) \geq 0$.

Asymptotic behavior of the radial Cauchy stress. The Cauchy stress (24) corresponding to a solution of the radial equilibrium equation (18) satisfies

$$(27) \quad \frac{d}{dR} T(r(R)) = \frac{(n - 1)R^{n-1}}{r^n(R)} \left(\frac{r(R)}{R} \Phi_{,2}(r(R)) - r' \Phi_{,1}(r(R)) \right).$$

For later use, we invert the relation $T = \frac{\Phi_1(v_1, v, \dots, v)}{v^{n-1}}$ to obtain $v_1 = g(v, T)$ and then rewrite (27) in terms of the independent variable $v = \frac{r}{R}$ as

$$(28) \quad \frac{dT(v)}{dv} = -\frac{(n - 1)}{v^n} \left(\frac{v\Phi_{,2}(g(v, T), v, \dots, v) - g(v, T)\Phi_{,1}(g(v, T), v, \dots, v)}{v - g(v, T)} \right).$$

It follows from (27), (28), and (H3) that $T(r(\cdot))$ is monotonic as a function of R or v along radial solutions.

For the specific class of stored energy functions (20), equation (27) becomes

$$\frac{d}{dR} T(r(R)) = \frac{(n - 1)\kappa}{R} - \frac{(n - 1)R^{n-1}\kappa}{r^n} (r')^n.$$

The second term on the right-hand side is integrable on $[0, 1]$ for a cavitating solution r , and so

$$T(r(R)) = (n - 1)\kappa \ln(R) + O(1) \text{ as } R \rightarrow 0.$$

In addition, for the stored energy function (20), equation (28) reduces to

$$\begin{aligned} \frac{dT(v)}{dv} &= -\frac{(n - 1)}{v^n} \kappa \left(\frac{v^n - g(v, T)^n}{v - g(v, T)} \right) \\ &= -\frac{\kappa(n - 1)}{v} \left(1 + \frac{g}{v} + \dots + \frac{g^{n-1}}{v^{n-1}} \right). \end{aligned}$$

Now integrating on $[\lambda, v]$ yields

$$\begin{aligned} T(v) + \kappa(n - 1) \ln v &= T(\lambda) + \kappa(n - 1) \ln \lambda \\ &\quad - \kappa(n - 1) \int_{\lambda}^v \left(\frac{g}{w^2} + \dots + \frac{g^{n-1}}{w^n} \right) dw, \end{aligned}$$

showing that the growth in $T(v)$ is logarithmic in the variable v as $v \rightarrow \infty$. We summarize these results in the following proposition.

PROPOSITION 2.2. *Let $r \in C^2((0, 1]) \cap C([0, 1])$ be a solution of (18) on $[0, 1]$ satisfying $r(0) > 0$. Then, for the stored energy function (20), the radial component of Cauchy stress given by (24) satisfies that*

$$\lim_{R \rightarrow 0^+} (T(r(R)) - (n - 1)\kappa \ln(R))$$

is finite, and as a function of the circumferential strain $v = \frac{r}{R}$,

$$\lim_{v \rightarrow \infty} (T(v) + \kappa(n - 1) \ln v)$$

is finite. In particular, $\lim_{R \rightarrow 0^+} T(r(R)) = \lim_{v \rightarrow \infty} T(v) = -\infty$.

Asymptotic behavior of the determinant.

LEMMA 2.3. [24, Theorem 3.1]. *Assume that (H1)–(H4) hold. Then the determinant function δ (see (22)) corresponding to a cavitation solution, as a function of the circumferential strain $v = \frac{r}{R}$, satisfies*

$$(29) \quad \frac{1}{v^{n-1}} \Phi_{,11} \frac{d\delta}{dv} = (n - 1)v^{-1} (q(v) - v) \frac{\partial R}{\partial q}(q(v), v),$$

where $q(v) = \delta(v)/v^{n-1}$. Hence, $\delta(v)$ is a monotone decreasing function of v .

Combining Proposition 2.2 and Lemma 2.3 we obtain the following.

COROLLARY 2.4. *For the stored energy function (20), the determinant function corresponding to a cavitation solution, as a function of the circumferential strain v , satisfies*

$$(30) \quad \left(1 + \frac{1}{(n - 1)\kappa} \frac{v^{n(n-1)}}{\delta^{n-2}} h''(\delta) \right) \frac{d\delta}{dv} = \frac{v^{n(n-2)}}{\delta^{n-2}} \left[-v^{n-1} - v^{n-1} \sum_{j=1}^{n-2} v^{-j} \left(\frac{\delta}{v^{n-1}} \right)^j + (n - 1) \frac{\delta^{n-1}}{v^{(n-1)^2}} \right].$$

Moreover, provided $h'(d) \rightarrow -\infty$ as $d \rightarrow 0^+$, it follows that $\delta(v) \rightarrow 0^+$ as $v \rightarrow \infty$.

We close this section now by establishing conditions under which the term in the energy functional (22), containing the function $h(\cdot)$, is finite for a cavitating solution.

PROPOSITION 2.5. *Let the function $h(\cdot)$ in (20) satisfy the inequalities*

$$(31) \quad \frac{K_1}{d^{\gamma+2}} \leq h''(d) \leq \frac{K_2}{d^{\gamma+2}}, \quad d \leq d_0,$$

and

$$(32) \quad \frac{K_1}{d^\gamma} \leq h(d) \leq \frac{K_2}{d^\gamma}, \quad d \leq d_0,$$

for some $\gamma > 0$ and $d_0 > 0$. Then the integral $\int_0^1 R^{n-1} h(\delta(R)) dR$ is finite for a determinant function $\delta(\cdot)$ corresponding to a cavitating solution.

Proof. Since by Corollary 2.4, $\delta(v) \rightarrow 0$ as $v \rightarrow \infty$, we have that for some $v_0 > 0$,

$$\frac{\delta(v)}{v^{n-1}} < \frac{v}{2}, \quad v \geq v_0,$$

where $\delta(v_0) \leq d_0$. Using this, we get that

$$\begin{aligned} -v^{n-1} - v^{n-1} \sum_{j=1}^{n-2} v^{-j} \left(\frac{\delta}{v^{n-1}} \right)^j + (n-1) \frac{\delta^{n-1}}{v^{(n-1)^2}} &\geq -v^{n-1} - v^{n-1} \sum_{j=1}^{n-2} v^{-j} \left(\frac{v}{2} \right)^j \\ &= v^{n-1}(-2 + 2^{2-n}). \end{aligned}$$

Similarly we can get that

$$-v^{n-1} - v^{n-1} \sum_{j=1}^{n-2} v^{-j} \left(\frac{\delta}{v^{n-1}} \right)^j + (n-1) \frac{\delta^{n-1}}{v^{(n-1)^2}} \leq v^{n-1}(-1 + (n-1)2^{1-n}).$$

It follows now from (31) that

$$\frac{\kappa(n-1)}{2K_2v^n} \delta^{\gamma+2} \leq \frac{v^{n(n-2)}}{\delta^{n-2}} \left(1 + \frac{1}{(n-1)\kappa} \frac{v^{n(n-1)}}{\delta^{n-2}} h''(\delta) \right)^{-1} \leq \frac{\kappa(n-1)}{K_1v^n} \delta^{\gamma+2}.$$

It follows now from (30) and the previous estimates that

$$(n-1) \frac{\kappa}{vK_1} (-2 + 2^{2-n}) \delta^{\gamma+2} \leq \frac{d\delta}{dv} \leq (n-1) \frac{\kappa}{2vK_2} (-1 + (n-1)2^{1-n}) \delta^{\gamma+2}, \quad v \geq v_0.$$

We have now that

$$(33) \quad C_1 \ln(v) + C_2 \leq \frac{1}{\delta^{\gamma+1}} \leq C_3 \ln(v) + C_4, \quad v \geq v_0,$$

for some constants C_i , $i = 1, 2, 3, 4$, with C_1 and C_3 positive. The result now follows from this estimate and the hypothesis (32). \square

Asymptotic behavior of the energy functional. We next study the rate at which the stored energy of a cavitating equilibrium diverges to infinity for stored energy functions of the form (20). We do this using the divergence identity (23).

PROPOSITION 2.6. *Suppose that Φ is of the form (20), and define the modified energy functional by*

$$(34) \quad \hat{I}(r) := \lim_{\epsilon \rightarrow 0^+} \left[\int_{\epsilon}^1 R^{n-1} \Phi(r(R)) \, dR - \frac{\kappa(n-1)}{n} r(\epsilon)^n \ln \left(\frac{r(\epsilon)}{\epsilon} \right) \right].$$

Assume that the function $h(\cdot)$ in (20) satisfies

$$(35) \quad |dh'(cd)| \leq K[h(d) + 1], \quad |c-1| \leq \gamma_0,$$

for some positive constants K, γ_0 . Let $r \in C^2((0, 1]) \cap C([0, 1])$ be a solution of (18) on $[0, 1]$. Then

1. *if $r(0) > 0$ and $\delta(R) := r'(R) \left(\frac{r(R)}{R} \right)^{n-1}$ is bounded on $[0, 1]$, the modified energy $\hat{I}(r)$ is finite and given by*

$$(36) \quad \begin{aligned} &\frac{1}{n} [\Phi(r(1)) - r'(1)\Phi_{,1}(r(1)) + \lambda^n T(\lambda)] - \frac{\kappa(n-1)}{n^2} r(0)^n \\ &- \lim_{\epsilon \rightarrow 0^+} \left[T(r(\epsilon)) + \kappa(n-1) \ln \left(\frac{r(\epsilon)}{\epsilon} \right) \right] \frac{r^n(\epsilon)}{n}; \end{aligned}$$

2. if $r(0) = 0$, then $\hat{I}(r) = I(r)$ (possibly infinite) (so that \hat{I} agrees with the unmodified energy functional on noncavitating equilibria).

Proof. By the fundamental theorem of calculus, it follows that

$$\begin{aligned} & \int_{\epsilon}^1 R^{n-1} \Phi(r(R)) \, dR - \frac{\kappa(n-1)}{n} r(\epsilon)^n \ln \left(\frac{r(\epsilon)}{\epsilon} \right) \\ &= \int_{\epsilon}^1 \left[R^{n-1} \Phi(r(R)) + \frac{\kappa(n-1)}{n} \frac{d}{dR} \left(\ln \left(\frac{r}{R} \right) r^n \right) \right] dR - \frac{\kappa(n-1)}{n} \lambda^n \ln \lambda. \end{aligned}$$

On noting that

$$\frac{d}{dR} \left(\ln \left(\frac{r}{R} \right) r^n \right) = nr^{n-1} r' \ln \left(\frac{r}{R} \right) + \frac{d}{dR} \left(\frac{r^n}{n} \right) - R^{n-1} \left(\frac{r}{R} \right)^n,$$

it follows from (20) and the above that \hat{I} is also expressible as

$$\begin{aligned} \hat{I}(r) = \lim_{\epsilon \rightarrow 0^+} \int_{\epsilon}^1 R^{n-1} & \left[\frac{\kappa}{n} (r')^n + h(\delta(R)) + \kappa(n-1)\delta(R) \left(\frac{1}{n} + \ln \left(\frac{r}{R} \right) \right) \right] dR \\ & - \frac{\kappa(n-1)}{n} \lambda^n \ln \lambda. \end{aligned}$$

By Proposition 2.5 and (38) below, the integrand in this expression is integrable on $(0, 1)$ for a cavitating solution. Thus the limit as $\epsilon \rightarrow 0^+$ is finite and equal to

$$\begin{aligned} \hat{I}(r) = \int_0^1 R^{n-1} & \left[\frac{\kappa}{n} (r')^n + h(\delta(R)) + \kappa(n-1)\delta(R) \left(\frac{1}{n} + \ln \left(\frac{r}{R} \right) \right) \right] dR \\ (37) \quad & - \frac{\kappa(n-1)}{n} \lambda^n \ln \lambda. \end{aligned}$$

As r is a solution of (18), it follows from (23) that

$$\begin{aligned} \int_{\epsilon}^1 R^{n-1} \Phi(r(R)) \, dR &= \frac{1}{n} [\Phi(r(1)) - r'(1)\Phi_{,1}(r(1)) + \lambda^n T(r(1))] \\ & - \frac{1}{n} [\epsilon^n (\Phi(r(\epsilon)) - r'(\epsilon)\Phi_{,1}(r(\epsilon))) + r(\epsilon)^n T(r(\epsilon))]. \end{aligned}$$

Since $R^{n-1}h(\delta(R))$ is integrable in $(0, 1)$ and r' is bounded, it follows that

$$\lim_{\epsilon \rightarrow 0^+} \epsilon^n \Phi(r(\epsilon)) = \lim_{\epsilon \rightarrow 0^+} \frac{\kappa(n-1)}{n} r(\epsilon)^n.$$

Similarly, this time using (35), we obtain

$$\lim_{\epsilon \rightarrow 0^+} \epsilon^n r'(\epsilon) \Phi_{,1}(r(\epsilon)) = 0.$$

The result (36) follows from these limits, definition (34), and Proposition 2.2.

For the second part, let $L = \lim_{R \rightarrow 0^+} \frac{r(R)}{R}$, and assume that $\frac{r(R)}{R}$ is not constant. If $L \in [0, \infty)$, it is easy to show that

$$\lim_{\epsilon \rightarrow 0^+} r(\epsilon)^n \ln \left(\frac{r(\epsilon)}{\epsilon} \right) = 0.$$

Thus in this case $\hat{I}(r) = I(r)$. Assume now that $L = \infty$. By Rolle's theorem and the continuity of r' in $(0, 1]$, it follows that $L = \lim_{j \rightarrow \infty} r'(R_j)$ for some sequence $R_j \rightarrow 0^+$. Since $r'(R) < \frac{r(R)}{R}$ in $(0, 1]$, we have by [1, Proposition 6.2] that $T(r(R))$ is strictly increasing. But $\lim_{j \rightarrow \infty} \frac{r(R_j)}{R_j} = \lim_{j \rightarrow \infty} r'(R_j) = \infty$ implies that $T(r(R_j)) \rightarrow \infty$ as $j \rightarrow \infty$ which contradicts that $T(r(R))$ is strictly increasing. Thus $L < \infty$ which completes the proof of the second part. \square

Henceforth we shall employ the representation (37) as that of our modified functional. For later reference we observe that

$$(38) \quad \int_0^1 R^{n-1} \delta(R) \ln\left(\frac{r}{R}\right) dR = \int_{r(0)}^\lambda u^{n-1} \ln(u) du - \int_0^1 R^{n-1} \delta(R) \ln(R) dR,$$

which implies that (37) is bounded below.

3. Existence of minimizers and the Euler–Lagrange equations for the modified functional. In this section we show some of the details of the analysis that establishes the existence of minimizers for the modified functional (37) over (17) and their characterization via the Euler–Lagrange equations. The analysis is very similar to that in [1, section 7], and thus we just highlight the details concerning the extra or new terms in (37). In this respect, we mention that the stored energy function corresponding to the modified functional (37) is given by (39) and does not correspond to an isotropic material. Thus, the results in [1] do not necessarily apply immediately.

THEOREM 3.1. *Assume that the function $h(\cdot)$ is a nonnegative convex function satisfying (21). Then the functional (37) has a minimizer over the set (17).*

Proof. Since the homogeneous deformation $r_h(R) = \lambda R$ belongs to (17) and $\hat{I}(r_h) < \infty$, this together with \hat{I} bounded below shows that

$$\inf_{r \in \mathcal{A}_\lambda} \hat{I}(r) \in \mathbb{R}.$$

Let (r_k) be an infimizing sequence. As in [1], we use the change of variables $\rho = R^n$ and set $u_k(\rho) = r_k^n(\rho^{1/n})$. It follows now that

$$\dot{u}_k(\rho) = \frac{du_k}{d\rho}(\rho) = \delta_k(\rho^{1/n}), \quad \delta_k(R) = r'_k(R) \left(\frac{r_k(R)}{R}\right)^{n-1}.$$

From the boundedness of $(\hat{I}(r_k))$ we get that the sequence

$$\left(\int_0^1 h(\dot{u}_k(\rho)) d\rho \right)$$

is bounded. It follows now from (21b) and the De La Vallée-Poussin criterion that for some subsequence (not relabeled) (\dot{u}_k) , we have $\dot{u}_k \rightharpoonup w$ in $L^1(0, 1)$ for some $w \in L^1(0, 1)$ with $w > 0$ a.e. Letting

$$u(\rho) = \lambda^n - \int_\rho^1 w(s) ds$$

and $r(R) = u(R^n)^{1/n}$, we get now that $r_k \rightharpoonup r$ in $W^{1,1}(\varepsilon, 1)$ and that $\delta_k \rightharpoonup \delta = r'(r/R)^{n-1}$ in $L^1(\varepsilon, 1)$ for any $\varepsilon \in (0, 1)$. Using (38) we get that

$$\int_\varepsilon^1 R^{n-1} \delta_k(R) \ln\left(\frac{r_k}{R}\right) dR = \int_{r_k(\varepsilon)}^\lambda u^{n-1} \ln(u) du - \int_\varepsilon^1 R^{n-1} \delta_k(R) \ln(R) dR.$$

Now using that $r_k(\varepsilon) \rightarrow r(\varepsilon)$, $\delta_k \rightarrow \delta$ in $L^1(\varepsilon, 1)$ and that $R^{n-1} \ln(R)$ is bounded on $(\varepsilon, 1)$, we have that

$$\begin{aligned} \lim_{k \rightarrow \infty} \int_{\varepsilon}^1 R^{n-1} \delta_k(R) \ln\left(\frac{r_k}{R}\right) dR &= \int_{r(\varepsilon)}^{\lambda} u^{n-1} \ln(u) du - \int_{\varepsilon}^1 R^{n-1} \delta(R) \ln(R) dR, \\ &= \int_{\varepsilon}^1 R^{n-1} \delta(R) \ln\left(\frac{r}{R}\right) dR. \end{aligned}$$

This together with the convergence of (r_k) and (δ_k) already established and a weak lower semicontinuity argument shows that

$$\hat{I}_{\varepsilon}(r) \leq \liminf_k \hat{I}_{\varepsilon}(r_k) \leq \liminf_k \hat{I}(r_k) = \inf_{r \in \mathcal{A}_{\lambda}} \hat{I}(r),$$

where \hat{I}_{ε} is as in (37) but integrating over $(\varepsilon, 1)$. By the monotone convergence theorem and the arbitrariness of ε it follows that

$$\hat{I}(r) \leq \liminf_k \hat{I}(r_k) = \inf_{r \in \mathcal{A}_{\lambda}} \hat{I}(r).$$

Since $\lambda = r_k(1) \rightarrow r(1)$, we get that $r \in \mathcal{A}_{\lambda}$ and is therefore a minimizer of \hat{I} . □

If we define

$$(39) \quad \hat{\Phi}(v_1, \dots, v_n) = \frac{\kappa}{n} v_1^n + h(v_1 \cdots v_n) + \kappa v_1 \cdots v_n \left(\frac{n-1}{n} + \ln(v_2 \cdots v_n) \right),$$

then (cf. (37))

$$\hat{I}(r) = \int_0^1 R^{n-1} \hat{\Phi}\left(r'(R), \frac{r(R)}{R}, \dots, \frac{r(R)}{R}\right) dR - \frac{\kappa(n-1)}{n} \lambda^n \ln \lambda.$$

Note that $\hat{\Phi}$ does not correspond to an isotropic material as it is not symmetric in its arguments. However, we still have that $\hat{\Phi}_{,k}(q, t, \dots, t) = \hat{\Phi}_{,j}(q, t, \dots, t)$ for $2 \leq k, j \leq n$ and that $\hat{\Phi}$ satisfies (H1)–(H4).

With $\hat{\Phi}_{,i}(r(R)) = \hat{\Phi}_{,i}(r'(R), \frac{r(R)}{R}, \dots, \frac{r(R)}{R})$, $i = 1, 2$, we have that

$$(40a) \quad \begin{aligned} \hat{\Phi}_{,1}(r(R)) &= \kappa r'(R)^{n-1} \\ &+ \left[\frac{r(R)}{R} \right]^{n-1} \left[h'(\delta(R)) + (n-1)\kappa \left(\frac{1}{n} + \ln \left[\frac{r(R)}{R} \right] \right) \right], \end{aligned}$$

$$(40b) \quad \hat{\Phi}_{,2}(r(R)) = r'(R) \left[\frac{r(R)}{R} \right]^{n-2} \left[h'(\delta(R)) + \kappa + \kappa(n-1) \left(\frac{1}{n} + \ln \left[\frac{r(R)}{R} \right] \right) \right],$$

and we define

$$(41) \quad \begin{aligned} \hat{T}(r(R)) &= \left[\frac{r(R)}{R} \right]^{1-n} \hat{\Phi}_1(r(R)), \\ &= \kappa R^{n-1} \left[\frac{r'(R)}{r(R)} \right]^{n-1} + h'(\delta(R)) + (n-1)\kappa \left(\frac{1}{n} + \ln \left[\frac{r(R)}{R} \right] \right). \end{aligned}$$

We call $\hat{T}(r(\cdot))$ the *modified radial Cauchy stress*. The techniques in [1] can now be adapted to show the following result.

THEOREM 3.2. *Let r be any minimizer of \hat{I} over (17). Assume that the function $h(\cdot)$ satisfies (35). Then $r \in C^1(0, 1]$, $r'(R) > 0$ for all $R \in (0, 1]$, $R^{n-1}\hat{\Phi}_1(r(R))$ is $C^1(0, 1]$, and*

$$(42) \quad \frac{d}{dR} \left[R^{n-1}\hat{\Phi}_1(r(R)) \right] = (n-1)R^{n-2}\hat{\Phi}_2(r(R)).$$

Moreover, if $r(0) > 0$, then

$$(43) \quad \lim_{R \rightarrow 0^+} \hat{T}(r(R)) = 0.$$

The next two results are rather straightforward to verify, but they will be quite important for the rest of our development, especially for the phase plane analysis of (42).

THEOREM 3.3. *Let r be any minimizer of \hat{I} over (17), and assume that (35) holds. Then r is a solution of (18), where Φ is as in (20).*

Proof. We know $r \in C^1(0, 1]$. Thus we can expand the following term in (42):

$$\begin{aligned} \frac{d}{dR} \left[R^{n-1} \left[\frac{r(R)}{R} \right]^{n-1} \ln \left[\frac{r(R)}{R} \right] \right] &= r(R)^{n-2} r'(R) \left[1 + (n-1) \ln \left[\frac{r(R)}{R} \right] \right] \\ &\quad - R^{n-2} \left[\frac{r(R)}{R} \right]^{n-1}. \end{aligned}$$

Substituting this into (42) and collecting terms, we get that (18) holds for r . □

PROPOSITION 3.4. *Let $r \in C^2(0, 1]$ be a solution of (42). Then $\hat{T}(r(\cdot)) \in C^1(0, 1]$ and*

$$(44) \quad \frac{d}{dR} \hat{T}(r(R)) = (n-1)\kappa \frac{r'}{r} \left(1 - \frac{(r')^{n-1}}{(r/R)^{n-1}} \right).$$

In particular, for a cavitating solution r , the function $\hat{T}(r(\cdot))$ is monotone increasing in $(0, 1]$. Moreover, if $r(0) = 0$, then $r(R) = \lambda R$ for $R \in [0, 1]$.

Proof. It follows from (24) and (41) that

$$\hat{T}(r(R)) = T(r(R)) + (n-1)\kappa \left(\frac{1}{n} + \ln(r/R) \right).$$

Moreover, from [1, equation 6.8] we have that for (20),

$$\frac{d}{dR} T(r(R)) = (n-1)\kappa \frac{R^{n-1}}{r^n} \left(\left(\frac{r}{R} \right)^n - (r')^n \right).$$

Hence

$$\begin{aligned} \frac{d}{dR} \hat{T}(r(R)) &= \frac{d}{dR} T(r(R)) + (n-1)\kappa \frac{d}{dR} \ln(r/R) \\ &= (n-1)\kappa \left[\frac{R^{n-1}}{r^n} \left(\left(\frac{r}{R} \right)^n - (r')^n \right) + \frac{1}{r} \left(r' - \frac{r}{R} \right) \right] \\ &= (n-1)\kappa \frac{r'}{r} \left(1 - \frac{(r')^{n-1}}{(r/R)^{n-1}} \right), \end{aligned}$$

from which (44) follows.

The statement for the case in which $r(0) = 0$ follows from r being a solution of (18), assumption (H2), and arguing as in [1, Theorem 6.6]. □

Corresponding to the function (39) we define

$$(45) \quad \hat{T}(\nu, v) = v^{1-n} \hat{\Phi}_{\cdot,1}(\nu, v, \dots, v) = \kappa \left(\frac{\nu}{v}\right)^{n-1} + h'(\nu v^{n-1}) + (n-1)\kappa \left(\frac{1}{n} + \ln(v)\right).$$

For fixed $v > 0$, we have that $\hat{T}(\nu, v) \searrow -\infty$ as $\nu \searrow 0$ and $\hat{T}(\nu, v) \nearrow \infty$ as $\nu \nearrow \infty$. These together with $\hat{T}_\nu(\nu, v) > 0$ imply that the equation $\hat{T}(\nu, v) = C$ has a unique solution $\hat{\nu}(C, v) > 0$ for any $C \in \mathbb{R}$. Let

$$g(v) = \hat{T}(v, v) = \kappa + h'(v^n) + (n-1)\kappa \left(\frac{1}{n} + \ln(v)\right).$$

We note that $g(v) \searrow -\infty$ as $v \searrow 0$, $g(v) \nearrow \infty$ as $v \nearrow \infty$, and $g'(v) > 0$. Thus, the equation $g(v) = C$ has a unique solution $\bar{v}(C)$ for any $C \in \mathbb{R}$.

We now show that for “small” λ the minimizers of (37) are homogeneous, i.e., equal to λR , and for λ sufficiently large they must be cavitating, i.e., with $r(0) > 0$. The proof of the following proposition is an adaptation of the one in [1] to the stored energy function (39).

PROPOSITION 3.5. *Let r be any minimizer of \hat{I} over (17), and assume that (21) and (35) hold. Then*

1. *for $\lambda < \bar{\lambda}$ we must have that $r(R) = \lambda R$, where $\bar{\lambda}$ is the solution of $\hat{T}(\bar{\lambda}, \bar{\lambda}) = 0$;*
2. *for λ sufficiently large we must have that $r(0) > 0$.*

Proof. That $\bar{\lambda}$ exists and is unique follows from our previous comments. Let $\lambda < \bar{\lambda}$ and r be the corresponding minimizer of (37) over \mathcal{A}_λ . Assume that $r(0) > 0$. Then since $r(1) = \lambda$ and $r(R)/R \rightarrow \infty$ as $R \searrow 0$, we have that $r(R_0)/R_0 = \bar{\lambda}$ for some $R_0 \in (0, 1)$. Since $\hat{T}_\nu > 0$ and $r'(R_0) < r(R_0)/R_0 = \bar{\lambda}$, we have that

$$0 = \hat{T}(\bar{\lambda}, \bar{\lambda}) > \hat{T}(r'(R_0), \bar{\lambda}) = \hat{T}(r(R_0)).$$

But from (44) we have that $\hat{T}(r(\cdot))$ is increasing, and since $\lim_{R \rightarrow 0} \hat{T}(r(R)) = 0$ we must have $\hat{T}(r(R)) \geq 0$ for $R \in (0, 1]$, which contradicts the above inequality. Hence, $r(0) = 0$, and from the last part of Proposition 3.4 we get that $r(R) = \lambda R$.

For the second part of the proof, we define $\hat{r}(R) = \sqrt[n]{dR^n + 1 - d}$, where $d \in (0, 1)$. It is easy to check that $\hat{r}'(\hat{r}/R)^{n-1} = d$. If we let $u(R) = \lambda \hat{r}(R)$, then $u \in \mathcal{A}_\lambda$. It follows now that

$$\begin{aligned} \frac{\hat{I}(u) - \hat{I}(\lambda R)}{\lambda^n} &= \int_0^1 R^{n-1} \left[\frac{\kappa}{n} ((\hat{r}')^n - 1) + (n-1)\kappa(d-1) \ln(\lambda) \right. \\ &\quad \left. + \frac{n-1}{n} \kappa(d-1) + (n-1)\kappa d \ln(\hat{r}/R) + (h(d\lambda^n) - h(\lambda^n))/\lambda^n \right] dR. \end{aligned}$$

Since $h(\cdot)$ is convex, we get that $h(\lambda^n) \geq h(d\lambda^n) + (1-d)\lambda^n h'(d\lambda^n)$ which implies

$$\frac{h(d\lambda^n) - h(\lambda^n)}{\lambda^n} \leq (d-1)h'(d\lambda^n).$$

Thus

$$\begin{aligned} \frac{\hat{I}(u) - \hat{I}(\lambda R)}{\lambda^n} &\leq \int_0^1 R^{n-1} \left[\frac{\kappa}{n} ((\hat{r}')^n - 1) + (n-1)\kappa(d-1) \ln(\lambda) \right. \\ &\quad \left. + \frac{n-1}{n} \kappa(d-1) + (n-1)\kappa d \ln(\hat{r}/R) + (d-1)h'(d\lambda^n) \right] dR. \end{aligned}$$

Since $d \in (0, 1)$, the right-hand side of this inequality is negative for λ large enough. Thus $\hat{I}(u) < \hat{I}(\lambda R)$ for λ large enough, and the minimizer r must have $r(0) > 0$. \square

If we let $\omega = R/r(R)$, then

$$\frac{d\omega}{dR} = \frac{1 - \omega r'}{r}.$$

We now express the modified Cauchy stress $\hat{T}(r(R))$ as a function of ω . In reference to (45) we have that the equation $\hat{T}(r', \omega^{-1}) = T$ has a unique solution $r' = \hat{\nu}(T, \omega^{-1})$. Moreover, the function $\hat{\nu}$, as a function of (T, ω) , can be extended to a bounded function for $(T, \omega) \in [0, T_0] \times [0, \omega_0]$ for some $T_0 > 0$ and $\omega_0 > 0$. Also

$$\frac{\partial \hat{\nu}}{\partial T} = \frac{\omega^{n-1}}{(n-1)\kappa \hat{\nu}^{n-1} \omega^{2(n-1)} + h''(\hat{\nu} \omega^{-(n-1)})},$$

which can also be extended to a bounded function in $[0, T_0] \times [0, \omega_0]$. Using Proposition 3.4 we now get that $\hat{T}(\omega)$ is a solution of the initial value problem

$$(46) \quad \begin{cases} \frac{d\hat{T}}{d\omega}(\omega) &= (n-1)\kappa \sum_{k=0}^{n-2} \omega^k \hat{\nu}(\hat{T}(\omega), \omega^{-1})^{k+1}, \\ \hat{T}(0) &= 0. \end{cases}$$

By the boundedness properties quoted above, the solution of this initial value problem exists and is unique. Using this, the existence of a critical boundary displacement λ_c can be established, and the uniqueness of solutions for $\lambda > \lambda_c$ follows from a rescaling argument. The details of the previous argument leading to the initial value problem (46), as well as the proof of the following proposition, are as in [1].

PROPOSITION 3.6. *Let r_c be a cavitating solution of (42) satisfying (43), and assume that $\hat{\Phi}_{,1}(1, 1, \dots, 1) = 0$. Then r_c can be extended as a solution of (42) to $(0, \infty)$ with*

$$r'_c(R) < \frac{r_c(R)}{R}, \quad R \in (0, \infty).$$

Moreover, the function r_c so extended is unique (does not depend on $r(1)$), and there exists $\lambda_c > 1$ such that

$$\lambda_c = \lim_{R \rightarrow \infty} r'_c(R) = \lim_{R \rightarrow \infty} \frac{r_c(R)}{R}.$$

If r_λ is a solution of (42) satisfying (43) and $r(1) = \lambda$ with $\lambda > \lambda_c$, then $r_\lambda(R) = r_c(\alpha R)/\alpha$, where α is the unique solution of $r_c(\alpha)/\alpha = \lambda$.

It follows now that

$$\lim_{R \rightarrow \infty} \hat{T}(r_c(R)) = \lambda_c^{1-n} \hat{\Phi}_{,1}(\lambda_c, \lambda_c, \dots, \lambda_c).$$

Combining this with Proposition 3.4 we get that

$$(47) \quad \lambda_c^{1-n} \hat{\Phi}_{,1}(\lambda_c, \lambda_c, \dots, \lambda_c) = (n-1)\kappa \int_0^\infty \frac{r'_c(R)}{r_c(R)} \left(1 - \frac{(r'_c(R))^{n-1}}{(r_c(R)/R)^{n-1}} \right) dR.$$

4. Approximation by punctured balls. We now consider the problem over the punctured ball:

$$\mathcal{B}_\varepsilon = \{\mathbf{x} \in \mathbb{R}^n : \varepsilon < |\mathbf{x}| < 1\}$$

with $\varepsilon \in (0, 1)$. Thus we look at the problem of minimizing

$$(48) \quad \hat{I}_\varepsilon(r) = \int_\varepsilon^1 R^{n-1} \hat{\Phi} \left(r'(R), \frac{r(R)}{R}, \dots, \frac{r(R)}{R} \right) dR - \frac{\kappa(n-1)}{n} \lambda^n \ln \lambda$$

over the set

$$(49) \quad \mathcal{A}_\lambda^\varepsilon = \{r \in W^{1,1}(\varepsilon, 1) : r(1) = \lambda, r'(R) > 0 \text{ a.e. for } R \in (\varepsilon, 1), r(\varepsilon) \geq 0\}.$$

To state our next result we shall need the following lemma.

LEMMA 4.1. *Let $\bar{\lambda} = 1$ be the unique solution of $\hat{\Phi}_{,1}(\bar{\lambda}, \bar{\lambda}, \dots, \bar{\lambda}) = 0$. Then*

$$\hat{\Phi}(v_1, v_2, \dots, v_n) > \hat{\Phi}(1, 1, \dots, 1)$$

whenever $v_i \neq 1$ for some i .

Proof. From (39) we have that

$$\hat{\Phi}(v_1, v_2, \dots, v_n) = g(v_1, v_2 \cdots v_n),$$

where

$$g(x, y) = \frac{\kappa}{n} x^n + h(xy) + \kappa xy((n-1)/n + \ln(y)), \quad x > 0, y > 0.$$

The critical points of g are given by the solutions of the system

$$\begin{cases} \kappa x^{n-1} + yh'(xy) + \kappa y((n-1)/n + \ln(y)) &= 0, \\ xh'(xy) + \kappa x(1 + (n-1)/n + \ln(y)) &= 0. \end{cases}$$

This system has a unique solution given by the equations

$$y = x^{n-1}, \quad h'(xy) = -\kappa(1 + (n-1)/n + \ln(y)).$$

That the condition $\bar{\lambda} = 1$ is the only solution of $\hat{\Phi}_{,1}(\bar{\lambda}, \bar{\lambda}, \dots, \bar{\lambda}) = 0$ implies that the only solution of these equations is $x = y = 1$. Moreover since $g_{xx}(x, y) > 0$ and

$$g_{xx}(1, 1)g_{yy}(1, 1) - g_{xy}(1, 1)^2 > 0,$$

we have that $(1, 1)$ is a strict local minimum for g . Since $g(x, y) \rightarrow \infty$ as any of its arguments tend to zero or infinity, this minimum is global. Thus whenever $v_i \neq 1$ for some i , we have

$$\hat{\Phi}(v_1, v_2, \dots, v_n) = g(v_1, v_2 \cdots v_n) > g(1, 1) = \hat{\Phi}(1, 1, \dots, 1). \quad \square$$

With slight modifications of the proofs of Theorems 3.1 and 3.2, we obtain the following result for minimizers of (48) over (49). (See also [18].)

THEOREM 4.2. *Let $\bar{\lambda} = 1$ be the unique solution of $\hat{\Phi}_{,1}(\bar{\lambda}, \bar{\lambda}, \dots, \bar{\lambda}) = 0$. Then the functional \hat{I}_ε has a unique global minimizer over the set $\mathcal{A}_\lambda^\varepsilon$. Moreover, there exists a $\delta(\varepsilon) > 0$ such that if r_ε is a global minimizer with $\lambda \in (1 - \delta(\varepsilon), \infty)$, then $r_\varepsilon \in C^2([\varepsilon, 1])$ is a solution of (42) over $(\varepsilon, 1)$ and satisfies*

1. $r'_\varepsilon(R) > 0$ for $R \in [\varepsilon, 1]$,
2. $r_\varepsilon(\varepsilon) > 0$,
3. $\hat{T}(r_\varepsilon(\varepsilon)) = 0$.

We also have (see [18]) the following.

PROPOSITION 4.3. *Let r_ε be the unique global minimizer of \hat{I}_ε over $\mathcal{A}_\lambda^\varepsilon$, and let λ_c be as in Proposition 3.6. Then*

1. *for $\lambda \leq \lambda_c$, we have that*

$$\lim_{\varepsilon \rightarrow 0} \sup_{R \in [\varepsilon, 1]} |r_\varepsilon(R) - \lambda R| = 0;$$

2. *if $\lambda > \lambda_c$, then we have that*

$$\lim_{\varepsilon \rightarrow 0} \sup_{R \in [\varepsilon, 1]} |r_\varepsilon(R) - r_\lambda(R)| = 0,$$

where r_λ is the cavitating minimizer of \hat{I} over A_λ .

We recall (cf. [18]) that the change of variables

$$(50) \quad e^s = R, \quad v(s) = \frac{r(R)}{R}$$

transforms (42) into the autonomous equation

$$(51) \quad \frac{d}{ds} \hat{\Phi}_{,1}(\dot{v}(s) + v(s), v(s), \dots, v(s)) = (n-1) \left(\hat{\Phi}_{,2}(\dot{v}(s) + v(s), v(s), \dots, v(s)) - \hat{\Phi}_{,1}(\dot{v}(s) + v(s), v(s), \dots, v(s)) \right),$$

where $\dot{v}(s) = dv(s)/ds$. Now, a phase plane analysis of this equation in the (v, \dot{v}) plane, based on the time map [18, equation 2.19], the monotonicity of the Cauchy stress $\hat{T}(r(\cdot))$ along solutions (cf. Proposition 3.4), and the continuous dependence on initial data for solutions of (51), shows that the following results concerning the convergence of the strains corresponding to the solutions r_ε in Proposition 4.3 hold (here v_ε is the solution of (51) corresponding to r_ε):

1. For $\lambda > \lambda_c$, the strains $(\dot{v}_\varepsilon + v_\varepsilon, v_\varepsilon)$ converge as $\varepsilon \rightarrow 0$ to the strains $(\dot{v}_\lambda + v_\lambda, v_\lambda)$ corresponding to the cavitating solution r_λ .
2. For $\bar{\lambda} < \lambda < \lambda_c$, the strains $(\dot{v}_\varepsilon + v_\varepsilon, v_\varepsilon)$ converge as $\varepsilon \rightarrow 0$ to the strains corresponding to the non homogeneous solution (v, \dot{v}) emanating from (λ, λ) and with $\dot{v} < 0$. The convergence is such that $(\dot{v}_\varepsilon, v_\varepsilon)$ spends most of the time (in the sense of [18, equation 2.19]) closer to (λ, λ) than to the rest of the curve corresponding to the boundary condition $\hat{T}(r_\varepsilon(\varepsilon)) = 0$. Thus the strains $(r'_\varepsilon, r_\varepsilon/R)$ develop a sharp boundary layer close to $R = \varepsilon$, while away from this point they each tend to λ .
3. For $\lambda < \bar{\lambda}$, we have the same conclusions as in item 2 above but with $\dot{v} > 0$, i.e., with $r'_\varepsilon > r_\varepsilon/s$.

5. Numerical results. In this section we present some numerical results that highlight the convergence results in section 4 over punctured balls. We employ two numerical schemes: a descent method based on a gradient flow iteration (cf. [16]) for the minimization of a discrete version of (48) and a shooting method (from $R = 1$ to $R = \varepsilon$) to solve the boundary value problem for (42) over $(\varepsilon, 1)$ with boundary conditions $\hat{T}(r_\varepsilon(\varepsilon)) = 0$ and $r_\varepsilon(1) = \lambda$. The gradient flow iteration works as a *predictor* for the shooting method which in turn plays the role of a *corrector*. The use of adaptive ODE solvers in the shooting method allows for a more precise computation of the equilibrium states, especially near $R = \varepsilon$ where the strains corresponding to the punctured ball solutions tend to develop sharp boundary layers.

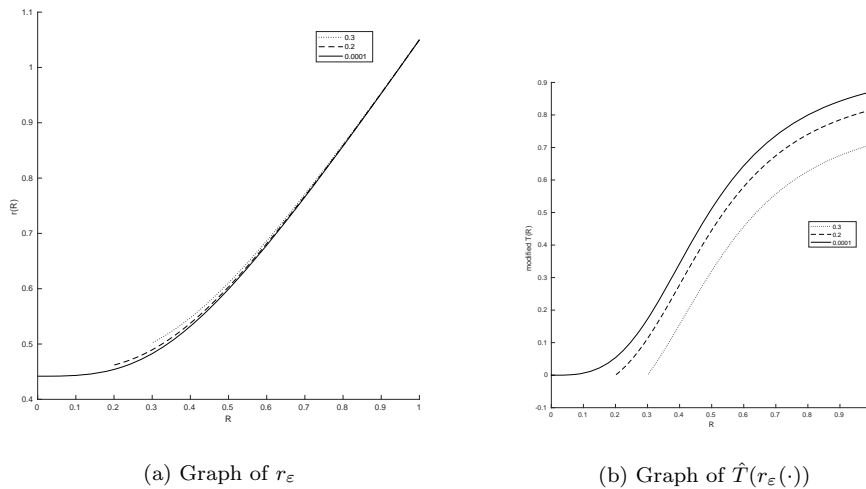


FIG. 1. Computed minimizers r_ε and modified Cauchy stress functions $\hat{T}(r_\varepsilon(\cdot))$ for \hat{I}_ε when $\lambda = 1.05$ and $\varepsilon = 0.3, 0.2, 10^{-4}$.

Example 5.1. For the stored energy function (39) (or (20)), we take

$$h(d) = C d^\gamma + D d^{-\delta},$$

where $C, D \geq 0$ and $\gamma, \delta > 0$. The reference configuration is stress free, that is, $\hat{\Phi}_{,1}(1, \dots, 1) = \hat{\Phi}_{,2}(1, \dots, 1) = 0$, provided that

$$D = \frac{(1 + \frac{n-1}{n})\kappa + C\gamma}{\delta}.$$

For the computations we used the following values for the different parameters:

$$n = 3, \quad \kappa = 1, \quad C = 1, \quad \gamma = 2, \quad \delta = 2.$$

For these values, the critical boundary displacement is $\lambda_c \approx 1.0258$ (cf. [15]). For $\varepsilon = 0.3, 0.2, 10^{-4}$ and $\lambda = 1.05$ (case $\lambda > \lambda_c$) we show in Figure 1 the computed solutions r_ε and the modified Cauchy stress functions $\hat{T}(r_\varepsilon(\cdot))$, the former converging very nicely to a cavitating solution, while the latter converge to a well-defined increasing function vanishing at $R = 0$. The cavity size for the computed solution with $\varepsilon = 10^{-4}$ is approximately 0.44184 with modified energy of 1.2774. The affine deformation in this case has energy of 1.2888.

For $\lambda = 1.01$ which corresponds to the case $\bar{\lambda} < \lambda < \lambda_c$, as $\bar{\lambda} = 1$, we show in Figure 2 the computed r_ε and $\hat{T}(r_\varepsilon(\cdot))$. The convergence is now to the affine deformation $r_h(R) = 1.01R$ with energy of 1.2733. The corresponding Cauchy stress functions show sharp boundary layers at $R = \varepsilon$ while converging pointwise to a positive constant function.

The other calculation we show is for $\lambda = 0.95$ (case $\lambda < \bar{\lambda} = 1$) with the same values of ε . The results are presented in Figure 3 where we can clearly see the convergence of the r_ε to the affine deformation $r_h(R) = 0.95R$ with energy of 1.3625 (Figure (a)). The functions r_ε in this figure are concave, corresponding to the case

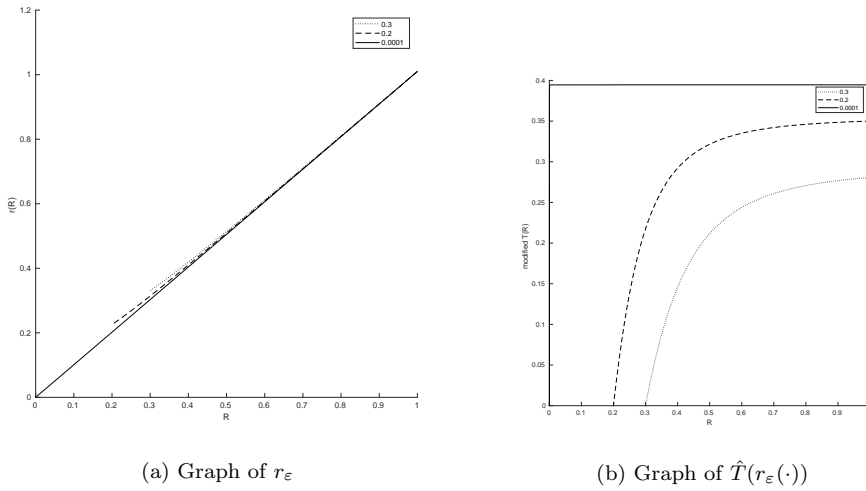


FIG. 2. Computed minimizers r_ε and modified Cauchy stress functions $\hat{T}(r_\varepsilon(\cdot))$ for \hat{I}_ε when $\lambda = 1.01$ and $\varepsilon = 0.2, 0.1, 0.05, 10^{-4}$.

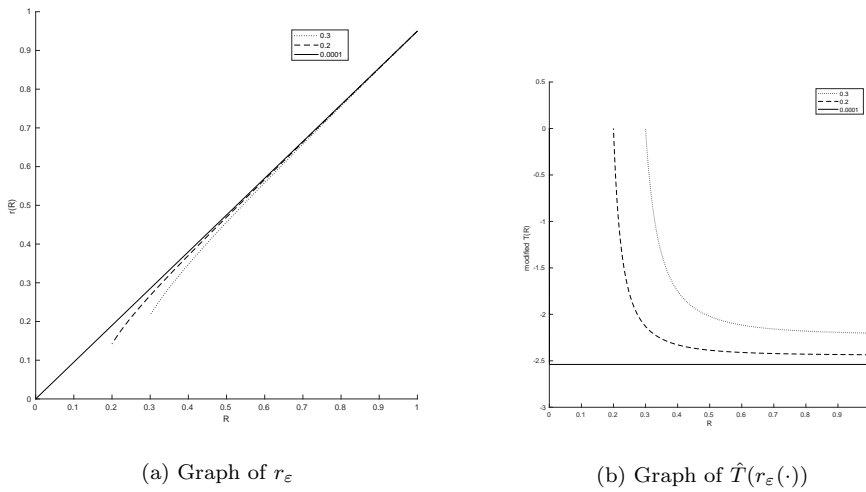


FIG. 3. Computed minimizers r_ε and modified Cauchy stress functions $\hat{T}(r_\varepsilon(\cdot))$ for \hat{I}_ε when $\lambda = 0.95$ and $\varepsilon = 0.2, 0.1, 0.05, 10^{-4}$.

where $\dot{\nu} > 0$ in (51). On the other hand, in Figure (b) we see the corresponding Cauchy stress functions converging pointwise, with a sharp boundary layer at $R = \varepsilon$, to a negative constant function.

Example 5.2. In this example we study the so-called *incompressible limit* by considering a sequence of compressible problems formally approaching an incompressible

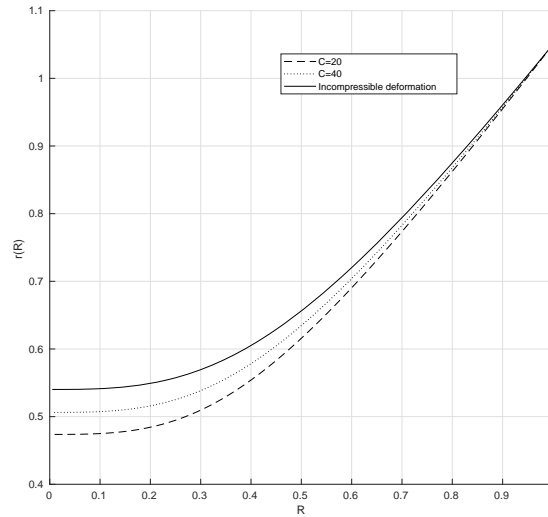


FIG. 4. Minimizers of modified compressible problems approaching the incompressible deformation in the incompressible limit ($C \rightarrow \infty$) for $C = 20, 40$.

one. In particular, we consider functions $h(\cdot)$ in (39) given by

$$h(d) = C \left(d - 1 - \frac{1}{C} \right)^2 + D d^{-\delta},$$

where $C, D \geq 0$ and $\delta > 0$. As $C \rightarrow \infty$ we formally approach the incompressible modified stored energy function given by

$$\hat{\Phi}^{inc}(v_1, \dots, v_n) = \frac{\kappa}{n} v_1^n + D + \kappa \left(\frac{n-1}{n} + \ln(v_2 \cdots v_n) \right),$$

where $v_1 v_2 \cdots v_n = 1$. For the computations we used the following:

$$n = 3, \quad \kappa = 3, \quad D = 1.5, \quad \delta = 2$$

with $\lambda = 1.05$. In Figure 4 we show in solid the solution of the incompressible problem which is given by $r_{inc}(R) = \sqrt[3]{R^3 + \lambda^3 - 1}$, together with the computed minimizers of the modified compressible problems (48) with $\varepsilon = 0.005$ and $C = 20, 40$ (dashed and dotted, respectively), which are clearly seen getting close to r_{inc} . We also computed solutions of the modified compressible problems for additional values of C , together with their modified energies. The results are shown in Table 1. The energy of r_{inc} , computed using $\hat{\Phi}^{inc}$ above, is given approximately by 1.53013. Thus, we see as well a nice convergence of the energies of the modified compressible problems in the incompressible limit.

6. Concluding remarks. It is not difficult to check that the results of this paper can be generalized to stored energy functions of the form

$$(52) \quad W(\mathbf{F}) = \frac{\kappa}{n} \|\mathbf{F}\|^n + h(\det \mathbf{F}) = \frac{\kappa}{n} (v_1^2 + \cdots + v_n^2)^{\frac{n}{2}} + h(v_1 \cdots v_n).$$

TABLE 1
Energies for the modified compressible problems in the incompressible limit case.

C	$\hat{I}_\varepsilon(r_\varepsilon)$	C	$\hat{I}_\varepsilon(r_\varepsilon)$
20	1.52298	160	1.52864
40	1.52532	320	1.52936
80	1.52735	640	1.52974

In fact, an analysis for this stored energy function, similar to the one leading to Proposition 2.2, shows that $T(v)$ is now asymptotic to $-\kappa(n-1)^{n/2} \ln(v)$ as $v \rightarrow \infty$. Thus, we are led to consider a modified functional of the form

$$\hat{I}(r) = \int_0^1 R^{n-1} \left[\frac{\kappa}{n} \left(\left[r'(R)^2 + (n-1) \left(\frac{r}{R} \right)^2 \right]^{\frac{n}{2}} - (n-1)^{\frac{n}{2}} \left(\frac{r}{R} \right)^n \right) + h(\delta(R)) + \kappa(n-1)^{\frac{n}{2}} \delta(R) \left(\frac{1}{n} + \ln \left(\frac{r}{R} \right) \right) \right] dR - \frac{\kappa(n-1)^{\frac{n}{2}}}{n} \lambda^n \ln \lambda.$$

As this functional can be characterized in terms of the original one plus suitable null Lagrangians, its Euler–Lagrange equation coincides with that of the original functional. The rest of the analysis in this paper should now follow through.

The radial incompressible case can be treated similarly to the compressible case studied in this paper. However, the incompressible case is more straightforward since a radial incompressible deformation of the form (12) which also satisfies (5) is necessarily given by

$$r(R) = (R^n + (\lambda^n - 1))^{\frac{1}{n}}$$

for $\lambda > 1$. On using this form, [1, Proposition 5.1] shows that

$$\begin{aligned} - \int_\lambda^b \frac{1}{v^n - 1} \frac{d}{dv} \Phi(v^{1-n}, v, \dots, v) dv + n \int_\lambda^b \frac{v^{n-1}}{(v^n - 1)^2} \Phi(v^{1-n}, v, \dots, v) dv \\ = \frac{1}{\lambda^n - 1} \Phi(\lambda^{1-n}, \lambda, \dots, \lambda) \end{aligned}$$

for² any $b > \lambda$. As $b \rightarrow \infty$ (corresponding to the puncture closing up), the first term on the left of this equation is, up to a constant, the radial Cauchy stress (on the deformed puncture surface), while the second term is n times the energy of the deformed punctured ball. Taking the form of Φ in this incompressible case as $(\kappa/n) \sum_{i=1}^n v_i^n$ plus some constant, it is easy to obtain from the expression above that the growth in the radial Cauchy stress is once again asymptotically proportional to $\ln(b)$ as $b \rightarrow \infty$.

In generalizing the techniques in this paper from radially symmetric deformations to nonradial ones, one approach (cf. [22]) is to restrict attention to deformations for which the distributional determinant $\text{Det}(\nabla \mathbf{u})$ of the deformation satisfies

$$\text{Det}(\nabla \mathbf{u}) = (\det \nabla \mathbf{u}) \mathcal{L}^n + V_{\mathbf{u}} \delta_{\mathbf{0}},$$

where $\delta_{\mathbf{0}}$ is the Dirac measure supported at the origin and $V_{\mathbf{u}}$ is the volume of the cavity formed by the deformation \mathbf{u} at the origin. From [21, Proposition 3.6] we get that in the case $n = 3$,

$$(53) \quad \int_{\mathcal{B}_\varepsilon} \|\nabla \mathbf{u}\|^3 dx \geq \int_{\mathcal{B}_\varepsilon} \|\nabla \mathbf{u}^{\text{rad}}\|^3 dx \geq -2^{\frac{3}{2}} \omega_3 \tilde{r}^3(\varepsilon) \ln(\varepsilon),$$

²The case b finite corresponds to integrating over a punctured ball in the reference configuration of internal radius $(\frac{\lambda^n - 1}{b^n - 1})^{\frac{1}{n}}$.

where $\omega_3 = 4\pi$. Here \mathbf{u}^{rad} is the radial symmetrization of \mathbf{u} and is given by (12) where r is replaced by \tilde{r} which in turn is given by

$$\frac{4\pi}{3} \tilde{r}^3(R) = \frac{4\pi}{3} \lambda^3 - \int_{\mathcal{B}_R} \det(\nabla \mathbf{u}) \, d\mathbf{x}.$$

(The inequality (53) holds provided $\tilde{r}'(R) \leq \tilde{r}(R)/R$ for all $R \in [\varepsilon, 1]$. If this condition is not satisfied, then the symmetrization \tilde{r} has to be modified as in [22] in order for (53) to hold.) Thus, it should follow from (53) that the total energy due to the deformation \mathbf{u} blows up at least like $-\ln(\varepsilon)$ as $\varepsilon \rightarrow 0^+$ if $V_{\mathbf{u}} > 0$. Thus, in generalizing our results to the nonradial case with the stored energy function (52), we are led to consider a modified energy functional given by

$$\hat{E}(\mathbf{u}) = \lim_{\varepsilon \rightarrow 0} \left[\int_{\mathcal{B}_\varepsilon} W(\nabla \mathbf{u}) \, d\mathbf{x} + 2^{\frac{3}{2}} \kappa V_{\mathbf{u}} \ln(\varepsilon) \right].$$

It may now follow from the approach in [21] that, for each $\varepsilon > 0$, the minimizer of the functional in brackets above (over \mathcal{B}_ε) must be radial. Under suitable hypotheses, it may then follow that the minimizer of \hat{E} is radial, and so the results of the current paper would then be applicable. We shall pursue these ideas elsewhere.

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