# Triangular Sets Seminar

#### Notes by J.H. Davenport — J.H.Davenport@bath.ac.uk

#### 29 August 2013

Though these definitions are about individual cells, what one really wants is a decomposition of  $\mathbf{R}^n$  such that *every* cell has the relevant property.

**Definition 1** A cell C of dimension d is well-bordered if the entire boundary of C is contained in the closure of the d - 1-dimensional components of the boundary.

**Definition 2** A cell C of dimension d is boundary-coherent if the boundary of C is the union of cells of dimension at most d - 1.

The typical counter-example to both of these is the "flagpole" construction.

- **JHD** The  $\vdash$  example is bad, but it is not "well-bordered" Is it a good idea to restrict ourselves to these?
- ME Is this always possible what about Nicolai's example [BGV10, BGV13]?
- **AL** That shows that you can't get **strongly bordered**. There was a debate about Lazard's theorem [Laz10].
- **GKS** What about the "sphere less North Pole", a 2-cell whose boundary is a 0-cell, which is boundary-coherent, but not well-bordered. The projection of the North Pole is inside the projection of the sphere, so it's not cylindrical. W have to add the South Pole, and this changes the topology.
- Claim 1 (AL) Cylindrical and boundary-coherent implies well-bordered.
- **GKS** Let C be an r-cell, with a boundary component D of dimension  $\leq r-2$  not in the closue of any r-1-cell of the boundary of C.
- **bad** Consider the first dimension-reducing projection of C. This doesn't work, see the "punctured sphere example".
- **GKS** The image of C,  $\pi(C)$  is of dimension r or r-1, while  $\pi(D)$  has dimension at most r-2. Hence the two can't be equal, and by cylindricity must then be disjoint.
- **JHD** Consider the sphere less the East Pole it projects onto the disc less one point, which is not a cell.

**GKS** Gysen homomorphisms seem to be the key. This will need to be proved by induction on the dimension, he thinks.

Note that there are properties like well-oriented and well-based, which are needed for CAD *construction* to work, as well as the above definitions which are needed for adjacency etc. to work.

**Example 1 (Generalised**  $\vdash$ ) Consider  $f := x(y^2 - x)$ . One CAD of  $\mathbb{R}^2$  that is sign-invariant for f is  $\{x < 0\}, \{x = 0\}$  and five cells above x > 0.

Note:

- that McCallum's CADW algorithm [McC98] will insist on replacing f by  $\{x, y^2 x\}$  (by insisting on primitiveness as well as square-freeness);
- It might be thought that McCallum's theory of equational constraints [McC01] says that the *final* lift need only be with respect to the original polynomial(s), since we already have delineability: ME subsequently pointed out that the relevant theorem is only for irreducible polynomials (? is this necessary). However QEPCAD does the final lift with respect to the square-free (and primitive) basis.
  - this CAD is not *order-invariant* since (0,0) has order 2, not 1.

### So what might our goals be?

1. Use adjacency to produce a "minimal" CAD, which would be on the lines of combining cells which were in the same class (*F*-sign-invariant, *F*-orderinvariant, *T*-truth-invariant) and did not destroy cylindricity (or possibly block-cylindricity).

**Example 2** Note that this is a laudable goal, consider the case of aligned non-intersecting circles, where we get a totally spurious partition of  $\mathbf{R}^1$  corresponding to the real root of the resultant where the two circles meet in the complex plane.

- 2. But, as in the case of ⊢ or Example 1, doing this adjacency-merging might result in a CAD which was no longer well-bordered. Therefore *maybe* we need to look at "adjacency-merging respecting the well-bordered condition".
- 3. especially in this case, it is not clear that starting with a CAD and doing such merging where allowed will produce a *unique* minimal CAD as a result.

#### DJW's comments

[He was unable to be present]

In terms of the 'goals' section — I agree with 2, I think keeping well-borderedness is important (as shown by the non-touching adjacent lines). With regards to 3, my gut feeling is that merging will \*not\* produce a unique minimal CAD, especially for CADs of high dimension. We have strict conditions and many choices will violate cylindricity or WB-ness - and more importantly this violation depends on the induced CADs and, therefore, the merging done in earlier steps. So it may be beneficial to prevent an earlier merging to allow a later merging, taking the earlier merging would result in a certain 'minimal' CAD, but taking the later merging would result in a different 'minimal' CAD. I can't think of any examples off the top of my head but will have a think.

**JHD** I don't think we'd really considered mictures of merging and lifting. It's certainly not clear.

## References

- [BGV10] S. Basu, A. Gabrielov, and N. Vorobjov. Semi-monotone sets. http: //arxiv.org/abs/1004.5047, 2010.
- [BGV13] S. Basu, A. Gabrielov, and N. Vorobjov. Semi-monotone sets. J. Eur. Math. Soc., 15:635–657, 2013.
- [Laz10] D. Lazard. CAD and Topology of Semi-Algebraic Sets. Math. Comput. Sci., 4:93–112, 2010.
- [McC98] S. McCallum. An Improved Projection Operation for Cylindrical Algebraic Decomposition. Quantifier Elimination and Cylindrical Algebraic Decomposition, pages 242–268, 1998.
- [McC01] S. McCallum. On Propagation of Equational Constraints in CAD-Based Quantifier Elimination. In B. Mourrain, editor, *Proceedings* ISSAC 2001, pages 223–230, 2001.