

# Experience with Heuristics, Benchmarks & Standards for Cylindrical Algebraic Decomposition

Matthew England & James Davenport

Matthew.England@coventry.ac.uk J.H.Davenport@bath.ac.uk  
<http://www.sc-square.org><sup>1</sup>

Coventry University      University of Bath

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In the SC<sup>2</sup> motivational paper [Á15], the author identified the use of sophisticated heuristics as a technique that the Satisfiability Checking community excels in and from which it is likely the Symbolic Computation community could learn and prosper. That author was undoubtedly right here: not so much that heuristics are unknown in Symbolic Computation, as that the Symbolic Computation *literature* largely ignores heuristics, so that they are hidden secrets.

So the present authors thought they should open up.

The top-level commands of most computer algebra systems are often heuristics.

The speaker cut his teeth on making integration algorithmic: nevertheless

- Maple's definite integrator [Inc16] contains a list of eight methods, which are currently tried in a fixed order;
- A purely rule-based integrator [JR16] produces “better” (Size of integral (as an expression); Continuity; Real versus complex; Aesthetics) results than algorithms.

# Cylindrical Algebraic Decomposition

A workhorse of Real {Nonlinear Arithmetic, Algebraic Geometry}  
with numerous approaches for problems in  $n$  variables

Projection/Lifting [Col75, Eng13]

Regular Chains [CM14a]

But there are other approaches to Quantifier Elimination

Virtual Term Substitution [KSD16]

Comprehensive Gröbner Bases [FIS16]

Every approach has in fact many choices within it, which can affect both the running time *and* the actual answer: [BD07] shows the size of the answer can vary doubly-exponentially (in  $n$ ).

# Variable Order

The obvious one (within the constraints of the problem).

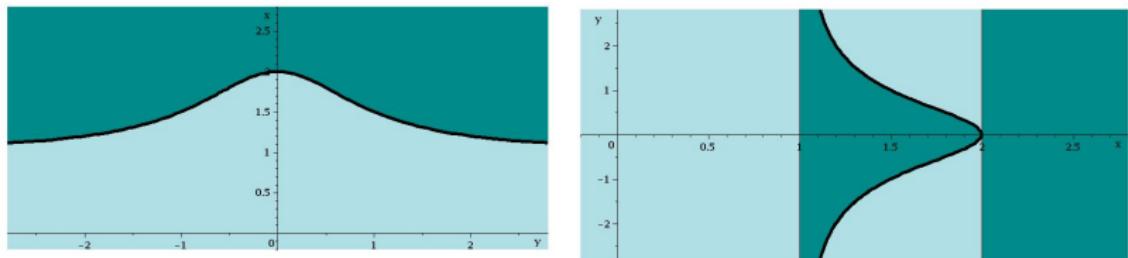


Figure: CADs under different variable orderings: 3 cells versus 11 cells

It's also the [BD07] doubly-exponential difference case

# Various heuristics (somewhat documented! PL-CAD)

Brown [Bro04, Section 5.2]. Use the following criteria, starting with the first and breaking ties with rest:

- (1) Eliminate variable if lowest overall degree.
- (2) Eliminate variable if lowest (maximum) total degree in terms in which it occurs.
- (3) Eliminate variable if smallest number of terms contains it.

sotd [DSS04] For all admissible orderings, calculate the projection set and choose the one with smallest *sum of total degrees* for each of the monomials in each of the polynomials

greedy [DSS04] As above, but choose the first variable only, then the second ...

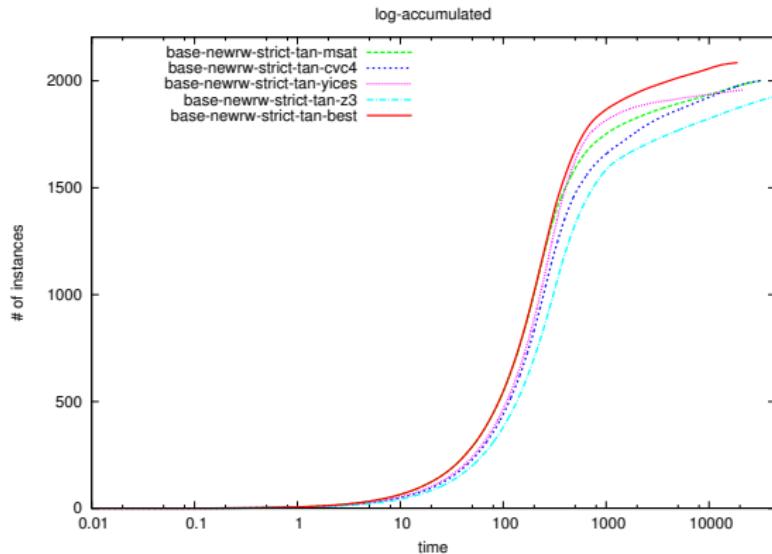
ndrr [BDEW13] construct the full projection set and choose the ordering whose set has the least *number of distinct real roots* of the univariate polynomials.

# Various heuristics: so which is best (PL-CAD)

Compared in [HEW<sup>+</sup>14], across 7001 3-variable problems from NLSAT.

Brown Is the best heuristic most often  
sotd makes the most savings

So what is the best definition of “best”? Survivor plots?



Other choices:

Which equational constraint to pick

- It does matter: [EBD15] shows  $\times 16$  variation in cell count
- No cheap heuristic (as the polynomials are the same)
- sotd and ndrr both do reasonably, but these are expensive heuristics
- What's the metric/comparison?

Same problem for Truth-Table Invariant CAD

Brown As before

Triangular Similar

sotd You do the P/L projections, work out the variable order, then throw the projections away

ndrr ditto

New [EBDW14] Degrees as in Brown, tiebreak by calculating principal next-stage polynomials (and possibly refined tie-break)

**On a small sample** New+ is best

# Incremental Algorithms

Both the time, and indeed the results, for such methods (e.g.

[CM14b] for CAD by regular chains (see [EBC<sup>+</sup>14])

[BK15] for constructing a single cell)

can easily be dependent on the *order* in which we present the polynomials. In general it is not obvious what heuristics to use here: lowest degree first seems obvious.

[EBC<sup>+</sup>14] suggested doing the complete complex projection for each order, then refining the one with lowest sotd (one could also consider ndrr): again expensive.

# The same problem, four orders [EBC<sup>+</sup>14]

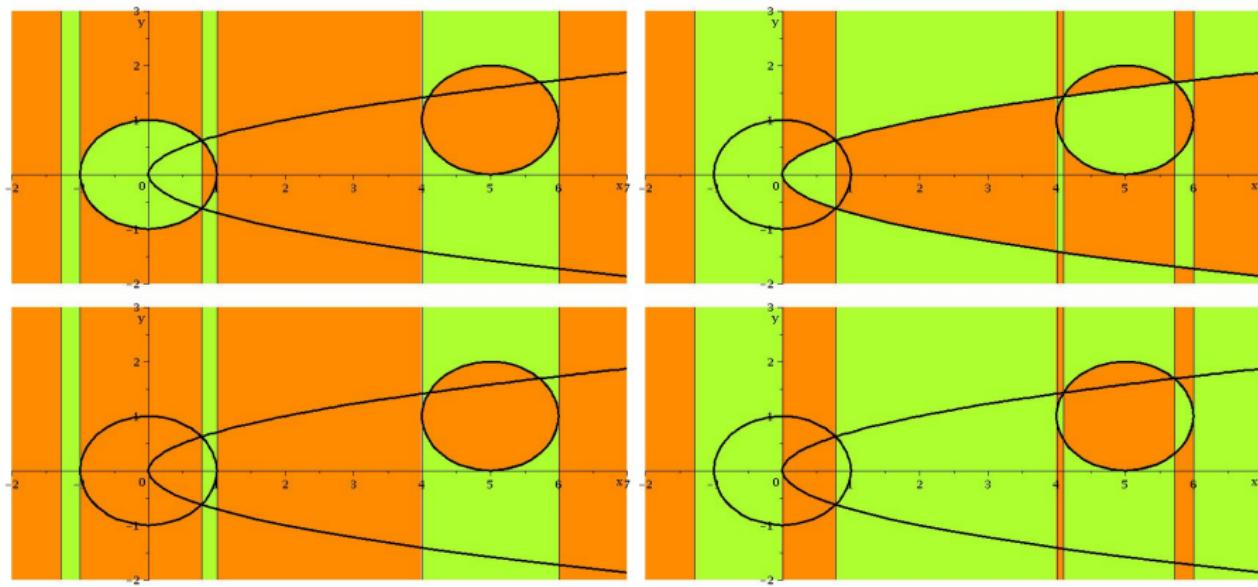


Figure: 37 81  
25 45 cells

Two flavours: basic and enhanced (both work with  $n$  formula, simple cases shown)

- ① Replace  $f_1 = 0 \wedge f_2 = 0 \wedge \dots$  by  $\bigwedge_{f \in GB(f_1, f_2)} f = 0 \wedge \dots$  [BH91]

1991 10 examples: sped up 6, slowed 2 (one very slow GB), and 2 impossible

2012 GB was much faster, but similar conclusions

- ② Also, replace  $\bigwedge_{f \in G} \wedge g < 0 \wedge \dots$  by  $\bigwedge_{f \in G} \wedge \widehat{g} < 0 \wedge \dots$  where  $g \rightarrow_G \widehat{g}$  [WBD12]

So when should we do it?

# Machine Learning?

See [HEDP16] and Matthew England's talk tomorrow 10:10.  
Note that we have been using Machine Learning as a  
meta-heuristic: to decide which existing heuristic to apply.  
But machine learning needs large benchmark sets, and these need  
to have standard representation.

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