



Asymptotic model for the Rayleigh wave for seismic meta-surfaces

Danila Prikazchikov

(with J. Kaplunov, P.T. Wootton

School of Computer Science and Mathematics, Keele University, UK

and R. Sabirova,

Dept. of Mathematical and Computer Modelling, Al-Farabi KazNU, Kazakhstan)

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Contents

- Introduction
- Asymptotic theory for the Rayleigh wave
- Implementation for seismic meta-surfaces

Motivation

Improved seismic protection
 Cloaking discovered in optics,
 expanded to acoustic waves
 Pendry et al., Science, 2016

Leonhardt, Science, 2016

✓ Cloaking of Rayleigh waves will give complete seismic protection

Colombi et al., Sci.Rep., 2016a

Issue:

• Not all directions are cloaked





Introduction

• Seismic metasurfaces

Colombi et al. Sci Rep, 2016b



Colombi et al. Sci Rep, 2016c

• Bandgap revealed



✓ *Designed forest as a natural seismic metasurface*



Issues:

- *A rod is not the best model for a tree*
- Large structures required to realise low-frequency band-gaps
- The approach is essentially numerical



• More sophisticated formulations,

i.e. porosity, nonlinearity, etc.

Pu et al. Int J Eng Sci, 2020

Lou et al. Int J Mech Sci, 2022



- *The approach is even more "numerical"*
- Would be good to have more explicit results
- \checkmark Employ asymptotic model for the Rayleigh wave

Hyperbolic-elliptic model for the Rayleigh wave

(J. Kaplunov et. al, IMA J. Appl. Math. 2006)

• Vertical surface load $\sigma_{xy} = 0$, $\sigma_{yy} = P(x, t)$.

The pseudo-static *elliptic* equation over the interior

$$\phi_{,yy} + \alpha_R^2 \phi_{,xx} = 0.$$

The boundary condition at y = 0 is provided by a *hyperbolic* equation

$$\phi_{,xx} - \frac{1}{c_R^2}\phi_{,tt} = \frac{1+\beta_R^2}{2\mu B}P.$$

The second potential is restored as

$$\psi(x_1-c_R t,\beta_R y)=\frac{2\alpha_R}{1+\beta_R^2}\phi^*(x_1-c_R t,\beta_R y).$$

Note:
$$u_{,xx} - \frac{1}{c_R^2} u_{,tt} = \frac{\beta_R^4 - 1}{4\mu B} P_{,x}$$
 (at $y = 0$)

• Tangential surface load $\sigma_{xy} = Q(x, t), \quad \sigma_{yy} = 0.$

The pseudo-static *elliptic* equation over the interior

$$\psi_{,yy} + \beta_R^2 \psi_{,xx} = 0.$$

A *hyperbolic* equation at y = 0

$$\psi_{,xx} - \frac{1}{c_R^2}\psi_{,tt} = -\frac{1+\beta_R^2}{2\mu B}Q.$$

The longitudinal potential is found as

$$\phi(x_1-c_R t,\alpha_R y)=-\frac{2\beta_R}{1+\beta_R^2}\psi^*(x_1-c_R t,\alpha_R y).$$

• Combined load $\sigma_{xy} = Q(x, t), \qquad \sigma_{yy} = P(x, t).$

The pseudo-static *elliptic* equation over the interior

$$\phi_{,yy} + \alpha_R^2 \phi_{,xx} = 0.$$

The boundary condition at y = 0 is provided by a *hyperbolic* equation

$$\phi_{,xx} - \frac{1}{c_R^2}\phi_{,tt} = \frac{1}{2\mu B} [2\beta_R Q^* + (1 + \beta_R^2)P].$$

• Alternative formulation via pseudo-differential operator

(J. Kaplunov, D.A. Prikazchikov, R.F. Sabirova, Dokl. Phys., 2022)

Express the hyperbolic-elliptic formulation

$$\varphi_{yy} + \alpha_R^2 \varphi_{xx} = 0$$
, $\Phi_{xx} - \frac{1}{c_R^2} \Phi_{tt} = \frac{1 + \beta_R^2}{2\mu B} P$,

where $\Phi(x, t) = \varphi(x, 0, t)$, as a hyperbolic equation at a given depth

$$\varphi_{xx} - \frac{1}{c_R^2} \varphi_{tt} = \frac{1 + \beta_R^2}{2\mu B} e^{-\alpha_R y \sqrt{-\partial_{xx}}} [P].$$

In other words,

$$\varphi_{xx} - \frac{1}{c_R^2}\varphi_{tt} = \frac{1 + \beta_R^2}{4\pi\mu B} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} P(x,t)e^{-isx}dx e^{-\alpha_R|s|y}e^{isx}ds$$

• Example (smoothing surface discontinuities with depth)

Consider the Lamb's problem, for which $P(x,t) = P_0 \delta(t) \delta(x)$.



$$\varphi = \frac{\left(1 + \beta_R^2\right)c_R P_0}{4\pi\mu B} \left[\tan^{-1}\frac{x - c_R t}{\alpha_R y} - \tan^{-1}\frac{x + c_R t}{\alpha_R y}\right]$$

we result in

• *3D model for the Rayleigh wave (vertical load)* (H.H. Dai, J. Kaplunov, D.A. Prikazchikov, Proc. Roy. Soc. A, 2010)

3D pseudo-static elliptic equations over the interior

 $\phi_{,zz} + \alpha_{\mathrm{R}}^2 \Delta_2 \phi = 0, \qquad \Psi_{,zz} + \beta_{\mathrm{R}}^2 \Delta_2 \Psi = 0, \qquad \Psi = (\psi_1, \psi_2).$

2D membrane *wave* equation at z = 0

$$\Delta_2 \phi - \frac{1}{c_R^2} \phi_{,tt} = \frac{1 + \beta_R^2}{2\mu B} P, \qquad (\Delta_2 = \partial_{xx} + \partial_{yy})$$

Relations between the potentials

$$\Psi_{,z} = \frac{2}{1+\beta_{\rm R}^2} \operatorname{grad}_2 \phi.$$

Displacements $\mathbf{u} = \operatorname{grad} \phi + \operatorname{rot} \Psi$,

 $\Psi = (-\psi_2, \psi_1, 0).$



• Tangential load

(Ege N., Erbas B., Prikazchikov D.A., ZAMM, 2015)

Boundary conditions
$$\sigma_{xz} = Q_1, \quad \sigma_{yz} = Q_2, \quad \sigma_{zz} = 0$$
 at $z = 0$.
Decomposing $Q_1 = Q_{g,x} + Q_{r,y}, \quad Q_2 = Q_{g,y} - Q_{r,x}$.
Elliptic equations $\varphi_{,zz} + \alpha_R^2 \Delta_2 \varphi = 0, \quad \Psi_{,zz} + \beta_R^2 \Delta_2 \Psi = 0$.
2D wave equation $\Delta \Psi - \frac{1}{c_R^2} \Psi_{,tt} = -\frac{1 + \beta_R^2}{2\mu B} \operatorname{grad}_2 Q_g$ at $z = 0$.
Relation on the surface $\Psi_{,z} = \frac{2}{1 + \beta_R^2} \operatorname{grad}_2 \varphi$.
Note: $\Delta_2 W - \frac{1}{c_R^2} W_{,tt} = \frac{1 - \beta_R^4}{4\mu B} \Delta_2 Q_g$ (at $z = 0$)

• Example: 3D horizontal loading on the surface of an elastic half-space (J.Kaplunov, D.A. Prikazchikov, Adv. Appl. Mech. 2017)

$$\sigma_{xz} = A\delta(x)\delta(y)\delta(t), \quad \sigma_{yz} = \sigma_{zz} = 0 \text{ at } z = 0.$$

Decomposing the load

$$Q_1 = A\delta(x)\delta(y)\delta(t), \implies \Delta_2 Q_g = A\delta'(x)\delta(y)\delta(t).$$

Equation for vertical displacement on the surface

$$\Delta_{2}w - \frac{1}{c_{R}^{2}}w_{,tt} = \frac{1 - \beta_{R}^{4}}{4\mu B}A\delta'(x)\delta(y)\delta(t).$$

$$w(x, y, 0, t) = \frac{(1 - \beta_{R}^{4})Ac_{R}x}{8\pi\mu B}\frac{H(c_{R}t - \sqrt{x^{2} + y^{2}})}{(c_{R}t - \sqrt{x^{2} + y^{2}})^{3/2}}.$$

Explicit model for surface wave in a coated half-space



Long-wave asymptotic integration \rightarrow efficient boundary conditions

$$\sigma_{i3} = \rho_0 h \left\{ u_{i,tt} - c_{20}^2 \left[u_{i,jj} + 4 \left(1 - \kappa_0^{-2} \right) u_{i,it} + \left(3 - 4 \kappa_0^{-2} \right) u_{j,ij} \right] \right\},\$$

$$\sigma_{33} = \rho_0 h u_{3,tt} - P, \qquad 1 \le i \ne j \le 2.$$

Resulting formulation

Elliptic equation over the interior

 $\varphi_{zz} + \alpha_{\rm R}^2 \Delta_2 \varphi = 0$

Singularly perturbed equation



$$b = \frac{\mu_0}{\mu} \frac{(1 - \beta_R^2)}{2B} \left[(1 - \beta_{R0}^2)(\alpha_R + \beta_R) - 4\beta_R(1 - \kappa_0^2) \right]$$

Discussion of the model

- Presence of a coating \implies integral form
- At *h=0* explicit asymptotic model for the Rayleigh wave in a half-space
- Sign of coefficient b (c_R local extremum of phase speed)

(Shuvalov, A. L., & Every, A. G., 2008)



- <u>Summary and further developments</u>
- ✓ *Summary* (J.Kaplunov, D.A. Prikazchikov, Adv. Appl. Mech. 2017)
- ✓ Effects of anisotropy, pre-stress and nonlocality

 (A.Nobili & D.A.Prikazchikov, Eur. J. Mech. A, 2018; Y.Fu et al., Proc.Roy.Soc.A 2020; D.A.Prikazchikov et al., Mech. Res. Comm., 2018; D.A.Prikazchikov, Vibration, 2023)
- ✓ Composite theories for plates

 (B.Erbas et al., Proc. Roy. Soc. A 2018; Kaplunov et al., Mech. Res. Comm., 2018)
- ✓ Surface waves with non-Neumann-type boundary conditions (J.Kaplunov et al., Phil. Trans. Roy. Soc. A, 2019)
- ✓ Refined model

 (J.Kaplunov et al., IMA J. Appl. Math. 2020)
- ✓ Seismic meta-surfaces

(N. Ege et al., JOMMS 2018; P.T. Wootton et al., Proc. Roy. Soc. A 2019; A. Alzaidi et al., ZAMP 2022)

✓ Models for bending edge waves
 (J.Kaplunov et al., Proc.Roy.Soc.A 2016; S.Althobaiti et al., JOMMS 2021)

• *Developments of the hyperbolic-elliptic model to meta-surfaces* N. Ege et al., JOMMS, 2018



Start with point time-harmonic vertical force

$$P = -P_0\delta(x_1)e^{-i\omega t}$$

Hyperbolic equation on the surface

$$\frac{\partial^2 \varphi}{\partial x_1^2} - \frac{1}{c_R^2} \frac{\partial^2 \varphi}{\partial t^2} = -\frac{1+\beta_R^2}{2\mu B} P_0 \delta(x_1) e^{-i\omega t}$$

 $\implies \varphi(x_1, 0, t) = i \frac{1 + \beta_R^2}{4\mu B} \frac{P_0 c_R}{\omega} e^{i\omega(|x_1|/c_R - t)} \quad (propagating Rayleigh wave patterns)$

Then, over the interior
$$\varphi(x_1, x_3, t) = \frac{(1+\beta_R^2)P_0e^{-i\omega t}}{4\pi\mu B} \int_{-\infty}^{\infty} \frac{e^{-\alpha_R|k|x_3}}{k^2 - \omega^2/c_R^2} e^{-ikx_1}dk.$$

Note that
$$\int_{-\infty}^{\infty} \frac{e^{-\alpha_R |k| x_3}}{k^2 - \omega^2 / c_R^2} e^{-ikx_1} dk = \frac{i\pi c_R}{\omega} e^{(i|x_1| - \alpha_R x_3) \omega/c_R} + I_2$$

$$\int_{-\infty}^{\infty} Contribution of$$

$$Contribution of$$

$$file Rayleigh poles$$

$$Spurious term$$
Here
$$I_2 = \frac{c_R}{\omega} \int_{0}^{\infty} \left(\frac{e^{-\alpha_R kx_3} - e^{-\alpha_R \omega x_3/c_R}}{k - \omega/c_R} - \frac{e^{-\alpha_R kx_3} - e^{-\alpha_R \omega x_3/c_R}}{k + \omega/c_R} \right) \cos(kx_1) dk$$

- The latter is straightforward for numerical integration, however, is an artefact of the asymptotic model, arising due to neglecting bulk waves. A similar term does not appear in analysis of the full problem within linear elasticity.
- Moreover, exact analysis shows divergence of the associated Fourier integral, resulting in blow-up of the vertical displacement at the origin

✓ Distributed load should work better



Hyperbolic equation on the surface

$$\frac{\partial^2 \varphi}{\partial x_1^2} - \frac{1}{c_R^2} \frac{\partial^2 \varphi}{\partial t^2} = -\frac{1+\beta_R^2}{2\mu B} \sum_{n=-\infty}^{\infty} p(x_1, t) \delta(x_1 + na)$$

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 $(p(x_1, t) \text{ is the contact force })$

Homogenizing the load

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$$\sum_{n=-\infty}^{\infty} p(x_1, t)\delta(x_1 + na) \approx \frac{1}{a}p(x_1, t).$$

In case of time-harmonic waves

$$p = p_0 \exp i(kx_1 - \omega t)$$

Mass-spring oscillator:

 $mv_{tt} + \chi v = p$

Rayleigh eigensolution

For the oscillator

$$v = \frac{p_0}{m(\omega_0^2 - \omega^2)} e^{i(kx_1 - \omega t)}, \qquad \omega_0 = \sqrt{\chi/m}.$$

Conditions on the surface

Uispersion relation

$$K^2 - \Omega^2 = r(s^2 \Omega^2 - 1)K$$

$$\uparrow \qquad \uparrow \\
LHS - c_R \qquad RHS - \omega_0$$

Here
$$K = ka, \qquad \Omega = \frac{\omega a}{c_R},$$
$$s = \frac{c_R}{\omega_0 a}, \qquad r = \frac{(1 - \beta_R^2) \alpha_R \chi}{2\mu B}.$$



• *Extensions to anisotropy (mass-spring system on an orthotropic half-space)*

Asymptotic model for the Rayleigh wave induced by prescribed surface stresses

Formulation within linear elasticity

$$c_{11}u_{1,11} + c_{66}u_{1,22} + (c_{12} + c_{66})u_{2,12} = \rho u_{1,tt}$$

and

$$(c_{12} + c_{66})u_{1,12} + c_{66}u_{2,11} + c_{22}u_{2,22} = \rho u_{2,tt}$$

subject to boundary conditions at $x_2 = 0$

$$\sigma_{21} = c_{66} \left(u_{1,2} + u_{2,1} \right) = f_1 \left(x_1, t \right)$$

and

$$\sigma_{22} = c_{12}u_{1,1} + c_{22}u_{2,2} = f_2(x_1, t)$$

(D. Prikazchikov et al., submitted)



Asymptotic formulation: hyperbolic equations for surface displacements

$$\left(u_{1,11} - \frac{1}{c_R^2}u_{1,tt}\right)\Big|_{x_2=0} = \frac{Q_1(c_R^2)}{c_R^2 R'(c_R^2)}\sqrt{-\partial_{,11}}[f_1] + \frac{Q_2(c_R^2)}{c_R^2 R'(c_R^2)}f_{2,1}$$

and

$$\left(u_{2,11} - \frac{1}{c_R^2}u_{2,tt}\right)\Big|_{x_2=0} = \frac{P_1(c_R^2)}{c_R^2 R'(c_R^2)}f_{1,1} + \frac{P_2(c_R^2)}{c_R^2 R'(c_R^2)}\sqrt{-\partial_{,11}}[f_2]$$

Here

$$\begin{aligned} Q_1(z) &= -c_{22}\eta(z)\zeta(z), \quad Q_2(z) = -P_1(z) = c_{11} - \rho z - c_{12}\eta(z), \\ P_2(z) &= (\rho z - c_{11})\zeta(z), \quad \zeta(z) = \sqrt{\xi(z) + 2\eta(z)}, \\ R(z) &= (c_{11}c_{22} - c_{12}^2 - c_{22}\rho z)\eta(z) - \rho z(c_{11} - \rho z) \end{aligned}$$

and

$$\xi(z) = \frac{c_{11}c_{22} - c_{12}^2 - 2c_{12}c_{66} - (c_{22} + c_{66})\rho z}{c_{22}c_{66}}, \quad \eta(z) = \sqrt{\frac{(c_{11} - \rho z)(c_{66} - \rho z)}{c_{22}c_{66}}}.$$

Rayleigh eigensolutions

$$\begin{split} u_{1} &= A \Biggl(e^{-kq_{1}x_{2}} - \frac{\gamma + \delta q_{1}^{2}}{\gamma + \delta q_{2}^{2}} e^{-kq_{2}x_{2}} \Biggr) e^{i(kx_{1} - \omega t)}, \\ u_{2} &= A \Biggl(f(q_{1}) e^{-kq_{1}x_{2}} - \frac{\gamma + \delta q_{1}^{2}}{\gamma + \delta q_{2}^{2}} f(q_{2}) e^{-kq_{2}x_{2}} \Biggr) e^{i(kx_{1} - \omega t)}, \end{split}$$

For the oscillator

$$v = \frac{p_0}{m(\omega_0^2 - \omega^2)} e^{i(kx_1 - \omega t)}, \qquad \omega_0 = \sqrt{\chi/m}.$$

Conditions on the surface

$$\mathbf{1}$$

Dispersion relation

$$K^2 - \Omega^2 = r(s^2 \Omega^2 - 1)K$$

Dispersion curves



a) pure exponential decay

b) oscillatory decay



Equation of motion



$$E\frac{\partial^2 v}{\partial x_3^2} - m\frac{\partial^2 v}{\partial t^2} = 0,$$

subject to

$$\frac{\partial v}{\partial x_3} = \frac{p}{Eh}, \quad x_3 = 0, \qquad \frac{\partial v}{\partial x_3} = 0, \qquad x_3 = -H$$

Using the Rayleigh wave eigensolution and the solution for the rod

$$v = -\frac{c_0 p}{E h \omega} \frac{\cos(\omega (x_3 + H)/c_0)}{\sin(\omega H/c_0)}, \qquad c_0 = \sqrt{E/m},$$

the dispersion relation is obtained in the form

 $K^2 - \Omega^2 = q \theta_h K \Omega \tan(\theta_H \Omega),$

with
$$heta_h = \frac{c_R h}{c_0 a}, \quad heta_H = \frac{c_R H}{c_0 a}, \quad q = \frac{E \alpha_R (1 - \beta_R^2)}{2 \mu B}.$$



Comparison with exact solution near the first and second band gaps

(D.J. Colquitt et al., JMPS, 2017)



• *Exact solution*

• *Approximate dispersion curves*

• Array of Euler-Bernoulli beams



- ✓ Several modes of contact analysed (simply supported, horizontal rails, full matching)
- \checkmark No band gaps for simply supported
- ✓ Straightforward explicit approximate solutions

P. Wootton et al., Proc. Roy. Soc. A, 2019 A. Alzaidi et al., ZAMP, 2022

Comparison with exact solution



Remarks

- ✓ The methodology of hyperbolic-elliptic models for surface waves allows various qualitative insights combined with physical understanding
- ✓ Prospective explicit approximate solutions for seismic meta-interfaces for various types of embedded resonators are possible, as well as in case of fluid-structure interaction
- ✓ More rigorous homogenisation of the contact force, as well as adding randomness factor to distribution of the oscillators, may be beneficial.