

## M55: Exercise sheet 0 (revision of set theory)

Let  $X, Y$  be sets. I hope the following concepts and notations are familiar:

- (a) The *power set*  $\mathcal{P}(X)$  of  $X$  is the collection of all subsets of  $X$ . For example, if  $X = \{a, b\}$  then  $\mathcal{P}(X) = \{\emptyset, \{a\}, \{b\}, X\}$ .
- (b) If  $A \subset X$  then  $X \setminus A = \{x \in X : x \notin A\}$ . This is the *complement* of  $A$  in  $X$ .
- (c) Let  $f : X \rightarrow Y$  be a map. If  $B \subset Y$ , then the *pre-image* or *inverse image* of  $B$  under  $f$  is given by:

$$f^{-1}(B) = \{x \in X : f(x) \in B\} \subset X.$$

- (d) Less useful, although easier to think about, is the *image* of  $A \subset X$  under  $f$  given by:

$$f(A) = \{f(x) : x \in A\} \subset Y.$$

### Exercises

- 1. Let  $f : X \rightarrow Y$  be a map from  $X$  to  $Y$ . Let  $U, V \subset X$  and  $A, B \subset Y$ . Prove or give counterexamples to the following assertions.

- (a)  $f(U \cap V) = f(U) \cap f(V)$ ;
- (b)  $f(U \cup V) = f(U) \cup f(V)$ ;
- (c)  $f^{-1}(A \cap B) = f^{-1}(A) \cap f^{-1}(B)$ ;
- (d)  $f^{-1}(A \cup B) = f^{-1}(A) \cup f^{-1}(B)$ ;
- (e)  $f(X \setminus U) = Y \setminus f(U)$ ;
- (f)  $f^{-1}(Y \setminus B) = X \setminus f^{-1}(B)$ .

In the light of this, which is better behaved: the image or pre-image?

- 2. Let  $(A_i)_{i \in I}$  be a family of subsets of  $X$ . Prove the De Morgan Laws:

$$\begin{aligned} X \setminus \bigcup_{i \in I} A_i &= \bigcap_{i \in I} (X \setminus A_i) \\ X \setminus \bigcap_{i \in I} A_i &= \bigcup_{i \in I} (X \setminus A_i). \end{aligned}$$

- 3. Suppose  $f : X \rightarrow Y$  is a bijection with inverse  $f^{-1} : Y \rightarrow X$ .
  - (a) Let  $B \subset Y$ . Show that the pre-image of  $B$  under  $f$  is equal to the image of  $B$  under  $f^{-1}$ . (So there is no ambiguity in using  $f^{-1}(B)$  for both).
  - (b) Let  $A \subset X$ . Show that the pre-image of  $A$  under  $f^{-1}$  is the same as the image of  $f$ . That is  $(f^{-1})^{-1}(A) = f(A)$ .
- 4. Let  $\mathcal{A}$  be a collection of subsets of  $X$ . Show that the following are equivalent:
  - (a)  $U$  is a union of sets from  $\mathcal{A}$ .
  - (b) For every  $x \in U$  there exists a set  $V_x \in \mathcal{A}$  such that  $x \in V_x \subset U$ .
- 5. Contemplate the following assertions about a map  $f : X \rightarrow Y$ .
  - (a)  $f^{-1}(f(U)) = U$  for all  $U \subset X$ .
  - (b)  $f(f^{-1}(V)) = V$  for all  $V \subset Y$ .

Give counter-examples to show that neither is true in general.

Under what conditions on  $f$  is one or other of these assertions true?

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## M55: Exercise sheet 0—Solutions

- When proving that two sets  $A$  and  $B$  are equal, we usually follow the time-honoured strategy of first showing that  $A \subset B$  and then showing that  $B \subset A$ .

If, on the other hand, the assertion seems false, we cast around for counter-examples involving *small* sets.

- This is false: suppose that  $f$  is not injective and let  $a \neq b \in X$  such that  $f(a) = f(b) = c \in Y$ . Let  $U = \{a\}$  and  $V = \{b\}$ . Then

$$f(U \cap V) = f(\emptyset) = \emptyset \neq f(U) \cap f(V) = \{c\}.$$

This is the only thing that can go wrong: if  $f$  is injective then the assertion is true.

- This is true. First note that  $f(U), f(V)$  are both subsets of  $f(U \cup V)$  so that their union is also contained in  $f(U \cup V)$ . For the converse, if  $y \in f(U \cup V)$  then  $y = f(x)$  for some  $x \in U \cup V$ . So  $x \in U$  or  $x \in V$ . That is  $f(x)$  is in  $f(U)$  or  $f(V)$ . In other words  $y = f(x) \in f(U) \cup f(V)$ .
- This is true: if  $x \in f^{-1}(A \cap B)$  then  $f(x) \in A \cap B$ . Thus  $f(x) \in A$  and  $f(x) \in B$ . That is,  $x \in f^{-1}(A)$  and  $x \in f^{-1}(B)$ . Otherwise said,  $x \in f^{-1}(A) \cap f^{-1}(B)$  whence  $f^{-1}(A \cap B) \subset f^{-1}(A) \cap f^{-1}(B)$ .  
Conversely, if  $x \in f^{-1}(A) \cap f^{-1}(B)$  then  $x \in f^{-1}(A)$  and  $x \in f^{-1}(B)$ . That is,  $f(x) \in A$  and  $f(x) \in B$  so that  $f(x) \in A \cap B$ . This means  $x \in f^{-1}(A \cap B)$  so that  $f^{-1}(A) \cap f^{-1}(B) \subset f^{-1}(A \cap B)$ .
- This is also true: the same argument works replacing all occurrences of  $\cap$  by  $\cup$  and “and” by “or”. To spell it out: if  $x \in f^{-1}(A \cup B)$  then  $f(x) \in A \cup B$ . Thus  $f(x) \in A$  or  $f(x) \in B$ . That is,  $x \in f^{-1}(A)$  or  $x \in f^{-1}(B)$ . Otherwise said,  $x \in f^{-1}(A) \cup f^{-1}(B)$  whence  $f^{-1}(A \cup B) \subset f^{-1}(A) \cup f^{-1}(B)$ .  
Conversely, if  $x \in f^{-1}(A) \cup f^{-1}(B)$  then  $x \in f^{-1}(A)$  or  $x \in f^{-1}(B)$ . That is,  $f(x) \in A$  or  $f(x) \in B$  so that  $f(x) \in A \cup B$ . This means  $x \in f^{-1}(A \cup B)$  so that  $f^{-1}(A) \cup f^{-1}(B) \subset f^{-1}(A \cup B)$ .
- This is false: for  $f$  not surjective we can take  $U = \emptyset$  and then  $f(X \setminus U) = f(X) \neq Y = Y \setminus f(U)$ . Even if  $f$  is surjective, things can still go wrong: suppose  $f$  is surjective but not injective and suppose  $a$  and  $b$  are distinct elements that map both to  $c$ . Let  $U = \{a\}$ . Then

$$f(X \setminus U) = f(X \setminus \{a\}) = f(X) \neq f(X) \setminus \{c\} = Y \setminus \{c\} = Y \setminus f(U).$$

If, however,  $f$  is bijective there are no problems.

- This is true:  $x \in f^{-1}(Y \setminus B)$  if and only if  $f(x) \notin B$  if and only if  $x \notin f^{-1}(B)$  if and only if  $x \in X \setminus f^{-1}(B)$ .

The punch-line is that the map  $U \mapsto f(U)$  from  $\mathcal{P}(X)$  to  $\mathcal{P}(Y)$  does not respect the operations of set theory while the “pre-image map”  $A \mapsto f^{-1}(A)$  from  $\mathcal{P}(Y)$  to  $\mathcal{P}(X)$  commutes with everything and so is an altogether nicer operation.

- For the first law:  $x \in X \setminus \bigcup_{i \in I} A_i$  if and only if  $x \notin \bigcup_{i \in I} A_i$  if and only if, for every  $i \in I$ ,  $x \notin A_i$  if and only if, for every  $i \in I$ ,  $x \in X \setminus A_i$  if and only if  $x \in \bigcap_{i \in I} (X \setminus A_i)$ .  
For the second,  $x \in X \setminus \bigcap_{i \in I} A_i$  if and only if  $x \notin \bigcap_{i \in I} A_i$  if and only if, for some  $i$ ,  $x \notin A_i$  if and only if, for some  $i$ ,  $x \in X \setminus A_i$  if and only if  $x \in \bigcup_{i \in I} (X \setminus A_i)$ .
- The image of  $B$  under  $f^{-1}$  is the set  $\{f^{-1}(y) : y \in B\}$ . But this is equal to  $\{x \in X : y = f(x) \in B\}$  which is the preimage of  $B$  under  $f$ .
  - The preimage of  $A$  under  $f^{-1}$  is the set  $\{y \in Y : f^{-1}(y) \in A\}$ . But this is equal to  $\{y \in Y : y = f(x) \text{ for some } x \in A\}$ . The last set is the image of  $A$  under  $f$ .
- First we show (a) implies (b): let  $x \in U$ . Now  $U$  is a union of sets from  $\mathcal{A}$  so that  $x$  is contained in some  $V_x$  from this union.

Now for the converse. For each  $x \in U$ , choose some  $V_x \in \mathcal{A}$  such that  $x \in V_x$  and  $V_x \subset U$ . Then

$$U = \bigcup_{x \in U} \{x\} \subset \bigcup_{x \in U} V_x \subset U.$$

We must therefore have equality here so that  $U$  is a union of sets from  $\mathcal{A}$ .

5. (a) This is true if and only if  $f$  is injective: if  $a \neq b \in X$  with  $f(a) = f(b) = c$ , then, with  $U = \{a\}$ , we have  $f(U) = \{c\}$  and so  $\{a, b\} \subset f^{-1}(f(U))$ . Thus  $f^{-1}(f(U)) \neq U$ .  
On the other hand, we clearly have  $U \subset f^{-1}(f(U))$  and, if  $f$  is injective and  $x \in f^{-1}(f(U))$  then  $f(x) \in f(U)$  so that, for some  $u \in U$ ,  $f(x) = f(u)$ . Now injectivity gives  $x = u$  so that  $x \in U$  and  $f^{-1}(f(U)) \subset U$ .
- (b) This is true if and only if  $f$  is surjective: if  $y \notin f(X)$  then, with  $V = \{y\}$ , we have  $f^{-1}(V) = \emptyset$  so that  $f(f^{-1}(V)) = \emptyset \neq V$ .  
On the other hand, it is clear that  $f(f^{-1}(V)) \subset V$  and, if  $f$  is surjective and  $y \in V$ , there is some  $x \in X$  with  $f(x) = y$  so that  $x \in f^{-1}(V)$  giving  $y \in f(f^{-1}(V))$ .