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## Physico-chemical interactions in inhaled products

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## Synopsis

- Introduction
- Theoretical and experimental studies
  - Interfacial interactions in dry powder formulations
  - Interfacial interactions in pressurised driven formulations
- Conclusions

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## Interfacial Interactions

- Govern inter-particulate adhesion
- They are governed by surface thermodynamics (particularly for sols).
- “Fundamental adhesion” is related to the thermodynamic work of adhesion ( $W_{ad}$ )

$$W_{ad} = -\Delta G_{1,2} = \gamma_1 + \gamma_2 - \gamma_{12}$$

Dupré (1869)

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## Interactions to be controlled and modified

- Drug - Drug Interactions (Cohesion)
- Drug (1) – Drug (2) Interactions (Adhesion)
- Drug - Excipient Interactions (Adhesion)
- Drug - Device Interactions (Segregation)

Their properties govern overall stability and aerosol delivery performance of a formulation

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## Start with a result!

Borosilicate Glass

Aluminium

PTFE

Adhesion studies under ambient environmental conditions  
 *In situ* adhesion studies in a model propellant (2H, 3H perfluoropentane (HPFP))

What controls these interactions and can we modify them ?

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## Colloidal Interactions in solution

- Lifshitz-van der Waals Interaction
- Electrostatic double layer forces
- Lewis acid/base interaction
  - Hydrogen bonding
  - Hydrophobic interaction
- Steric interaction
  - Entropic contribution
  - Osmotic contribution

}

DLVO Theory

Extended DLVO Theory

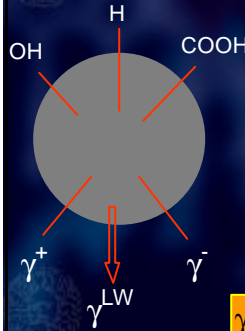
## Colloid interactions in air

Particle interactions are primarily dictated by:

- I. van der Waals Forces (LW and AB)
- II. Electrostatic Forces
- III. Capillary Forces

The relative contribution of forces (II.) and (III.) to the total adhesion/cohesion depends on the interacting materials and relative humidity.

## Physico-chemical Link



Lifshitz (1955) grouped the electrodynamic interactions:

- London-van der Waals
- Keesom-van der Waals
- Debye-van der Waals

Collectively known as Lifshitz-van der Waals (LW) interactions

- Electron-donor and electron acceptor interactions are AB interactions, for Lewis acid-base.

$$\gamma_s^T = \gamma_s^{LW} + 2\sqrt{\gamma_s^+ \gamma_s^-}$$

## Polar interactions

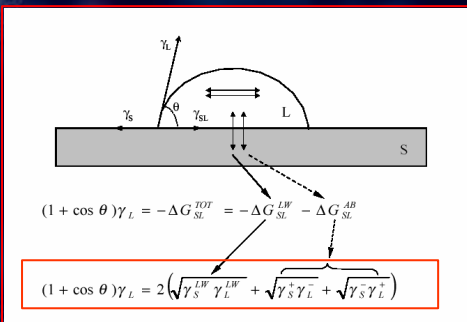
- Electron acceptor – electron donor interactions are asymmetrical:
  - The electron acceptor ( $\gamma^-$ ) parameter is usually quite different to the electron-donor ( $\gamma^+$ ) parameter
  - Interfacial interactions are 'reciprocal' (not additive).
  - Thus, electron acceptors of substance i will interact with electron donors of substance j, and vice versa:

$$\Delta G_{ij}^{AB} = -2\sqrt{\gamma_i^+ \gamma_j^-} - 2\sqrt{\gamma_i^- \gamma_j^+}$$

We need to measure  $\gamma^{LW}, \gamma^+$  and  $\gamma^-$ !

## Surface and Interfacial Energies

- At present, there is no direct method available for determination of the surface energetic properties of a solid.
- May be regarded as the sum of the free energy of all adsorption sites per unit area ( $\text{mJ/m}^2$ ).
- Related techniques
  - Contact angle
  - Inverse gas chromatography (IGC)
  - Capillary intrusion
  - Immersion calorimetry
  - Vapour sorption?

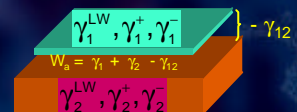


$$(1 + \cos \theta) \gamma_L = -\Delta G_{SL}^{TOT} = -\Delta G_{SL}^{LW} - \Delta G_{SL}^{AB}$$

$$(1 + \cos \theta) \gamma_L = 2\left(\sqrt{\gamma_s^{LW} \gamma_L^{LW}} + \sqrt{\gamma_s^+ \gamma_L^-} + \sqrt{\gamma_s^- \gamma_L^+}\right)$$

Young-Dupré equation

## Work of adhesion ( $W_a$ )



$$W_a = 2\left(\sqrt{\gamma_1^{LW} \gamma_2^{LW}} + \sqrt{\gamma_1^+ \gamma_2^-} + \sqrt{\gamma_1^- \gamma_2^+}\right)$$

LW attraction between solid 1 and 2

Polar adhesion between solid 1 and 2

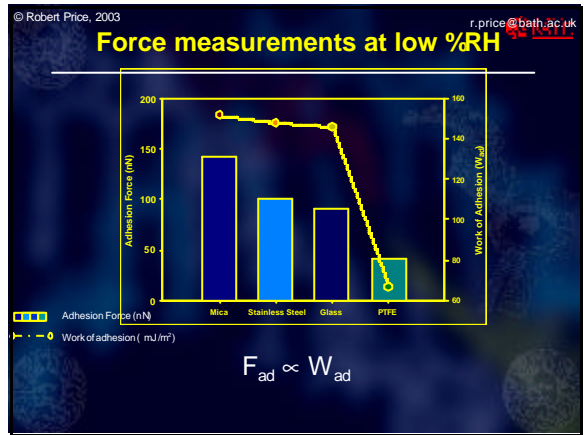
- In vacuo, always attractive and cannot be zero
- $\gamma^{LW}$  of all materials in the condensed state has a finite, positive value

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## Pull-off Forces

$F_{\text{pull-off}} = 2\pi R W_{\text{ad}} \text{ (DMT)}$ 
 $F_{\text{pull-off}} = 4\pi R \left( \sqrt{\gamma_1^{\text{LW}} \gamma_2^{\text{LW}} + \sqrt{\gamma_1^+ \gamma_2^-} + \sqrt{\gamma_1^- \gamma_2^+} \right)$

$F_{\text{pull-off}} = 3/2\pi R W_{\text{ad}} \text{ (JKR)}$ 
 $F_{\text{pull-off}} = 3\pi R \left( \sqrt{\gamma_1^{\text{LW}} \gamma_2^{\text{LW}} + \sqrt{\gamma_1^+ \gamma_2^-} + \sqrt{\gamma_1^- \gamma_2^+} \right)$



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## Relationship between %FPF and adhesion in a carrier based formulation

$\% \text{FPF} \uparrow \quad (F_{\text{ad}} \downarrow \propto W_{\text{ad}} \downarrow)$

$F_{\text{adhesion}} \text{ (drug-excipient)} \propto \text{contact area} \propto \text{work of adhesion}$   
 $F_{\text{cohesion}} \text{ (drug-drug)} \propto \text{contact area} \propto \text{work of cohesion}$

Need to balance adhesion/cohesion to maintain stability

$W_{\text{ad}} \gg \gg W_{\text{coh}}$

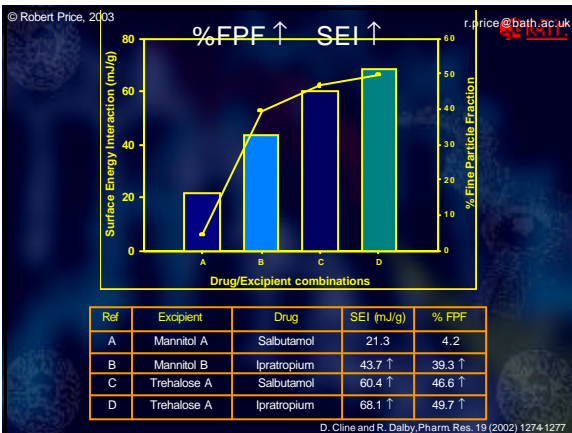
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## Energetic analysis of experimental measurements

Material	$\gamma^{\text{LW}}$ (mJ/m²)	$\gamma^+$ (mJ/m²)	$\gamma^-$ (mJ/m²)
Salbutamol	41.5	19.3	5.8
Ipratropium bromide	44.9	8.7	26
Trehalose A	42.9	26.1	5.9
Mannitol A	57.7	19.9	0.0
Mannitol B	68.6	21.3	0.0

D. Cline and R. Dalby, Pharm. Res. 19 (2002) 1274-1277

$$W_a = 2 \left( \sqrt{\gamma_1^{\text{LW}} \gamma_2^{\text{LW}}} + \sqrt{\gamma_1^+ \gamma_2^-} + \sqrt{\gamma_1^- \gamma_2^+} \right)$$

$$\text{SEI} = 2 \left( \sqrt{\gamma_1^{\text{LW}} \text{SA}_1 \gamma_2^{\text{LW}} \text{SA}_2} + \sqrt{\gamma_1^+ \text{SA}_1 \gamma_2^- \text{SA}_2} + \sqrt{\gamma_1^- \text{SA}_1 \gamma_2^+ \text{SA}_2} \right)$$


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## Surface Thermodynamics and FPF

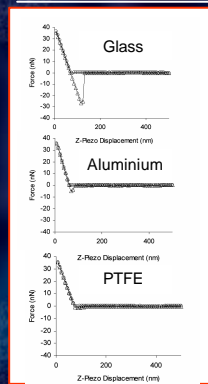
- The foundations of physico-chemical interactions, particularly for carrier based DPI formulations, are governed by work of adhesion.
- Theoretical analysis of experimental measurements suggests that:
  - Understanding and controlling non polar and polar surface energies of drug and excipient may affect stability and fine particle delivery.
  - Judicious selection of drug and excipient combination may be possible
  - Fine particle delivery performance is dependant on the work of adhesion being greater than work of cohesion

## Drug-Canister model interactions

Material (2)	Solvent (3)	Repulsive LW interaction (mJ/m <sup>2</sup> )	Attractive LW interaction (mJ/m <sup>2</sup> )	Attractive AB interaction (mJ/m <sup>2</sup> )	$\Delta G_{132}$ Total interaction (mJ/m <sup>2</sup> )
Borosilicate Glass	HPFP	104.22	-126.41	-9.21	-31.40
Anodised Aluminium	HPFP	96.81	-111.02	-4.36	-17.67
PTFE	HPFP	89.32	-95.46	-1.65	-7.80

Drug particle (1): Salbutamol sulphate (micronised)  
 Model Propellant: 2H, 3Hperfluorpentane (HPFP)

## Direct Force Measurements



$$F_{ad} = 28.63 \text{ nN} \quad (\Delta G_{132} = -31.40 \text{ mJ/m}^2)$$

$$F_{ad} = 6.24 \text{ nN} \quad (\Delta G_{132} = -17.67 \text{ mJ/m}^2)$$

$$F_{ad} = 0.85 \text{ nN} \quad (\Delta G_{132} = -7.80 \text{ mJ/m}^2)$$

## Conclusions

- Varying acid-base properties of surfaces and solutions may be the basis for target oriented design of interfaces.
- "Practical adhesion" depends not only thermodynamic surface characterisation.
- There is a further need to correlate:
  - Relationship between surface thermodynamics (contact angles, IGC), force measurements (AFM, CPD) and *in vitro* performance.
  - Macroscopic properties of surfaces and meso scale properties of interfacial interactions and related adhesion.