The Sparsity Challenges

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Notation

For a polynomial f:

 d_f is the degree of f

 t_f is the number of non-zero terms in f

|f| is the largest absolute value of a coefficient

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Notation

For a polynomial f: d_f is the degree of f t_f is the number of non-zero terms in f |f| is the largest absolute value of a coefficient $t_f/(d_f + 1)$ is a measure of the sparsity of a polynomial

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Introduce sparse polynomial representations

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 Introduce sparse polynomial representations, and explain how every realistic representation has to be sparse;

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- Carefully explain good algorithms for adding and multiplying sparse polynomials;

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- Go on to discuss division, gcd, factorization etc.,
- !! while silently switching to dense thinking.
- This is the sparsity challenge!

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others e.g. polynomial decomposition — does f(x) = g(h(x))?

but there are some common difficulties

$$C_n = x^n - 1$$



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$$\Phi_n = \prod_{\substack{k=1\\ \gcd(k, n) = 1}}^n \left(x - e^{2\pi i k/n} \right)$$

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$$\Phi_n(x) = \prod_{d|n} C_d(x)^{\mu(n/d)}$$

where μ is the Möbius function.

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$$\Phi_p(x) = x^{p-1} + \cdots + x + 1$$



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 $\Phi_6(x) = x^2 - x + 1;$ $\Phi_{10}(x) = x^4 - x^3 + x^2 - x + 1$

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$$\Phi_p(x) = x^{p-1} + \dots + x + 1$$

 $\Phi_6(x) = x^2 - x + 1; \qquad \Phi_{10}(x) = x^4 - x^3 + x^2 - x + 1$ But $\Phi_{105}(x) = x^{48} \pm \dots - 2x^{41} \dots 2x^7 \dots 1$

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Table: Large coefficients in Φ_k

$ a_i $	2	3	4	5	6	7	8=9
first Φ_k	105	385	1365	1785	2805	3135	6545
$\phi(k)$	48	240	576	768	1280	1440	3840

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$ a_i $	14	23	25	27	59	359	
first Φ_k	10465	11305	17225	20615	26565	40755	
$\phi(k)$	6336	6912	10752	12960	10560	17280	

Challenge 1

Find useful bounds on the number of terms in *non-cyclotomic* factors of sparse polynomials.

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Note that Bremner has a trinomial which factors as two dense degree 7 polynomials.
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Is this as bad as it gets?

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- Writing down just the degrees of the factors of C_n still requires factoring n
- Various results of Plaisted
- Also x^n Asking for all decomposition of x^n means writing down all factors of n

C_n/Φ_k is difficult: Plaisted

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C_n/Φ_k is difficult: Plaisted

Theorem (Plaisted)

It is NP-hard to determine whether two sparse polynomials (in the standard encoding) have a non-trivial common divisor.

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It is NP-hard to determine whether two sparse polynomials (in the standard encoding) have a non-trivial common divisor.

The basic device of the proofs is to encode the NP-complete problem of 3-satisfiability so that a formula W in n Boolean variables goes to a sparse polynomial $p_M(W)$ which vanishes exactly at certain Mth roots of unity corresponding to the satisfiable assignments to the formula W, where M is the product of the first n primes. [MR 85j:68043]

Either

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Either

 find a class of problems for which the gcd problem is still NP-complete even when cyclotomic factors are encoded as C_n (or Φ_k); or

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- ▶ find a class of problems for which the gcd problem is still NP-complete even when cyclotomic factors are encoded as C_n (or Φ_k); or
- find an algorithm for the gcd of polynomials with no cyclotomic factors, which is polynomial-time in the standard encoding.

C_n/Φ_k can be disguised

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There are "scaled cyclotomics" such as

$$x^{105} - 2^{105} = 2^{105} C_{105}(x/2)$$

C_n/Φ_k can be disguised

There are "scaled cyclotomics" such as

$$x^{105} - 2^{105} = 2^{105}C_{105}(x/2)$$

A partial answer to the cyclotomics problem is to admit C_n (or Φ_k) as elements in our *output* vocabulary.

The output may not be sparse

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- 'dumb', e.g. quotient with remainder
- 'degenerate', where we have encoded a different problem

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- ► The problem may be intrinsically hard e.g. Plaisted
- We may just not know a good algorithm as in the case of gcd of polynomials with no cyclotomic factors

With remainder:



With remainder: very bad

• Naïvely $O(d_f^2 t_g)$ exponent comparisons

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- Exact use "early abort": solves coefficient growth and in practice is very effective
 - In the standard model, dependence on d_f is inevitable: (xⁿ − 1)/(x − 1).

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Find an algorithm for exact division of f by g which is polynomial-time in t_f , t_g and $t_{f/g}$.
Find an algorithm for exact division of f by g which is polynomial-time in t_f , t_g and $t_{f/g}$. This plus challenge 1 (bounds on term count) would be a real breakthrough

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Exact Divisibility

Theorem (Plaisted)

The following problem is NP-hard: given an integer N and a set $\{p_1(x), \ldots, p_k(x)\}$ of sparse polynomials with integer coefficients, to determine whether $x^N - 1$ divides $\prod_{i=1}^k p_i(x)$.

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Again, the proof is based on 3-SAT.

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Again, the proof is based on 3-SAT. Note, however, that the product may be dense, so we shouldn't quite give up hope here.

Either

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find a class of problems for which the simple problem "does g divide f?" is still NP-complete; or

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Failing this

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Failing this

find an algorithm for the divisibility of cyclotomic-free polynomials which is polynomial-time.

Either

- find a class of problems for which the simple problem "does g divide f?" is still NP-complete; or
- find an algorithm for the divisibility of polynomials which is polynomial-time.

Failing this

find an algorithm for the divisibility of cyclotomic-free polynomials which is polynomial-time.

Again, there is scope for a major breakthrough here.

Greatest Common Divisor

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Greatest Common Divisor

Plaisted's theorem shows that there are hard cases here.

As a special case of Challenge 1 we can ask the following.

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By analogy with Challenge 3, we can also pose the following. Find an algorithm for computing gcd(f,g) which is polynomial-time in t_f , t_g and $t_{gcd(f,g)}$. Again, we might restrict ourselves to the non-cyclotomic case.

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Theorem (KarpinskiShparlinski1999)

Over ${\bf Z}$ and in the standard encoding, the two problems

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Hence, in the light of Theorem 1, determining square-freeness is hard, at least when polynomials with cyclotomic factors are involved.

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Hence, in the light of Theorem 1, determining square-freeness is hard, at least when polynomials with cyclotomic factors are involved.

A fortiori, computing the square-free decomposition is hard, at least when cyclotomics are involved. This is certainly the case if we want a full decomposition in the standard model, as the trivial example of

$$x^{p+1} - x^p - x + 1 = (x - 1)^2 (x^{p-1} + \dots + 1)$$
 (1)

shows.

Challenge 6a

Find a polynomial-time algorithm for the *shape* of the square-free decomposition of a sparse polynomial.

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Challenge 6a

Find a polynomial-time algorithm for the *shape* of the square-free decomposition of a sparse polynomial. We might also ask about the square-free decomposition of cyclotomic-free polynomials.

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Challenge 6a

Find a polynomial-time algorithm for the *shape* of the square-free decomposition of a sparse polynomial.

We might also ask about the square-free decomposition of cyclotomic-free polynomials.

Note, however, various results about polynomials which get sparser when we square them

Perfect Powers

However, a positive result for the standard representation in this area is provided by Giesbrecht & Roche, who give a Las Vegas polynomial-time algorithm for determining *whether* a given sparse f (not of the form x^n , else the number of possibilities is potentially vast) is h^r , and r itself.

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One obvious question is whether h has to be sparse if f is. They conjecture that it does: more precisely the following.

Conjecture (GiesbrechtRoche2008a)

For $r, s \in \mathbf{N}$ and $h \in \mathbf{Z}[z]$ with $d_h = s$, then $\hat{t}_{h^i} < \hat{t}_{h^r} + r$ for $1 \leq i < n$, where $\hat{t}_f = t_{f(\text{mod } x^{2s})}$.

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Assuming this conjecture, they can recover h in polynomial time.

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we might be inclined to give up. But there is some good news.

Lenstra's Theorem

There is a deterministic algorithm that, for some positive real number c, has the following property: given an algebraic number field K, a sparsely represented non-zero polunomial $f \in K[x]$ and a positive integer d, the algorithm finds all monic irreducible factors of f in K[x] of degree at most d, as well as their multiplicities, and it spends time at most $(I + d)^c$, where I denotes the length of the input data (i.e. $t_f \log(d_f|f|)$)

Understand the complexity of this result in practice.


Challenge 7

Understand the complexity of this result in practice. In particular, we would like to know the value of c in the special case when K is **Q**.

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Also, $(l + d)^c$ is a very neat formulation, but the dependencies on d and l are probably different in reality.

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Some cancellation is certainly possible, though

Challenge 8

Understand the complexity of this result in practice.

