# On 3-Baer groups

Gunnar Traustason Dept. Math. Sciences, University of Bath, Bath BA2 7AY email: masgt@maths.bath.ac.uk

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#### 1 Introduction

A group is called a Baer group if every cyclic subgroup is subnormal. If every cyclic subgroup in G is subnormal of defect at most n then we say that G is an n-Baer group or more shortly a  $B_n$  group. It is not difficult to see that every  $B_n$  group is an (n+1)-Engel group. In this paper we will study 3-Baer groups. Our main results can be summarised into two theorems.

**Theorem 1** Let G be a 3-Baer group. Then G is an extension of a nilpotent group of class at most 2 by a 3-Engel group. Furthermore if G has no element of order 2 then G is abelian by 3-Engel.

If G is a non-torsion group, that is a group that contains an element of infinite order, then we have the stronger result that G is a 3-Engel group [5]. Theorem 1 is thus essentially a theorem about 3-Baer p-groups.

In [1], Garrison and Kappe give a detailed analysis of metabelian 3-Baer groups. One of their main results is the following: if G is a 3-Baer group without elements of order 2 or 3 then G/Z(G) is 3-Engel and nilpotent of class at most 4. They also give examples of 3-Baer 2 groups and 3-groups which are not centre by 3-Engel and which are nilpotent of class 5. There are non-nilpotent metabelian 3-Engel groups of exponent 4. However, we have that metabelian 3-Baer groups without involutions are nilpotent and by next result the best upper bound for the nilpotency class in that case is 5. **Theorem 2** Let G be a metabelian 3-Baer 3-group, then G is nilpotent of class at most 5.

### 2 Connection with 3-Engel groups

By a theorem of L.-C. Kappe and W. Kappe [4], we have that if G is a 3-Engel group then the normal closure of an element is always nilpotent of class at most 2. So it follows that if  $x \in G$  then every subgroup of  $x^G$  is subnormal of defect at most 2. It follows in particular that  $\langle x \rangle$  is subnormal of defect at most 3 in G. Hence every 3-Engel group is a 3-Baer group. In this section we will show that every 3-Baer group is an extension of a nilpotent group of class at most 2 by a 3-Engel group.

**Lemma 1** Let H be a 3-Baer group and suppose  $x \in H$  is an element in H satisfying  $x^{p^{2i}} = 1$  for some integer  $i \geq 0$ . Then if  $(x^{p^i})^{x^H}$  is abelian it follows that  $(x^{p^i})^H$  is abelian.

**Proof** Let  $b \in H$ . We then have that  $x^b \in x^H$  and since H is a 3-Baer group we have

$$[x^{p^i}, x^b, x^b] \in \langle x^b \rangle^{(H,3)} = \langle x^b \rangle$$

which implies that  $[x^{p^i}, x^b, x^b, x^b] = 1$ . Using the fact that  $(x^{p^i})^{x^H}$  is abelian, we get

$$[x^{p^{i}}, b^{-1}x^{p^{i}}b] = [x^{p^{i}}, x^{b}]^{p^{i}}[x^{p^{i}}, x^{b}, x^{b}]^{\binom{p^{i}}{2}}$$
$$= [x^{p^{i}\binom{p^{i}}{2}}, x^{b}, x^{b}].$$

Suppose that  $[x^{p^i}, x^{p^i b}] \neq 1$ . From the equality above we have  $[x^{p^i}, x^b, x^b]^{\binom{p^i}{2}} \neq 1$ . Therefore we must have that p = 2, that x has order (exactly)  $2^{2i}$  and that  $[x^{2^i}, x^b, x^b]$  has order  $2^i$ . But since  $[x^{2^i}, x^b, x^b] \in \langle x^b \rangle$  and since this element has order  $2^i$  and  $x^b$  has order  $2^{2i}$ , we have

$$[x^{2^{i}}, x^{b}, x^{b}] = (x^{b})^{2^{i}r},$$

where r is odd. It follows that  $(x^b)^{2^i} = [x^{2^i}, x^b, x^b]^s$  for some s. But then

$$[x^{2^{i}}, b^{-1}x^{2^{i}}b] = [x^{2^{i}}, [x^{2^{i}}, x^{b}, x^{b}]^{s}] = 1,$$

since  $[x^{2^i}, x^b, x^b]^s \in (x^{2^i})^{x^H}$ , and we have a contradiction. Hence  $[x^{p^i}, x^{p^i b}] = 1$ .  $\Box$ 

**Corollary 1** Let G be a 3-Baer group and  $x \in G$  be an element satisfying  $x^{p^{2i}} = 1$  for some integer  $i \ge 0$ . Then  $(x^{p^i})^G$  is abelian.

**Proof** Since G is a 3-Baer group we have that  $x^{(G,3)} = \langle x \rangle$ . We now apply Lemma 1 three times, letting H be first  $x^{(G,2)}$  then  $x^{(G,1)}$  and  $x^{(G,0)}$ .  $\Box$ 

Before proceeding further we make a remark which is going to be useful in later. Suppose G is a nilpotent group and that g is an element in G satisfying  $g \in [\langle g \rangle, G]$ . It then follows by induction that  $\langle g \rangle \leq [\langle g \rangle, G, \ldots, G]$  for

all positive integers. Since G is nilpotent it then follows that g = 1.

**Lemma 2** Let G be a  $B_3$  group of exponent 4. Then G is a 3-Engel group.

**Proof** Let B(3,4) be the relatively free group with 3 generators and of exponent 4. One can see from a power-commutator presentation of B(3,4), (see p. 144 in [8] for example) that all groups of exponent 4 satisfy

$$[a, x^2, x] = [a, x, x, x].$$

We want to show that [a, x, x, x] = 1 for all  $a, x \in G$ . Since G is a 3-Baer group we have that  $[a, x, x, x] \in \langle x \rangle$ . If [a, x, x, x] is equal to either x or  $x^{-1}$  then it follows from the remark made before the statement of the lemma, that x = 1. We can thus assume that  $[a, x, x, x] = x^2$ . But then  $x^2 = [a, x^2, x] \in [\langle x^2 \rangle, G]$  and we have  $x^2 = 1$  by the same remark.  $\Box$ 

The following lemma which we state without a proof will also be useful. (see [7]).

**Lemma 3** If G is a 4-Engel group and  $x \in G$  is of finite order then  $\langle x, x^b \rangle$  is nilpotent of class at most 4 for all  $b \in G$ .

We said in the introduction that every *n*-Baer group is a (n + 1)-Engel group. That is every element is a left (n + 1)-Engel element. If an element is either of infinite order or of prime order more can be said. Let  $[a_{,m} x] = [\cdots [[a, \underbrace{x}], ], \cdots, \underbrace{x}].$ 

**Lemma 4** Let G be a n-Baer group. If  $x \in G$  is an element which is of infinite order then x is a left n-Engel element. If x is a p-element for some prime p then  $[a, x] \in \langle x^p \rangle$ . In particular if x has order p we have that x is a left n-Engel element.

**Proof** Suppose first that  $a, x \in G$  where x is of infinite order. Since G is a *n*-Baer group, we have that  $[a, x] = x^s$  for some integer s. Being a Baer group we have that G is locally nilpotent and thus we have

$$x^{s^{r+1}} = [\underbrace{[a_{n-1} x], \cdots, [[a_{n-1} x]]}_{r}, [a_{n} x]] \cdots] = 1$$

for some integer  $r \ge 1$ . But since x is of infinite order we must have s = 0. Hence [a, x] = 1. Now suppose that x is a p-element for some prime p. Since G is a n-Baer group we have that  $[a, x] = x^m$  for some integer m. We want to show that  $x^m \in \langle x^p \rangle$ . If this is not the case we must have  $x = [a, x]^s$  for some integer s. Thus  $\langle x \rangle \le [\langle x \rangle, H]$  and by the remark before Lemma 2 we have that x = 1 which is a contradiction. $\Box$ 

**Theorem 1** Let G be a 3-Baer group. Then G is an extension of a nilpotent group of class at most 2 by a 3-Engel group. Furthermore if G does not contain an involution then G is abelian by 3-Engel.

**Proof** It follows from Lemma 4 that [a, x, x, x] = 1 when x is of infinite order. For each prime p let  $H_p = \langle [a, x, x, x] : a, x \in G$  and x is a p – element $\rangle$ . Let  $H = \langle [a, x, x, x] : a, x \in G \rangle$ . G is locally nilpotent and thus we have that the torsion elements in G form a subgroup which is a direct product of p-groups. Since [a, x, x, x] = 1 when x is of infinite order we have that H is a torsion group and that  $H = \prod_p H_p$ . It is now clearly sufficient to show that  $H_p$  is abelian when  $p \neq 2$  and that  $H_2$  is nilpotent of class at most 2. Suppose first that  $p \neq 2$ . Let  $a, b, x, y \in G$  such that x and y are p-elements. Suppose that  $[a, x, x, x] = x^m$  and that  $[b, y, y, y] = y^n$  where  $m = p^i r$ ,  $n = p^j s$  and (r, p) = (s, p) = 1. By Lemma 4 we have that i and j are greater than 0. By Lemma 3 we have that  $\langle x, x^a \rangle$  is nilpotent of class at most 4. Hence

$$1 = [[a, x, x], [a, x, x, x]] = x^{m^2}.$$

By corollary to Lemma 1, we have that  $(x^m)^G$  is abelian. Similarly  $y^{n^2} = 1$  and  $(y^n)^G$  is abelian. We can without loss of generality assume that  $i \leq j$ . We now have

$$[x^{m}, y^{n}] = [x^{m}, y]^{n} [x^{m}, y, y]^{\binom{n}{2}} [x^{m}, y, y, y]^{\binom{n}{3}}$$

$$= [x^{m}, y, y, y]^{\binom{n}{3}}.$$

$$(1)$$

If  $[x^m, y^n] \neq 1$  we thus must have p = 3 and i = j. We also must have that x has order  $3^{2i}$  and  $[x^m, y, y, y]$  has order  $3^i$ . Since G is a 3-Baer group,  $[x^m, y, y, y] \in \langle y \rangle$ . We thus must have

$$[x^m, y, y, y] = y^{3^i t}$$

for some t which is coprime to 3, and thus

$$y^n = [x^m, y, y, y]^l$$

for some *l*. But then  $[x^m, y^n] = [x^m, [x^m, y, y, y]^l] = 1$ , since  $(x^m)^G$  is abelian, which is a contradiction. We have thus proved that  $H_p$  is abelian when  $p \neq 2$ . We now show that  $H_2$  is nilpotent of class at most 2. Let  $a, b, c, x, y, z \in G$  such that x, y and z are 2-elements. Let u = [[a, x, x, x], [b, y, y, y]]. We want to show that u commutes with [c, z, z, z]. From equation like equation (2) we see that u has order either 1 or 2. We consider two cases. Suppose first that

$$[c, z, z, z] = z^{4m}$$

for some integer m. We know that  $u^{H_2}$  is abelian. Therefore

$$[u, [c, z, z, z]] = [u, z]^{4m} [u, z, z]^{\binom{4m}{2}} [u, z, z, z]^{\binom{4m}{3}} = 1.$$

By Lemma 4 we have that  $[c, z, z, z] \in \langle z^2 \rangle$ , we can thus assume that

$$[c, z, z, z] = z^{2m}$$

where *m* is odd. By similar argument as before we have that  $z^4 = 1$  and  $(z^2)^{H_2}$  is abelian. By Lemma 2 we have that  $[a, x, x, x] \in G^4$ . Therefore  $u \in G^4$ . Suppose that  $u = u_1^4 \cdots u_l^4$ . But

$$[z^{2m}, u_i^4] = [z^{2m}, u_i]^4 [z^{2m}, u_i, u_i]^6 [z^{2m}, u_i, u_i, u_i]^4 = 1$$

So again [u, [c, z, z, z]] = 1.

From our knowledge on 3-Engel groups we get the following corollary.

**Corollary 2** Let G be a 3-Baer group which is 5-torsion free. Then G is soluble of derived length at most 5. Furthermore if G does not have an involution then G has derived length at most 4.

**Remarks** (1) What are the best upper bounds in Corollary 2? We know it must be 3, 4 or 5 in the general case and 3 or 4 when G is also 2-torsion free. If G is a torsion 4-Engel group that is  $\{2, 3, 5\}$ -torsion free it is known [6,7] that G is nilpotent of class at most 7 so in that case the derived length is at most 3.

(2) Is it possible to strengthen Theorem 1 so that every 3-Baer group is abelian by 3-Engel?

#### **3** Metabelian 3-Baer groups

It is well known that there are metabelian 3-Engel groups of exponent 4 that are non-nilpotent. Take for example the standard wreath product of a cyclic group of order 2 with a countably infinite elementary abelian 2-group. So metabelian 3-Baer groups need not be nilpotent. However if a metabelian 3-Baer group is without an involution then it is nilpotent. Garrison and Kappe [1] have shown the best upper bound for the nilpotency class is 4 if one furthermore assumes that there are no elements of order 3. They also give an example of a metabelian 3-Baer 3-group which is nilpotent of class 5. In this section we will prove that 5 is in fact the best upper bound for the nilpotency class of 3-Baer 3-groups. We will first need the following elementary lemma.

**Lemma 5** Let  $G = \langle x, y \rangle$  be a metabelian 3-Baer 3-group. Let  $H \triangleleft G$  then

$$[H, G^{3^{i}}] \le [H, G]^{3^{i}}[H, G, G]^{3^{i-1}}.$$

Furthermore, if  $H \leq \gamma_2(G)$  then

$$[H, G^{3^i}] \le [H, G]^{3^i}.$$

**Proof** Let  $h \in H$  and  $g \in G$ . Since G is a metabelian 4-Engel group, we have

$$[h, g^{3^{i}}] = [h, g]^{3^{i}}[h, g, g]^{\binom{3^{i}}{2}}[h, g, g, g]^{\binom{3^{i}}{3}}$$
(2)

which is in  $[H, G]^{3^{i}}[H, G, G, G]^{3^{i-1}}$ . (We will not need this slightly stronger version). This proves the first part of the lemma. Now suppose furthermore

that  $h \in \gamma_2(G)$ . If  $g \in \gamma_2(G)$  then [h, g] = 1. So let  $g \in G \setminus \gamma_2(G)$ ; we may assume that  $G = \langle g, f \rangle$  for some  $f \in G$ . Then  $h \in \langle g \rangle^G$  and since G is a 3-Baer group we have  $[h, g, g] \in \langle g \rangle$  so [h, g, g, g] = 1. It follows now from (3) that  $[h, g^{3^i}] \leq [H, g]^{3^i}$ .  $\Box$ 

We are now ready to prove the main result of this section.

**Proposition 1** Let G be a metabelian 3-Baer group and suppose  $t \in G$  is a 3-element. Then [x, t, t, t, y, z] = 1 for all  $x, y, z \in G$ .

**Proof** From [2] we have that a metabelian *n*-Engel group satisfies  $[x, y, z_{n-1} u] = 1$  (this follows from  $[z_{n} u[x, y]] = 1$ ). Since G is a metabelian 4-Engel group, it follows that

$$1 = [t, [x, y], t, t, z] = [t, x, y, t, t, z][t, y, x, t, t, z]^{-1}$$

and thus

$$[x, t, t, t, y, z] = [y, t, t, t, x, z] \quad \text{for all } x, y, z \in G.$$

$$(3)$$

Suppose for some given  $x, y, z, t \in G$  we have  $[x, t, t, t, y, z] \neq 1$ . Since G is a metabelian  $B_3$  group we have that [x, t, t, t], [x, t, t, t, y] = [x, t, y, t, t] and [x, t, t, t, z] are in  $\langle t \rangle$ . Furthermore suppose

$$\langle [x,t,t,t] \rangle = \langle t^{3^i} \rangle, \ \langle [t^{3^i},y] \rangle = \langle t^{3^{i+u}} \rangle, \ \langle [t^{3^i},z] \rangle = \langle t^{3^{i+e}} \rangle.$$

Assume that x is chosen so that i is minimal and then that y and z are chosen so that u and e are minimal. We then have u = e and we can assume that y = z. We also have  $\langle [x, t, t, t, y, y] \rangle = \langle t^{3^{i+2u}} \rangle$  and thus  $t^{3^{i+2u}} \neq 1$ . Now suppose further that

$$\langle [y, t, t, t] \rangle = \langle t^{3^{j}} \rangle$$
 and  $\langle [t^{3^{i}}, x] \rangle = \langle t^{3^{i+h}} \rangle$ .

Since x was chosen such that i is minimal we must have  $i \leq j$ . It follows now from identity (4) that j + h = i + u and therefore  $h \leq u$ . We thus have  $t^{3^{i+2h}} \neq 1$  and therefore  $[x, t, t, t, x, x] \neq 1$ . We will now show that this leads to a contradiction.

Suppose first that x is of infinite order. Let u = [x, t, t, t, x]. Since G is a 3-Baer group we have that  $[x, u] = x^m$  for some integer m. Since G is locally nilpotent the argument that was used in the proof of Lemma 4 gives that m = 0 and thus [x, t, t, t, x, x] = 1. We can thus assume that x is of finite order. Since G is locally nilpotent we have that x commutes with t if x has order coprime to 3. We can thus assume that x is also a 3-element. From [2] we have that a metabelian n-Engel group G satisfies the identities  $\gamma_{n+1}(G)^{(n+1)\cdot(n-1)!\cdot 1!\cdot 2!\cdots(n-1)!} = 1$  and  $\gamma_{n+2}(G)^{1!\cdots(n-1)!} = 1$ . Since G is a metabelian 4-Engel group we have then that  $\gamma_5(G)^{9\cdot40} = \gamma_6(G)^{3\cdot4} = 1$ . Thus  $[x, t, t, t, x]^9 = [x, t, t, t, x, x]^3 = 1$ . We therefore have that  $t^{3^{i+h+2}} = 1$  and  $t^{3^{i+2h}} \neq 1$  which implies that we must have h = 1. Since  $i \geq 1$  we have that  $|t| \geq 3^4$ . Similarly we have  $|x| \geq 3^4$ . Now  $\langle [x, t, t, t] \rangle = \langle t^{3^i} \rangle$ . Suppose further that  $\langle [t, x, x, x] \rangle = \langle x^{3^j} \rangle$ . If  $\langle [x^{3^j}, t] \rangle = \langle x^{3^{j+k}} \rangle$  then by the same argument as before we have k = 1. From what we have already shown we have

$$t^{3^{i+3}} = x^{3^{j+3}} = [t^{3^{i+2}}, x] = [x^{3^{j+2}}, t] = [t^{3^{i+1}}, x, x] = [t^{3^i}, x, t] = [x^{3^j}, t, x] = [x^{3^{j+1}}, t, t] = 1.$$
 (5)

Let  $H = \langle x, t \rangle$  and suppose further that t is chosen in  $H \setminus \Phi(G)$  such that it has minimal order. Notice that we still have  $[x, t, t, t, x, x] \neq 1$  for some other generator x for H. Since t has minimal order we have that  $i \leq j$ . We now have  $\langle [x, t, t, t, x, x] \rangle = \langle t^{3^{i+2}} \rangle = \langle x^{3^{j+2}} \rangle$ . Suppose that

$$t^{3^{i+2}} = x^{-3^{j+2}r}$$

for some r not divisible by 3. Let  $u = tx^{3^{j-i_r}}$ . We get a contradiction by showing that  $u^{3^{i+2}} = 1$  (since then t is not of minimal order in  $H \setminus \Phi(G)$ ). Let  $y = x^{3^{j-i_r}}$  and  $n = 3^{i+2}$ . We will show that  $(ty)^n = t^n y^n$ . Since  $t^n = y^{-n}$ it follows then that  $u^n = 1$ .

We let  $H = \langle t, y \rangle$  and for each integer  $m \ge 1$  we let  $H_m = \gamma_2(H)^{3^m} \gamma_3(H)^{3^{m-1}}$ . We show by induction on m that

$$(ty)^{3^m} = t^{3^m} y^{3^m} \pmod{H_m}.$$
 (6)

Modulo  $\gamma_3(H)$  we have  $(yt)^3 = y^3t^3[t, y]^3$  and (6) is therefore true for m = 1. Suppose now that (6) is true for some  $m \ge 1$ . Then  $(yt)^{3^m} = y^{3^m}t^{3^m}h$  for some  $h \in H_m$ . It follows from Lemma 5 that  $y^{3^m}$ ,  $t^{3^m}$  and h commute modulo  $H_{m+1}$ . Also  $h^3 \in H_{m+1}$ . Therefore we have modulo  $H_{m+1}$  that  $(yt)^{3^{m+1}} = y^{3^{m+1}}t^{3^{m+1}}$ . This finishes the proof of our inductive hypothesis.

From (5) we have that  $H_{i+2} = \{1\}$  and thus (6) gives us  $(ty)^{3^{i+2}} = t^{3^{i+2}}y^{3^{i+2}}$  as we wanted.  $\Box$ 

It is well known [3] that metabelian 3-Engel groups without involutions are nilpotent of class at most 3. Therefore we have as a corollary the following result.

**Theorem 2** Let G be a metabelian 3-Baer 3-group. Then G is nilpotent of class at most 5.

**Remarks.** (1) As we mentioned in the introduction, there are examples of metabelian 3-Baer groups which have class 5 so this is the best upper bound. (2) The following result of P. Hall is well known: If G is a group with normal subgroup H such that G/[H, H] and H are nilpotent then G is nilpotent. One also gets a bound for the nilpotency class of G in terms of the nilpotency classes of the quotient and the subgroup. Using this result, Corollary 2 and what we know about metabelian groups one can show that 3-Baer 3-groups are nilpotent of class at most 965. When G is  $\{2, 3, 5\}$ -torsion free we however have a much better upper bound since, as we mentioned in section 2, 4-Engel  $\{2, 3, 5\}$ -torsion free groups are nilpotent of class at most 7.

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