

## M216: Exercise sheet 1

### Warmup questions

- Let  $U$  be a subset of a vector space  $V$ . Show that  $U$  is a linear subspace of  $V$  if and only if  $U$  satisfies the following conditions:
  - $0 \in U$ ;
  - For all  $u_1, u_2 \in U$  and  $\lambda \in \mathbb{F}$ ,  $u_1 + \lambda u_2 \in U$ .
- Which of the following subsets of  $\mathbb{R}^3$  are linear subspaces? In each case, briefly justify your answer.
  - $U_1 := \{(x_1, x_2, x_3) \mid x_1^2 + x_2^2 + x_3^2 = 1\}$
  - $U_2 := \{(x_1, x_2, x_3) \mid x_1 = x_2\}$
  - $U_3 := \{(x_1, x_2, x_3) \mid x_1 + 2x_2 + 3x_3 = 0\}$
- Which of the following maps  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  are linear? In each case, briefly justify your answer.
  - $f(x, y) = (5x + y, 3x - 2y)$
  - $f(x, y) = (5x + 2, 7y)$
  - $f(x, y) = (\cos y, \sin x)$
  - $f(x, y) = (3y^2, x^3)$ .

### Homework

- Let  $\mathcal{I}$  be a set and  $V$  a vector space over a field  $\mathbb{F}$ . Recall that  $V^{\mathcal{I}}$  is the set of maps  $\mathcal{I} \rightarrow V$ . Show that  $V^{\mathcal{I}}$  is a vector space under pointwise addition and scalar multiplication.
- Let  $\mathbb{R}[x]$  be the space of real polynomials. This is a vector space under coefficient-wise addition and scalar multiplication. For  $d \in \mathbb{N}$ , let  $P_d \subset \mathbb{R}[x]$  be the set of polynomials of degree no more than  $d$ . Show that  $P_d \leq \mathbb{R}[x]$  and has basis  $1, x, \dots, x^d$ . Define a linear map  $D : P_d \rightarrow P_d$  by  $D(p) = p'$ . Compute its matrix with respect to  $1, x, \dots, x^d$ . What are  $\ker D$  and  $\text{im } D$ ?

### Additional questions

- Which of the following subsets of  $\mathbb{C}^3$  are linear subspaces over  $\mathbb{C}$ ? In each case, briefly justify your answer.
  - $U_1 := \{(z_1, z_2, z_3) \mid z_1 z_2 = 1\}$
  - $U_2 := \{(z_1, z_2, z_3) \mid z_1 = \bar{z}_2\}$
  - $U_3 := \{(z_1, z_2, z_3) \mid z_1 + \sqrt{-1}z_2 + 3z_3 = 0\}$
- Let  $V$  be an  $n$ -dimensional vector space over  $\mathbb{C}$ , and let  $V_{\mathbb{R}}$  be the underlying vector space over  $\mathbb{R}$  (thus  $V_{\mathbb{R}}$  has the same set of vectors as  $V$ , but scalar multiplication is restricted to real scalars). Prove that  $V_{\mathbb{R}}$  has dimension  $2n$ .  
[Hint: let  $\mathcal{B} : v_1, v_2, \dots, v_n$  be a basis for  $V$  and show that  $\mathcal{B}_{\mathbb{R}} : v_1, iv_1, v_2, iv_2, \dots, v_n, iv_n$  is a basis for  $V_{\mathbb{R}}$ , where  $i \in \mathbb{C}$  is  $\sqrt{-1}$  rather than an index!]

Please hand in at 4W level 1 by NOON on Friday 13th October 2023

## M216: Exercise sheet 1—Solutions

- First suppose that  $U \leq V$ . The  $U$  is non-empty so there is some  $u \in U$  and then, since  $U$  is closed under addition and scalar multiplication,  $0 = u + (-1)u \in U$  also and condition (i) is satisfied. Now if  $u_1, u_2 \in U$  and  $\lambda \in \mathbb{F}$ , then  $\lambda u_2 \in U$  ( $U$  is closed under scalar multiplication) and so  $u_1 + \lambda u_2 \in U$  ( $U$  is closed under addition). Thus condition (ii) holds also. For the converse, if conditions (i) and (ii) hold, then, first,  $0 \in U$  so  $U$  is non-empty and, second,  $U$  is closed under addition (take  $\lambda = 1$  in condition (ii)) and under scalar multiplication (take  $u_1 = 0$  in condition (ii)). Thus  $U \leq V$ .
- $U_1$  is not a subspace as it does not contain 0!
  - $U_2$  is a subspace: in fact, it is  $\ker \phi_A$  where  $A = \begin{pmatrix} 1 & -1 & 0 \end{pmatrix}$ .
  - $U_3$  is a subspace. It is  $\ker \phi_A$  for  $A = \begin{pmatrix} 1 & 2 & 3 \end{pmatrix}$ .
- (a) Here  $f$  is linear: it is the map  $\phi_A$  corresponding to the matrix

$$A = \begin{pmatrix} 5 & 1 \\ 3 & -2 \end{pmatrix}.$$

- This is not linear (because of that  $+2$  term). In particular  $f(0, 0) = (2, 0) \neq 0$ !
  - Again  $f(0, 0) = (1, 0) \neq 0$  so this  $f$  cannot be linear. Of course, we already *know* this because it is certainly not true that  $\cos(y_1 + y_2) = \cos y_1 + \cos y_2$ .
  - Another non-linear map: for example  $f(2x, 2y) \neq 2f(x, y)$ .
- The basic idea is that the vector space axioms for  $V^{\mathcal{I}}$  will follow from those of  $V$  applied to the values of elements of  $V^{\mathcal{I}}$ . Since those elements are completely determined by their values, this will bake the cake.  
In more detail: let  $u, v, w \in V^{\mathcal{I}}$ , then, for  $i \in \mathcal{I}$ ,

$$(u + v)(i) = u(i) + v(i) = v(i) + u(i) = (v + u)(i),$$

whence  $u + v = v + u$ . Here the first and last equalities are just the definition of pointwise addition and the middle one of commutativity of addition in  $V$ .  
Similarly,

$$((u + v) + w)(i) = (u + v)(i) + w(i) = (u(i) + v(i)) + w(i) = u(i) + (v(i) + w(i)) = (u + (v + w))(i)$$

so that  $(u + v) + w = u + (v + w)$ .

The zero element is the zero map defined by  $0(i) := 0$ , for all  $i \in \mathcal{I}$ , while the additive inverse  $-v$  of  $v \in V^{\mathcal{I}}$  is defined by  $(-v)(i) := -(v(i))$ . Now

$$\begin{aligned} (v + 0)(i) &= v(i) + 0(i) = v(i) + 0 = v(i) \\ (v + (-v))(i) &= v(i) + (-v)(i) = v(i) - v(i) = 0 = 0(i) \end{aligned}$$

so that  $v + 0 = v$  and  $v + (-v) = 0$  as required.

The axioms around scalar multiplication are verified in the same way. For example, for  $\lambda, \mu \in \mathbb{F}$ ,

$$((\lambda + \mu)v)(i) = (\lambda + \mu)(v(i)) = \lambda(v(i)) + \mu(v(i)) = (\lambda v)(i) + (\mu v)(i) = (\lambda v + \mu v)(i)$$

so that  $(\lambda + \mu)v = \lambda v + \mu v$ .

Again, for  $u, v \in V^{\mathcal{I}}$  and  $\lambda \in \mathbb{F}$ ,

$$\begin{aligned} (\lambda(u + v))(i) &= \lambda(u + v)(i) = \lambda(u(i) + v(i)) = \lambda u(i) + \lambda v(i) \\ &= (\lambda u)(i) + (\lambda v)(i) = (\lambda u + \lambda v)(i) \end{aligned}$$

so that  $\lambda(u + v) = \lambda u + \lambda v$ .

For  $\lambda, \mu \in F$  and  $v \in V^{\mathcal{I}}$ ,

$$((\lambda\mu)v)(i) = (\lambda\mu)v(i) = \lambda(\mu v(i)) = (\lambda(\mu v))(i)$$

so that  $(\lambda\mu)v = \lambda(\mu v)$ .

Finally,  $(1v)(i) = 1v(i) = v(i)$  so that  $1v = v$  and we are (at last!) done.

5. Clearly  $P_d$  is non-empty as it contains the zero polynomial. Moreover, for any polynomials  $p, q$  and  $\lambda \in \mathbb{R}$ , we have

$$\begin{aligned} \deg(p + q) &\leq \max\{\deg p, \deg q\} \\ \deg(\lambda p) &\leq \deg p, \end{aligned}$$

from which it easily follows that  $P_d$  is closed under addition and scalar multiplication. Any polynomial  $p \in P_d$  has a unique expression of the form

$$p = a_0 + a_1x + \cdots + a_dx^d.$$

It now follows from Proposition 1.1 that  $1, x, \dots, x^d$  is a basis for  $P_d$ .

Set  $v_j = x^{j-1}$ , for  $1 \leq j \leq d+1$ , and compute  $Dv_j$  in terms of the  $v_j$ :

$$Dv_j = (j-1)v_{j-1}$$

so that the matrix  $A$  of  $D$  with respect to this basis has all entries 0 except just above the diagonal where  $A_{(j-1)j} = j-1$ . For example, if  $d=3$ , we have

$$A = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 3 \\ 0 & 0 & 0 & 0 \end{pmatrix}.$$

The kernel of  $D$  is the constant polynomials  $P_0$  and the image is  $P_{d-1}$ .

6. (a)  $0 \notin U_1$  so  $U_1$  is not a subspace.  
 (b)  $U_2$  is not a subspace because it is not closed under complex scalar multiplication:  $(1, 1, 0) \in U_2$  but  $i(1, 1, 0) = (i, i, 0)$  is not (here  $i = \sqrt{-1}$ ). In general, any time you see complex conjugation in the definition of a subset, it is unlikely to be a complex subspace.  
 (c)  $U_3 = \ker \phi_A$  for  $A = \begin{pmatrix} 1 & \sqrt{-1} & 3 \end{pmatrix}$  and so is a subspace.
7. Following the hint we need to show that any  $v \in V_{\mathbb{R}}$  can be written uniquely as a real linear combination of vectors in the list  $\mathcal{B}_{\mathbb{R}}$ . Since  $v \in V$ , we may write  $v = \sum_{j=1}^n \lambda_j v_j$  for unique  $\lambda_j \in \mathbb{C}$ . Write  $\lambda_j = a_j + ib_j$  with  $a_j, b_j \in \mathbb{R}$ . Then  $v = \sum_{j=1}^n (a_j v_j + b_j i v_j)$  and this expression is unique: it suffices to observe that for  $v = 0$ ,  $\lambda_j = 0$  for all  $j$ , and hence  $a_j = b_j = 0$  for all  $j$ .