

Natural and Confluent Cut Elimination in Classical Logic

Proof, Computation, Complexity 2015

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Cut Elimination: Intuitionistic vs. Classical

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- ▶ Classical logic - considered an unwieldy and *ad hoc* procedure, due to critical pairs such as the following (the “Lafont Counterexample”¹):

$$\begin{array}{c} \begin{array}{c} \text{Π}_1 \\ \hline A \\ \text{w} \frac{}{A, B} \\ \text{cut} \frac{}{A} \end{array} \quad \begin{array}{c} \text{Π}_2 \\ \hline A \\ \text{w} \frac{}{A, \bar{B}} \end{array} \end{array}$$

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$$\begin{array}{c} \begin{array}{c} \text{---} \\ \diagdown \quad \diagup \\ \Pi_1 \\ \diagup \quad \diagdown \\ \text{---} \\ A \end{array} \quad \begin{array}{c} \text{---} \\ \diagdown \quad \diagup \\ \Pi_2 \\ \diagup \quad \diagdown \\ \text{---} \\ A \end{array} \\ \text{w} \frac{A}{A, B} \quad \text{w} \frac{A}{A, \bar{B}} \\ \text{cut} \frac{\quad}{A} \end{array}$$

- ▶ Wouldn't it be useful if we had more means by which to compose proofs?

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Given derivations $\frac{A}{B} \parallel$ and $\frac{C}{D} \parallel$ we can compose them with:

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1. An inference rule $\sigma : B/C$:

$$\frac{\frac{A}{B} \Phi}{C} \sigma \frac{\Psi}{D}$$

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2. A binary logical relation \star :

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3. (In development is Formalism B, which adds the further composition method of substitution)

- The *structural* rules (all atomic):

$$\text{ai}\downarrow \frac{t}{a \vee \bar{a}}$$

identity

$$\text{ac}\downarrow \frac{a \vee a}{a}$$

contraction

$$\text{aw}\downarrow \frac{f}{a}$$

weakening

$$\text{ai}\uparrow \frac{a \wedge \bar{a}}{f}$$

cut

$$\text{ac}\uparrow \frac{a}{a \wedge a}$$

cocontraction

$$\text{aw}\uparrow \frac{a}{t}$$

coweakening

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- The *logical* rules (all linear):

$$\text{s} \frac{A \wedge [B \vee C]}{(A \wedge B) \vee C}$$

switch

$$\text{m} \frac{(A \wedge B) \vee (C \wedge D)}{[A \vee C] \wedge [B \vee D]}$$

medial

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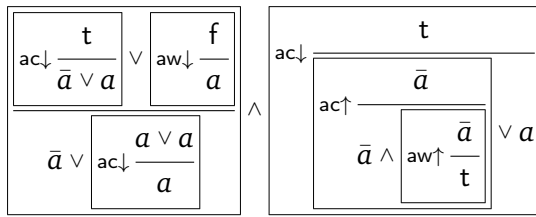
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medial

- The *unit* rules:

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SKS proof example



$$\begin{array}{c}
 \frac{[\bar{a} \vee a] \wedge [a \vee \bar{a}]}{s} \\
 \frac{([\bar{a} \vee a] \wedge a) \vee \bar{a}}{s} \\
 \bar{a} \vee \boxed{\text{ai}\uparrow \frac{a \wedge \bar{a}}{f}} \vee a
 \end{array}$$

SKS proof example - no boxes

$$\begin{array}{c}
 \text{ac}\downarrow \frac{t}{\bar{a} \vee a} \vee \text{aw}\downarrow \frac{f}{a} \quad \text{ac}\downarrow \frac{t}{\bar{a}} \\
 \hline
 \bar{a} \vee \text{ac}\downarrow \frac{a \vee a}{a} \quad \wedge \quad \text{ac}\uparrow \frac{\bar{a}}{\bar{a} \wedge \text{aw}\uparrow \frac{\bar{a} \vee a}{t}} \\
 \hline
 \frac{[\bar{a} \vee a] \wedge [a \vee \bar{a}]}{s} \\
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 \bar{a} \vee \text{ai}\uparrow \frac{a \wedge \bar{a}}{f} \vee a
 \end{array}$$

SKS proof example - less rule labels

$$\frac{\frac{\frac{t}{\bar{a} \vee a} \vee \frac{f}{a}}{\bar{a} \vee \frac{a \vee a}{a}} \wedge \frac{\frac{t}{\bar{a}}}{\bar{a} \wedge \frac{\bar{a} \vee a}{t}}}{\frac{[\bar{a} \vee a] \wedge [a \vee \bar{a}]}{s} \frac{([\bar{a} \vee a] \wedge a) \vee \bar{a}}{s}}{\bar{a} \vee \text{ai}\uparrow \frac{a \wedge \bar{a}}{f} \vee a}$$

SKS proof example - rule compression

$$\frac{\frac{\frac{t}{\bar{a} \vee a} \vee \frac{f}{a}}{\bar{a} \vee \frac{a \vee a}{a}} \wedge \frac{\frac{t}{\bar{a}}}{\bar{a} \wedge \frac{\bar{a} \vee a}{t}}}{\frac{s^2 [\bar{a} \vee a] \wedge [a \vee \bar{a}]}{\bar{a} \vee \text{ai} \uparrow \frac{a \wedge \bar{a}}{f} \vee a}}$$

Atomic Flows

Atomic flows² are a geometric invariant of proofs in open deduction. Only structural information about the proof is conserved.

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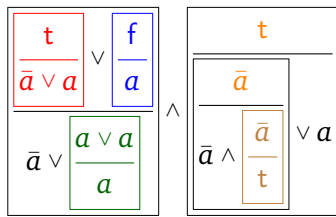
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Composition of proofs naturally corresponds to composition of flows.

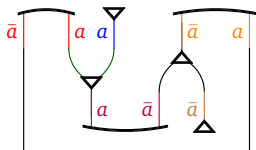
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Atomic Flow Example



$$s^2 \frac{[\bar{a} \vee a] \wedge [a \vee \bar{a}]}{\bar{a} \vee \boxed{a \wedge \bar{a}} \vee a}$$

$\text{ai}\uparrow \frac{a \wedge \bar{a}}{f}$



Basic Theorems

Theorem

If A implies B then there is an SKS derivation $\Phi \parallel$.

A

B

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By comparison with Gentzen systems (many other ways).

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If A is true, then there is an KS proof $\Psi \parallel_A$. A fortiori, if there is a SKS proof of a formula A , then there is a proof without $ai\uparrow$ (a cut-free proof), i.e. $ai\uparrow$ is admissible for SKS.

Cut Elimination

Proof.

Numerous:

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1. First in a predecessor formalism to open deduction: the idea is sort of a β -reduction.³

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1. First in a predecessor formalism to open deduction: the idea is sort of a β -reduction.³
2. As a quasipolynomial procedure using atomic flows and threshold formulae.⁴
3. We give an exponential, but confluent and natural procedure for ai \uparrow elimination, also using atomic flows.



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Is $ai\uparrow$ a cut?

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- ▶ $ai\uparrow$ elimination can be interpreted computationally, as in a Curry-Howard correspondence. (The atomic λ -calculus⁵).

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- ▶ $ai\uparrow$ elimination can be interpreted computationally, as in a Curry-Howard correspondence. (The atomic λ -calculus⁵).
- ▶ $ai\uparrow$ admissibility shows consistency of SKS.

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Experiments Method

Theorem

Atomic cut is admissible from SKS

Proof.

(The Experiments method) Given a proof Φ of A :

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2. We then use an identity (at the top) and a contraction (at the bottom) to disjunct the two proofs, creating a proof with one fewer cut instance.

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3. Intuition: in each cut $\text{ai} \uparrow \frac{a \wedge \bar{a}}{f}$, either a or \bar{a} is 'true', and each version corresponds to one of these possibilities.



Experiments Method - Many Cuts

When there are multiple cuts in a proof, a “truth-table” approach is used.

$$\Phi \parallel$$
$$A$$

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$$\Phi \parallel \begin{array}{l} A \end{array} \rightarrow \begin{array}{l} a_1 \wedge \dots \wedge a_n \\ \Phi_1 \parallel \\ A \end{array}, \dots, \begin{array}{l} \bar{a}_1 \wedge \dots \wedge \bar{a}_n \\ \Phi_{2^n} \parallel \\ A \end{array}$$

Experiments Method - Many Cuts

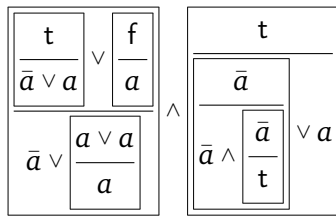
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$$\begin{array}{c} \parallel \{s, ai \uparrow\} \\ \boxed{\begin{array}{c} a_1 \wedge \dots \wedge a_n \\ \Phi_1 \parallel \\ A \end{array}} \vee \dots \vee \boxed{\begin{array}{c} \bar{a}_1 \wedge \dots \wedge \bar{a}_n \\ \Phi_{2^n} \parallel \\ A \end{array}} \\ \parallel \{ac \downarrow, m\} \\ A \end{array}$$

It should be clear that this procedure is confluent, modulo reasonable geometric equivalences.

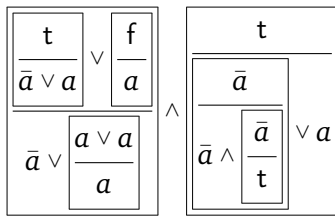
Experiments Example



$$s^2 \frac{[\bar{a} \vee a] \wedge [a \vee \bar{a}]}{}$$

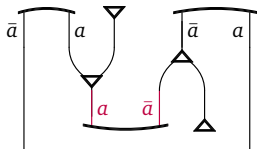
$$\bar{a} \vee \frac{a \wedge \bar{a}}{f} \vee a$$

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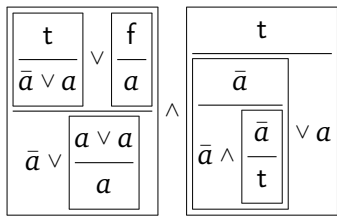


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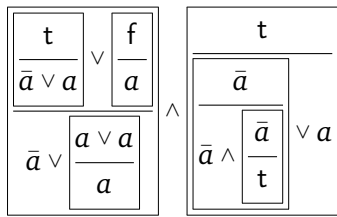
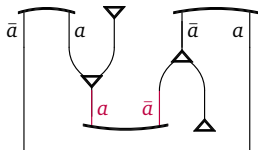


Experiments Example - Duplication



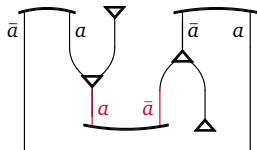
$$s^2 \frac{[\bar{a} \vee a] \wedge [a \vee \bar{a}]}{}$$

$$\bar{a} \vee \text{ai}\uparrow \frac{a \wedge \bar{a}}{f} \vee a$$

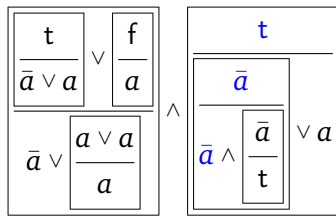


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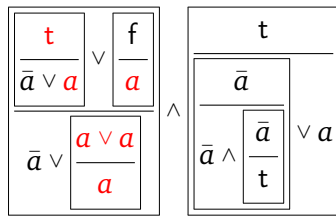
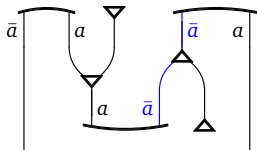
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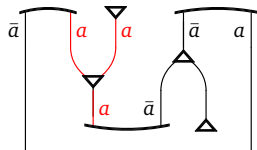
Experiments Example - Tracing the atoms up



$$s^2 \frac{[\bar{a} \vee a] \wedge [a \vee \bar{a}]}{\bar{a} \vee \overset{\text{ai}\uparrow}{\frac{a \wedge \bar{a}}{f}} \vee a}$$

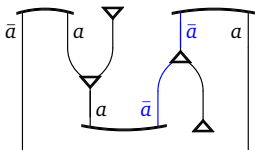


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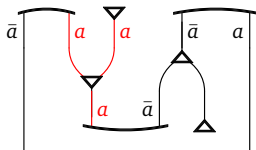


Experiments Example - Removal of boxes

$$\begin{array}{c}
 \frac{t}{\bar{a} \vee a} \vee \frac{f}{a} \quad \frac{t}{\bar{a}} \\
 \hline
 \bar{a} \vee \frac{a \vee a}{a} \quad \bar{a} \wedge \frac{\bar{a} \vee a}{t} \\
 \hline
 s^2 \frac{[\bar{a} \vee a] \wedge [a \vee \bar{a}]}{a \wedge \bar{a}} \\
 \bar{a} \vee \text{ai}\uparrow \frac{a \wedge \bar{a}}{f} \vee a
 \end{array}$$



$$\begin{array}{c}
 \frac{t}{\bar{a} \vee a} \vee \frac{f}{a} \quad \frac{t}{\bar{a}} \\
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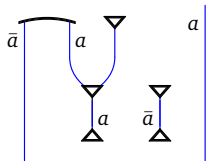
Experiments Example - Formation of each 'experiment'

$$\frac{\frac{t}{\bar{a} \vee a} \vee \frac{f}{a}}{\bar{a} \vee \frac{a \vee a}{a}} \wedge \frac{\frac{a}{f}}{f \wedge \frac{\bar{a}}{t}} \vee a$$

$$s^2 \frac{[\bar{a} \vee a] \wedge [a \vee f]}{}$$

$$\bar{a} \vee \frac{\frac{a}{t} \wedge f}{f} \vee a$$

cut



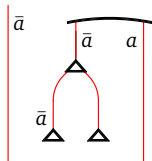
or

$$\frac{\frac{\bar{a}}{\bar{a} \vee f} \vee \frac{f}{f}}{\bar{a} \vee \frac{f \vee f}{f}} \wedge \frac{\frac{t}{\bar{a}}}{\bar{a} \wedge \frac{\bar{a}}{t}} \vee a$$

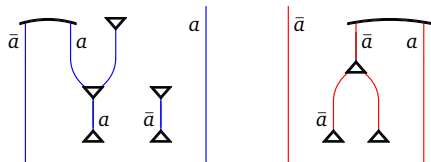
$$s^2 \frac{[\bar{a} \vee f] \wedge [a \vee \bar{a}]}{}$$

$$\bar{a} \vee \frac{f \wedge \frac{\bar{a}}{t}}{f} \vee a$$

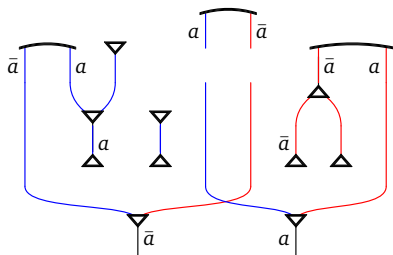
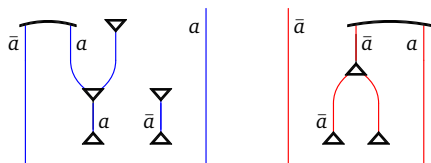
cut



Experiments Example - Combination of 'experiments'



Experiments Example - Combination of 'experiments'



Experiments Example - Cut free proof

$$\frac{t}{a \vee \bar{a}}$$

$\frac{\frac{t}{\bar{a} \vee a} \vee \frac{f}{a} \quad \frac{a}{f}}{\bar{a} \vee \frac{a \vee a}{a} \quad f \wedge \frac{f}{\bar{a}} \vee a} \wedge$ <hr style="border: 0.5px solid blue;"/> $s^2 \frac{[\bar{a} \vee a] \wedge [a \vee f]}{\bar{a} \vee \frac{a}{t} \wedge f \vee a} \wedge$	\vee	$\frac{\frac{\bar{a}}{\bar{a} \vee f} \vee \frac{f}{f} \quad \frac{t}{\bar{a}}}{\bar{a} \vee \frac{f \vee f}{f} \quad \bar{a} \wedge \frac{\bar{a} \vee a}{t}}$ <hr style="border: 0.5px solid red;"/> $s^2 \frac{[\bar{a} \vee f] \wedge [a \vee \bar{a}]}{\bar{a} \vee \frac{f \wedge \bar{a}}{t} \vee a} \wedge$
---	--------	---

$$\frac{\bar{a} \vee \bar{a}}{\bar{a}} \vee \frac{a \vee a}{a}$$

Predicate Calculus

Can this method be extended to the predicate calculus?

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- ▶ We can then perform the experiments method on this isolated section.

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- ▶ Contraction is broken down into four rules.

$$\text{ac}\downarrow \frac{a \vee a}{a} \qquad \text{m} \frac{(A \wedge B) \vee (C \wedge D)}{[A \vee C] \wedge [B \vee D]}$$

$$\text{qc}\downarrow \frac{\exists xA \vee \exists xA}{\exists xA} \qquad \text{m}_2\downarrow \frac{\forall xA \vee \forall xB}{\forall x[A \vee B]}$$

Herbrand Stratification

As noted by Brünnler, there is a simple stratification process for $KSgr$.

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$$\begin{array}{ccc} \begin{array}{l} \parallel KSgr \\ A \end{array} & \xrightarrow{1} & \begin{array}{l} \parallel KS \cup \{n \downarrow, gr \downarrow, m_2 \downarrow, ai \uparrow\} \\ A' \\ \parallel qc \downarrow \\ A \end{array} \end{array}$$

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$$\begin{array}{c}
 \parallel_{KSgr} \\
 A
 \end{array}
 \xrightarrow{1}
 \begin{array}{c}
 \parallel_{KSU\{n\downarrow,gr\downarrow,m_2\downarrow,ai\uparrow\}} \\
 A' \\
 \parallel_{qc\downarrow} \\
 A
 \end{array}
 \xrightarrow{2}
 \begin{array}{c}
 \parallel_{KSU\{n\downarrow,ai\uparrow\}} \\
 Q\{B\} \\
 \parallel_{gr\downarrow} \\
 A' \\
 \parallel_{qc\downarrow} \\
 A
 \end{array}
 \xrightarrow{3}
 \begin{array}{c}
 \parallel_{KSU\{ai\uparrow\}} \\
 \forall \vec{x} W(B) \\
 \parallel_{n\downarrow} \\
 Q\{B\} \\
 \parallel_{gr\downarrow} \\
 A' \\
 \parallel_{qc\downarrow} \\
 A
 \end{array}$$

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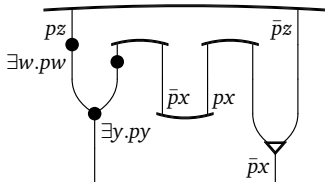
More technically: $Q\{B\}$ is a prenexification of a strong \forall -expansion of A (A') plus a witnessing substitution W for $Q\{B\}$

Predicate Logic Example

$$\begin{array}{c}
 \forall x \left[\frac{\frac{t}{px \vee \bar{p}x} \wedge \frac{t}{px \vee \bar{p}x}}{\text{n}\downarrow \frac{px}{\exists y.py} \vee (\bar{p}x \wedge px) \vee \bar{p}x} \right] \vee \forall z \left[\frac{t}{\text{n}\downarrow \frac{pz}{\exists w.pw} \vee \bar{p}z} \right] \\
 \text{m}_2\downarrow \\
 \forall x \left[\text{qc}\downarrow \frac{\exists w.pw \vee \exists y.py}{\exists y.py} \vee \text{ai}\uparrow \frac{(\bar{p}x \wedge px)}{f} \vee \bar{p}x \vee \bar{p}x \right] \\
 \text{gr}\downarrow \\
 \exists y.py \vee \forall x \left[\text{ac}\downarrow \frac{\bar{p}x \vee \bar{p}x}{\bar{p}x} \right]
 \end{array}$$

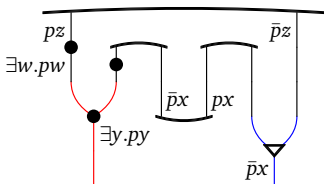
Predicate Logic Example

$$\begin{array}{c}
 \forall x \left[\frac{\frac{t}{px \vee \bar{p}x} \wedge \frac{t}{px \vee \bar{p}x}}{\frac{px}{\exists y.py} \vee (\bar{p}x \wedge px) \vee \bar{p}x} \right] \vee \forall z \left[\frac{t}{\frac{pz}{\exists w.pw} \vee \bar{p}z} \right] \\
 \hline
 m_2 \downarrow \\
 \forall x \left[\frac{\frac{\exists w.pw \vee \exists y.py}{\exists y.py} \vee \frac{(\bar{p}x \wedge px)}{f} \vee \bar{p}x \vee \bar{p}x}{\exists y.py \vee \forall x \left[\frac{\bar{p}x \vee \bar{p}x}{\bar{p}x} \right]} \right] \\
 \hline
 gr \downarrow
 \end{array}$$



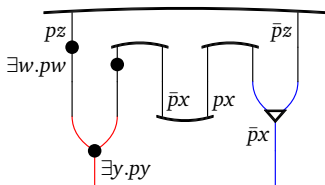
Predicate Logic Example - Step 1

$$\begin{array}{c}
 \forall x \left[\frac{\frac{t}{px \vee \bar{p}x} \wedge \frac{t}{px \vee \bar{p}x}}{\frac{px}{\exists y.py} \vee (\bar{p}x \wedge px) \vee \bar{p}x} \right] \vee \forall z \left[\frac{t}{\frac{pz}{\exists w.pw} \vee \bar{p}z} \right] \\
 \hline
 m_2 \downarrow \\
 \forall x \left[\text{qc} \downarrow \frac{\exists w.pw \vee \exists y.py}{\exists y.py} \vee \text{ai} \uparrow \frac{(\bar{p}x \wedge px)}{f} \vee \bar{p}x \vee \bar{p}x \right] \\
 \hline
 \text{gr} \downarrow \\
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 \end{array}$$



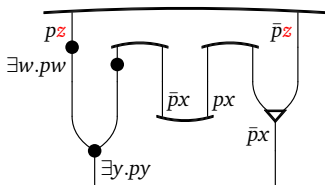
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 \hline
 \text{m}_2\downarrow \\
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 \hline
 \text{gr}\downarrow \\
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 \end{array}$$



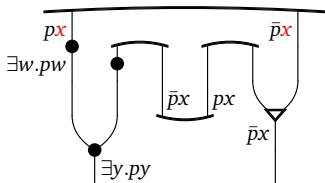
Predicate Logic Example - Step 2

$$\begin{array}{c}
 \forall x \left[\frac{\frac{t}{px \vee \bar{p}x} \wedge \frac{t}{px \vee \bar{p}x}}{\text{n}\downarrow \frac{px}{\exists y.py} \vee (\bar{p}x \wedge px) \vee \bar{p}x} \right] \vee \forall z \left[\frac{t}{\text{n}\downarrow \frac{pz}{\exists w.pw} \vee \bar{p}z} \right] \\
 \text{m}_2 \downarrow \\
 \forall x \left[\exists w.pw \vee \exists y.py \vee \text{ai}\uparrow \frac{(\bar{p}x \wedge px)}{f} \vee \text{ac}\downarrow \frac{\bar{p}x \vee \bar{p}x}{\bar{p}x} \right] \\
 \text{gr}\downarrow \\
 \text{qc}\downarrow \frac{\exists w.pw \vee \exists y.py}{\exists y.py} \vee \forall x.\bar{p}x
 \end{array}$$



Predicate Logic Example - Step 2

$$\begin{array}{c}
 \forall x \left[\frac{\frac{\frac{t}{px \vee \bar{p}x} \wedge \frac{t}{px \vee \bar{p}x}}{n\downarrow \frac{px}{\exists y.py} \vee (\bar{p}x \wedge px) \vee \bar{p}x} \vee \frac{\frac{t}{\exists w.pw} \vee \bar{p}x}{n\downarrow \frac{px}{\exists w.pw} \vee \bar{p}x}}{=} \frac{\exists w.pw \vee \exists y.py \vee \text{ai}\uparrow \frac{(\bar{p}x \wedge px)}{f} \vee \text{ac}\downarrow \frac{\bar{p}x \vee \bar{p}x}{\bar{p}x}}{\text{gr}\downarrow} \\
 \text{qc}\downarrow \frac{\exists w.pw \vee \exists y.py}{\exists y.py} \vee \forall x.\bar{p}x
 \end{array}$$

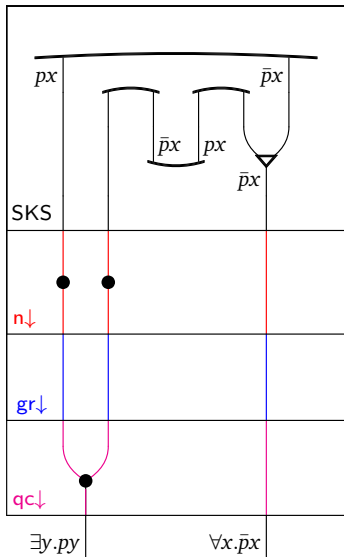


Predicate Logic Example - Stratified Proof

$$\begin{array}{c}
 \left[\begin{array}{c}
 \frac{\frac{t}{px \vee \bar{p}x} \wedge \frac{t}{px \vee \bar{p}x}}{px \vee (\bar{p}x \wedge px) \vee \bar{p}x} \vee \frac{t}{px \vee \bar{p}x} \\
 \frac{px \vee px \vee \text{ai}\uparrow \frac{(\bar{p}x \wedge px)}{f} \vee \text{ac}\downarrow \frac{\bar{p}x \vee \bar{p}x}{\bar{p}x}}{\quad} \\
 \frac{n\downarrow \frac{px}{\exists w.pw} \vee n\downarrow \frac{px}{\exists y.py} \vee \bar{p}x}{\quad}
 \end{array} \right] \\
 \hline
 \frac{\forall x [\exists w.pw \vee \exists y.py \vee \bar{p}x]}{\exists w.pw \vee \exists y.py \vee \forall x.\bar{p}x} \text{gr}\downarrow \\
 \hline
 \frac{\exists y.py \vee \exists y.py}{\exists y.py} \text{qc}\downarrow \vee \forall x.\bar{p}x \\
 \hline
 \exists y.py \vee \forall x.\bar{p}x
 \end{array}$$

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 \frac{\frac{t}{px \vee \bar{p}x} \wedge \frac{t}{px \vee \bar{p}x}}{px \vee (\bar{p}x \wedge px) \vee \bar{p}x} \vee \frac{t}{px \vee \bar{p}x} \\
 \hline
 px \vee px \vee_{ai\uparrow} \frac{(\bar{p}x \wedge px)}{f} \vee_{ac\downarrow} \frac{\bar{p}x \vee \bar{p}x}{\bar{p}x}
 \end{array} \right] \\
 \hline
 \frac{n\downarrow \frac{px}{\exists w.pw} \vee n\downarrow \frac{px}{\exists y.py} \vee \bar{p}x}{\forall x [\exists w.pw \vee \exists y.py \vee \bar{p}x]} \\
 \frac{gr\downarrow}{\exists w.pw \vee \exists y.py \vee \forall x.\bar{p}x} \\
 \frac{qc\downarrow \frac{\exists y.py \vee \exists y.py}{\exists y.py} \vee \forall x.\bar{p}x}{\exists y.py \vee \forall x.\bar{p}x}
 \end{array}$$



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Further work

- ▶ A natural extension of atomic flows for predicate logic.
- ▶ A more ideosyncratic cut elimination procedure for predicate logic.