

# Experiments and Epsilons

or

What I've been doing for the last six months or so

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# Aims

1. (Modest) Cut elimination for predicate logic in a deep inference system:
  - 1.1 Experiments Method;
  - 1.2 Herbrand's Theorem.
2. (Speculative) Finding a more natural syntax for predicate logic:
  - 2.1 "Skeletal" approach to open deduction;
  - 2.2  $\text{\ae}$ -calculus.

# Overview

$$\Phi \parallel_{A} \text{SKS} \varepsilon \xrightarrow{\text{Her.}} H(\Phi, p) \parallel_{H(A, p)} \text{SKS} \xrightarrow{\text{Exp.}} H(\Phi, p)' \parallel_{H(A, p)} \text{KS} \xrightarrow{\varepsilon \downarrow, \text{ac} \downarrow} \Phi' \parallel_{A} \text{KS} \varepsilon$$

$$H(\Phi, p) \approx \bigvee_{\tau_i \in D(\pi_\alpha(\Phi), p)} \pi_\alpha(\Phi)[\tau_1/x_1, \dots, \tau_n/x_n]$$

# Propositional Logic

The signature of classical propositional logic,  $\Sigma_0$ , that we will use consists of:

- ▶ Two distinct units: t (true) and f (false), as well as an inexhaustible supply of *atoms*,  $a, b, c, \dots$ , and their *duals*,  $\bar{a}, \bar{b}, \bar{c}, \dots$ ;
- ▶ One unary connective  $\bar{A}$  (negation);
- ▶ Two binary connectives  $\wedge$  (conjunction) and  $\vee$  (disjunction).

We consider propositional prederivations equivalent modulo double negation elimination, associativity and commutativity of each of the binary connectives, and the propositional De Morgan laws.

- The *structural* rules:

$$\text{ai}\downarrow \frac{t}{a \vee \bar{a}}$$

*identity*

$$\text{ac}\downarrow \frac{a \vee a}{a}$$

*contraction*

$$\text{aw}\downarrow \frac{f}{a}$$

*weakening*

$$\text{ai}\uparrow \frac{a \wedge \bar{a}}{f}$$

*cut*

$$\text{ac}\uparrow \frac{a}{a \wedge a}$$

*cocontraction*

$$\text{aw}\uparrow \frac{a}{t}$$

*coweakening*

- The *logical* rules:

$$\text{s} \frac{A \wedge [B \vee C]}{(A \wedge B) \vee C}$$

*switch*

$$\text{m} \frac{(A \wedge B) \vee (C \wedge D)}{[A \vee C] \wedge [B \vee D]}$$

*medial*

- The *unit* rules:

$$A \wedge t = A \quad A \vee f = A$$

# Coweakening elimination

## Theorem

*Atomic coweakening is admissible from  $SKS \setminus \{aw\uparrow\}$*

$$\begin{array}{ccc} \begin{array}{c} f \\ \text{aw}\downarrow \frac{\quad}{a} \\ \text{aw}\uparrow \frac{\quad}{t} \end{array} & \longrightarrow & \begin{array}{c} f \\ \text{s} \frac{\quad}{t} \end{array} \\ \begin{array}{c} a \\ \text{ac}\downarrow \frac{\quad}{a} \\ \text{aw}\uparrow \frac{\quad}{t} \end{array} & \longrightarrow & \begin{array}{c} a \vee a \\ \text{ac}\downarrow \frac{\quad}{a} \\ \text{aw}\uparrow \frac{\quad}{t} \end{array} & \longrightarrow & \begin{array}{c} a \quad a \\ \frac{\quad}{t} \vee \frac{\quad}{t} \\ = \frac{\quad}{t} \end{array} \\ \begin{array}{c} a \\ \text{ac}\uparrow \frac{\quad}{a} \\ \frac{\quad}{t} \wedge a \end{array} & \longrightarrow & \begin{array}{c} a \\ \frac{\quad}{t \wedge a} \end{array} \\ \begin{array}{c} t \\ \text{ai}\downarrow \frac{\quad}{a} \\ \frac{\quad}{t} \vee \bar{a} \end{array} & \longrightarrow & \begin{array}{c} t \\ \frac{\quad}{t \vee \text{aw}\downarrow \frac{\quad}{\bar{a}}} \end{array} \end{array}$$

$$M_w(\Phi) = (m_c, m_w), m_c = \#ac\uparrow, m_w = \#aw\uparrow$$

## Theorem

Atomic cocontraction is admissible from  $SKS \setminus \{ac\uparrow\}$

$$\begin{array}{c}
 \text{aw}\downarrow \frac{f}{a} \\
 \text{ac}\uparrow \frac{a}{a \wedge a}
 \end{array}
 \longrightarrow
 \frac{f}{\frac{f}{a} \wedge \frac{f}{a}}
 \quad
 \begin{array}{c}
 \text{ac}\downarrow \frac{a \vee a}{a} \\
 \text{ac}\uparrow \frac{a}{a \wedge a}
 \end{array}
 \longrightarrow
 \text{m} \frac{\frac{a}{a \wedge a} \vee \frac{a}{a \wedge a}}{\frac{a \vee a}{a} \wedge \frac{a \vee a}{a}}$$

$$\begin{array}{c}
 \text{ai}\downarrow \frac{t}{a} \\
 \text{ac}\uparrow \frac{a}{a \wedge a} \vee \bar{a}
 \end{array}
 \longrightarrow
 \begin{array}{c}
 \text{t} \\
 \hline
 \boxed{
 \begin{array}{c}
 a \\
 \hline
 a \wedge \frac{t}{a \vee \bar{a}} \\
 \hline
 (a \wedge a) \vee \bar{a}
 \end{array}
 } \vee \bar{a} \\
 \hline
 (a \wedge a) \vee \frac{\bar{a} \vee \bar{a}}{\bar{a}}
 \end{array}$$

$M_c(\Phi) = (m_0, m_1, \dots), m_i = \#ac\uparrow$  of height  $i$ .

# Cut Elimination

## Theorem

Atomic cut is admissible from  $SKS \setminus \{ai\uparrow\}$

## Proof.

(The Experiments method)

1. For each cut, we create two derivations from the proof:

$$\begin{array}{cc} a & \bar{a} \\ \Phi_{i+} \parallel & \text{and } \Phi_{i-} \parallel . \\ A & A \end{array}$$

2. Intuition: each cut  $ai\uparrow \frac{a \wedge \bar{a}}{f}$ , either  $a$  or  $\bar{a}$  is ‘true’ (in the Tarskian sense), and each version corresponds to one of these possibilities.
3. We then use an identity (at the top) and a contraction (at the bottom) to disjunct the two proofs, creating a proof with one fewer cut instance.



# More detail from old presentation

# Standard Predicate Logic

*Terms of standard predicate logic (SPL),  $\mathcal{T}_S$ :*

- ▶ *Variables*, written:  $x_i, y_i, z_i$ ;
- ▶ *Functions*, written  $f_i^n$ , of *arity*  $n$ , with  $n$  terms as arguments, e.g.  $f_i^n(\tau_1, \tau_2, \dots, \tau_n)$ . Often the arity indicator is omitted. *Constants* are functions of arity 0, written  $c_i$ .

*SPL-Atoms*,  $\mathcal{A}_{SPL}$ : *predicate symbols*, written  $p_i^n$ , and their duals  $\bar{p}_i^n$ , of *arity*  $n$ , with  $n$  terms as arguments, e.g.  $p_i^n(\tau_1, \tau_2, \dots, \tau_n)$ .

The signature of SPL,  $\Sigma_{SPL}$  consists of:

- ▶ Two distinct units: t (true) and f (false), as well  $\mathcal{A}_{SPL}$ ;
- ▶ One distinct unary connective  $\bar{A}$  (negation), as well  $\forall x$  and  $\exists x$  for all variables;
- ▶ Two binary connectives  $\wedge$  (conjunction) and  $\vee$  (disjunction).

# $\varepsilon$ -Predicate Logic

The terms of  $\varepsilon$ -predicate logic, ( $\varepsilon$ PL)  $\mathcal{T}_\varepsilon$  are either:

- ▶ Variables,  $x_i, y_i, z_i$ ;
- ▶ Functions,  $f_i^n$ , including constants,  $c_i$ .
- ▶ *Alpha terms*, written  $\alpha_x(y_1, \dots, y_n)$ , with  $n \geq 0$ .
- ▶ *Epsilon terms*, written  $\epsilon_x(y_1, \dots, y_n)$ , with  $n \geq 0$ .

Atoms of  $\varepsilon$ PL,  $\mathcal{A}_\varepsilon$  defined as in  $\mathcal{A}_S$ , except predicates range over  $\mathcal{T}_\varepsilon$ . The prederivations of  $\varepsilon$ PL,  $\mathcal{F}'_\varepsilon$ , are just propositional prederivations with  $\mathcal{A}_\varepsilon$  as atoms.

## From SPL to $\alpha$ PL

Only the semantically important information about quantification is preserved:

- ▶  $A[x \rightsquigarrow \alpha_x] = A[\alpha_x/x][\epsilon_y(\vec{z}, x)/\epsilon_y(\vec{z})]$  for all  $\epsilon$ -terms  $\epsilon_y(\vec{z})$ .
- ▶  $A[x \rightsquigarrow \epsilon_x] = A[\epsilon_x/x][\alpha_y(\vec{z}, x)/\alpha_y(\vec{z})]$  for all  $\alpha$ -terms  $\alpha_y(\vec{z})$ .

$\theta : \mathcal{F}_S \rightarrow \mathcal{F}'_{\alpha}$ :

- ▶  $\theta(t) = t, \theta(f) = f$ ;
- ▶  $\theta(\forall x A) = \theta(A)[x \rightsquigarrow \alpha_x]$ ;
- ▶  $\theta(\exists x A) = \theta(A)[x \rightsquigarrow \epsilon_x]$ ;
- ▶  $\theta(A \wedge B) = \theta(A) \wedge \theta(B)$
- ▶  $\theta(A \vee B) = \theta(A) \vee \theta(B)$

$\theta^*(A) := \theta(A^*)$  (where  $*$  is scope-reduction) and the set of formulas of  $\alpha$ PL,  $\mathcal{F}_{\alpha}$ , is the image of  $\theta^*$ .

# Examples

$$\forall x \forall y \forall z (Pxy \wedge Qxz \wedge Ryz) \longrightarrow P\alpha_x \alpha_y \wedge Q\alpha_x \alpha_z \wedge R\alpha_y \alpha_z$$

$$\forall x \exists y [Pxy \vee Qy] \wedge \exists z Rz \longrightarrow [P\alpha_x \epsilon_y(x) \vee Q\epsilon_y(x)] \wedge R\epsilon_z$$

$$\forall x \exists y [Px \vee Qy] \wedge \exists z Rz \longrightarrow [P\alpha_x \epsilon_y \vee Q\epsilon_y] \wedge R\epsilon_z$$

$$\forall x \exists y \forall z \exists w [(Pw \wedge Qwz) \vee (Rzy \wedge Ryx)]$$

↓

$$[(P\epsilon_w(z, x) \wedge Q\epsilon_w(z, x)\alpha_z(y)) \vee (R\alpha_z(y)\epsilon_y(x) \wedge R\epsilon_y(x)\alpha_x)]$$

SKS +

$$\text{æ}\downarrow \frac{A[a/x]}{A[x \rightsquigarrow \epsilon_x(\vec{y})]}$$

*generalization*

$$\text{æ}\uparrow \frac{A[x \rightsquigarrow \alpha_x(\vec{y})]}{A[a/x]}$$

*instantiation*

# æ-squeezing (– cut)

$$\text{ac}\uparrow \frac{p[x \rightsquigarrow \alpha_x]}{\text{æ}\uparrow \frac{p[x \rightsquigarrow \alpha_x]}{p[a/x]} \wedge \text{æ}\uparrow \frac{p[x \rightsquigarrow \alpha_x]}{p[a/x]}}$$

→

$$\text{æ}\uparrow \frac{p[x \rightsquigarrow \alpha_x]}{p[a/x]} \quad \text{ac}\uparrow \frac{p[a/x]}{p[a/x] \wedge p[a/x]}$$

$$\text{ac}\downarrow \frac{p[x \rightsquigarrow \alpha_x] \vee p[x \rightsquigarrow \alpha_x]}{\text{æ}\uparrow \frac{p[x \rightsquigarrow \alpha_x]}{p[a/x]}}$$

→

$$\text{æ}\uparrow \frac{p[x \rightsquigarrow \alpha_x] \vee p[x \rightsquigarrow \alpha_x]}{p[a/x] \vee p[a/x]} \quad \text{ac}\downarrow \frac{p[a/x]}{p[a/x]}$$

$$\text{aw}\downarrow \frac{f}{\text{æ}\uparrow \frac{p[x \rightsquigarrow \alpha_x]}{p[a/x]}}$$

→

$$\text{aw}\downarrow \frac{f}{p[a/x]}$$

$$\text{ai}\uparrow \frac{t}{\text{æ}\uparrow \frac{p[x \rightsquigarrow \alpha_x]}{p[a/x]} \vee \bar{p}[x \rightsquigarrow \epsilon_x]}$$

→

$$\text{ai}\uparrow \frac{t}{p[a/x] \vee \text{æ}\downarrow \frac{\bar{p}[a/x]}{\bar{p}[x \rightsquigarrow \epsilon_x]}}$$

# æ-squeezing example (1)

$$\begin{array}{c}
 \text{æ}\downarrow \frac{Pa}{P\epsilon_x} \\
 \hline
 \left[ \text{ac}\uparrow \frac{P\epsilon_x}{P\epsilon_x \wedge P\epsilon_x} \right] \wedge \left[ \text{ai}\downarrow \frac{t}{\bar{P}\alpha_z \vee P\epsilon_y} \right] \\
 \hline
 P\epsilon_x \wedge (P\epsilon_x \wedge [\bar{P}\alpha_z \vee P\epsilon_y]) \\
 \text{s} \frac{}{} \\
 \left[ \text{aw}\uparrow \frac{P\epsilon_x}{t} \right] \wedge \left[ \begin{array}{c} \text{ai}\uparrow \frac{P\epsilon_x \wedge \bar{P}\alpha_z}{f} \\ \hline P\epsilon_y \end{array} \right] \vee P\epsilon_y \\
 \hline
 P\epsilon_y
 \end{array}$$



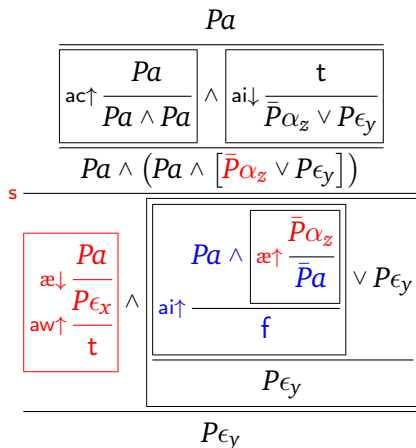
# æ-squeezing example (2)

$$\begin{array}{c}
 Pa \\
 \hline
 \begin{array}{c}
 \begin{array}{c}
 ac\uparrow \frac{Pa}{Pa \wedge Pa} \\
 \text{\textcolor{red}{\ae}\downarrow} \frac{P\epsilon_x \wedge P\epsilon_x}{P\epsilon_x \wedge P\epsilon_x}
 \end{array}
 \wedge
 \begin{array}{c}
 \begin{array}{c}
 t \\
 \hline
 \bar{P}\alpha_z \vee P\epsilon_y
 \end{array}
 \end{array}
 \end{array}
 \\
 \hline
 \text{\textcolor{red}{s}} \frac{P\epsilon_x \wedge (P\epsilon_x \wedge [\bar{P}\alpha_z \vee P\epsilon_y])}{\hline}
 \\
 \begin{array}{c}
 \begin{array}{c}
 \begin{array}{c}
 aw\uparrow \frac{P\epsilon_x}{t}
 \end{array}
 \wedge
 \begin{array}{c}
 \begin{array}{c}
 ai\uparrow \frac{P\epsilon_x \wedge \bar{P}\alpha_z}{f} \\
 \hline
 P\epsilon_y
 \end{array}
 \end{array}
 \end{array}
 \\
 \hline
 P\epsilon_y
 \end{array}
 \end{array}$$

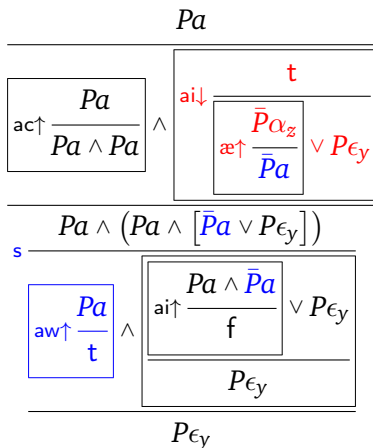
# æ-squeezing example (3)

$$\begin{array}{c}
 Pa \\
 \hline
 \boxed{\text{ac}\uparrow \frac{Pa}{Pa \wedge Pa}} \wedge \boxed{\text{ai}\downarrow \frac{t}{\bar{P}\alpha_z \vee P\epsilon_y}} \\
 \hline
 \frac{Pa \wedge (Pa \wedge [\bar{P}\alpha_z \vee P\epsilon_y])}{\text{s} \quad Pa \wedge [(Pa \wedge \bar{P}\alpha_z) \vee P\epsilon_y]} \\
 \text{æ}\downarrow \hline
 \boxed{\text{aw}\uparrow \frac{P\epsilon_x}{t}} \wedge \boxed{\text{ai}\uparrow \frac{P\epsilon_x \wedge P\alpha_x}{f}} \vee P\epsilon_y \\
 \hline
 P\epsilon_y
 \end{array}$$

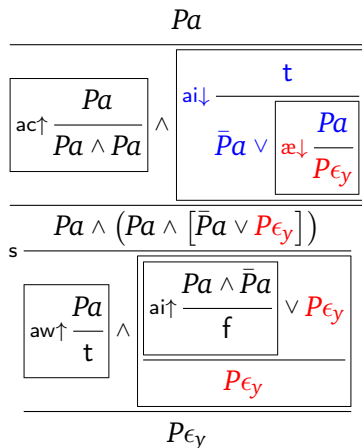
# æ-squeezing example (4)



# æ-squeezing example (5)



# æ-squeezing example (6)



# æ-squeezing example (7)

$$\begin{array}{c}
 Pa \\
 \hline
 \boxed{\text{ac}\uparrow \frac{Pa}{Pa \wedge Pa}} \wedge \boxed{\text{ai}\downarrow \frac{t}{\bar{P}a \vee Pa}} \\
 \hline
 Pa \wedge (Pa \wedge [\bar{P}a \vee Pa]) \\
 \hline
 \text{s} \\
 \hline
 \boxed{\text{aw}\uparrow \frac{Pa}{t}} \wedge \boxed{\begin{array}{c} \frac{Pa \wedge \bar{P}a}{\text{ai}\uparrow f} \\ \hline Pa \end{array}} \vee Pa \\
 \hline
 \text{æ}\downarrow \\
 \hline
 P\epsilon_y
 \end{array}$$

# First æ-theorem

## Theorem

If  $\Phi$  is a  $\text{æ}$ -derivation from  $A$  to  $B$ , both containing no  $\alpha$  or  $\epsilon$  symbols, then we can transform  $\Phi$  into  $\Phi'$ , an SKS derivation.

## Proof.

1. Perform  $\text{æ}$ -squeezing.
2. Deal with the following case by moving contraction up:

$$\text{ac}\uparrow \frac{p[x \rightsquigarrow \alpha_x]}{\boxed{\text{æ}\uparrow \frac{p[x \rightsquigarrow \alpha_x]}{p[a/x]} \wedge p[x \rightsquigarrow \alpha_x]}}$$

3.  $\text{æ}\uparrow$  rules and  $\text{æ}\downarrow$  pass through each other when they meet.
4. The  $\text{æ}\uparrow$  and  $\text{æ}\downarrow$  rules must disappear.
5. Streamline the derivation, such that no  $\text{æ}$ -terms are created and then destroyed.

# Herbrand Expansion

## Definition

The *Herbrand Base* of a prederivation  $\Phi$  is the set of constants that appear in  $\Phi$  (with  $c_0$ ). The set of  $\Phi$ -terms,  $\mathcal{T}_\Phi \subset \mathcal{T}_\alpha$ , is all  $\alpha$ -terms that contain only constants in the Herbrand Base of  $\Phi$  and function symbols that occur in  $\Phi$ , including  $\alpha$  and  $\epsilon$  symbols.

## Definition

Herbrand domain of degree  $p$ :  $D(A, p) = \{\tau \in \mathcal{T}(A) \mid h(\tau) \leq p\}$ .

## Definition

Herbrand expansion of degree  $p$ :

$$H(A, p) = \bigvee_{\tau_i \in D(\pi_\alpha(\Phi), p)} \pi_\alpha(A)[\tau_1/x_1, \dots, \tau_n/x_n]$$

This concept is to be extended to proofs:  $H(\Phi, p)$ .



# Statement of the Theorems

## Theorem

*(Herbrand's Theorem on formulas of  $\lambda$ PL) There is some  $p$  such that  $H(A, p)$  is a propositional tautology if and only if  $A$  is SKS $\lambda$ -provable.*

## Theorem

*(Herbrand's Theorem on proofs of SKS $\lambda$ ) If  $\Phi$  is an SKS $\lambda$ -proof of  $A$ , then there is some  $p$  (probably the same  $p$  as for  $A$ ) such that  $H(\Phi, p)$  is an SKS proof of  $H(A, p)$ .*

# The End of the Road (hopefully)

## Theorem

*Every proof in SKSæ is reducible to a (unique? modulo what?) proof in KSæ.*

$$\Phi \parallel_A \text{SKSæ} \xrightarrow{\text{Her.}} \begin{matrix} H(\Phi, p) \parallel \text{SKS} \\ H(A, p) \end{matrix} \xrightarrow{\text{Exp.}} \begin{matrix} H(\Phi, p)' \parallel \text{KS} \\ H(A, p) \end{matrix} \xrightarrow{\text{æ}\downarrow, \text{ac}\downarrow} \Phi' \parallel_A \text{KSæ}$$